



IAPD Report

EDWIN GUIDO TREIMANIS

CRD# 1733935

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

EDWIN GUIDO TREIMANIS (CRD# 1733935)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/18/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CORINTHIAN PARTNERS, L.L.C.	CRD# 38912	05/24/2019
IA	CORINTHIAN PARTNERS ASSET MANAGEMENT LLC	CRD# 109385	12/06/2019

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and 1 jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	INTERNATIONAL ASSETS INVESTMENT MANAGEMENT, LLC	144426	ORLANDO, FL	12/12/2014 - 05/29/2019
B	INTERNATIONAL ASSETS ADVISORY, LLC	10645	Riverview, FL	11/24/2014 - 12/31/2017
IA	INTERNATIONAL ASSETS INVESTMENT MANAGEMENT, LLC	144426	TAMPA, FL	12/12/2014 - 12/31/2015

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2
Termination	1
Judgment/Lien	5



Report Summary



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 1 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CORINTHIAN PARTNERS ASSET MANAGEMENT LLC**
Main Address: 275 MADISON AVENUE
8TH FLOOR
NEW YORK, NY 10016
Firm ID#: 109385

Regulator	Registration	Status	Date
IA Florida	Investment Adviser Representative	Approved	12/06/2019

Branch Office Locations

CORINTHIAN PARTNERS ASSET MANAGEMENT LLC
275 MADISON AVENUE
8TH FLOOR
NEW YORK, NY 10016

CORINTHIAN PARTNERS ASSET MANAGEMENT LLC
1727 COACHMAN PLAZA DRIVE
SUITE 114
CLEARWATER, FL 33759

Employment 2 of 2

Firm Name: **CORINTHIAN PARTNERS, L.L.C.**
Main Address: 275 MADISON AVENUE
8TH FLOOR
NEW YORK, NY 10016
Firm ID#: 38912

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	05/24/2019
B Florida	Agent	Approved	06/03/2019

Branch Office Locations

1727 Coachman Plaza Drive
Suite 114
Clearwater, FL 33759



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	12/31/2017
General Securities Representative Examination (S7)	Series 7	10/17/1987

State Securities Law Exams

Exam	Category	Date
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Uniform Investment Adviser Law Examination (S65)	Series 65	01/16/1995
Uniform Securities Agent State Law Examination (S63)	Series 63	10/28/1991

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	12/12/2014 - 05/29/2019	INTERNATIONAL ASSETS INVESTMENT MANAGEMENT, LLC	CRD# 144426	ORLANDO, FL
B	11/24/2014 - 12/31/2017	INTERNATIONAL ASSETS ADVISORY, LLC	CRD# 10645	Riverview, FL
IA	12/12/2014 - 12/31/2015	INTERNATIONAL ASSETS INVESTMENT MANAGEMENT, LLC	CRD# 144426	TAMPA, FL
IA	10/28/1987 - 11/19/2014	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	BRANDON, FL
B	10/21/1987 - 11/19/2014	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	BRANDON, FL
B	10/28/1999 - 07/03/2006	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2019 - Present	Corinthian Partners, LLC	Registered Rep	Y	New York, NY, United States
11/2014 - 05/2019	International Assets Advisory, LLC	Wealth Manager	Y	Riverview, FL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Advisor Excell - effective March 2023; facilitation of IUL proposals and implementation of fixed insurance; 2-5 hours per mo (0-3 hours per mo during trading hours).



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2
Termination	1
Judgment/Lien	5

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	AMERIPRISE FINANCIAL SERVICES INC
Allegations:	THE CLIENT ALLEGED SHE WASNOT INFORMED THE VUL POLICY COULD LAPSE WHEN SHE PURCHASED IT IN JULY OF 1996.
Product Type:	Insurance
Alleged Damages:	\$260,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	06/08/2009
Complaint Pending?	No
Status:	Denied
Status Date:	08/20/2009

Settlement Amount:

Individual Contribution



Amount:

Broker Statement

THE FIRM FOUND THAT THE ADVISOR PROVIDED ADEQUATE DISCLOSURE WITH REGARD TO THE FEATURES AND RISKS ASSOCIATED WITH THE POLICY.

Disclosure 2 of 2

Reporting Source:

Individual

Employing firm when activities occurred which led to the complaint:

AMERICAN EXPRESS FINANCIAL ADVISORS

Allegations:

THE CLIENT ALLGED THAT IN 1996 HE TOLD THE ADVISOR HE WANTED A RETIREMENT PLAN. THE CLIENT STATES HE WAS RECENTLY INFORMED HE OWNS A LIFE INSURANCE POLICY. THE CLIENT REQUESTS A FULL REFUND OF ALL PREMIUMS PAID.

Product Type:

Other

Other Product Type(s):

`VARIABLE UNIVERSAL LIFE INSURANCE

Alleged Damages:

\$36,273.91

Customer Complaint Information

Date Complaint Received:

04/14/2003

Complaint Pending?

No

Status:

Denied

Status Date:

06/19/2003

Settlement Amount:

\$0.00

Individual Contribution Amount:

\$0.00

Broker Statement

DURING THE PAST 7 YEARS THE CLIENT HAS CLEARLY DEMONSTRATED HIS OWNERSHIP OF AN INSURANCE POLICY THROUGH VARIOUS REQUESTS/CHANGES HE HAS MADE TO THE POLICY. WE FOUND THE CLIENT'S ALLEGATIONS TO BE WITHOUT MERIT AND DENIED A REFUND OF HIS PREMIUMS.



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Individual
Firm Name:	AMERIPRISE FINANCIAL
Termination Type:	Voluntary Resignation
Termination Date:	11/11/2014
Allegations:	ADVISOR WAS PERMITTED TO RESIGN WHILE UNDER SUPERVISION FOR COMPLIANCE POLICY VIOLATIONS RELATED TO UNDISCLOSED LIENS IN ADDITION TO NOT COMPLYING WITH A PREVIOUS DISCIPLINARY ACTION, NEITHER OF WHICH ARE RELATED TO ADVISOR'S SALES PRACTICES WITH HIS CLIENTS OR OTHER INVESTMENT-RELATED DEALINGS WITH THE PUBLIC.
Product Type:	No Product



Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 5

Reporting Source: Individual
Judgment/Lien Holder: Barclays Bank Delaware
Judgment/Lien Amount: \$14,811.81
Judgment/Lien Type: Civil
Date Filed with Court: 05/04/2017
Date Individual Learned: 05/11/2017
Type of Court: State Court
Name of Court: Hillsborough County Florida
Location of Court: Tampa Florida
Docket/Case #: 16-CC-003323
Judgment/Lien Outstanding? Yes
Broker Statement Currently working with and being reviewed by a Miami law firm to work out a settlement or payment plan.

Disclosure 2 of 5

Reporting Source: Individual
Judgment/Lien Holder: IRS
Judgment/Lien Amount: \$19,512.61
Judgment/Lien Type: Tax
Date Filed with Court: 12/28/2016
Date Individual Learned: 02/23/2017
Type of Court: Federal Court
Name of Court: CIRCUIT COURT HILLSBOROUGH COUNT
Location of Court: TAMPA, FL
Docket/Case #: 242035116
Judgment/Lien Outstanding? Yes
Broker Statement The registrant has hired Civil Tax Relief to interface with the Internal Revenue Service to assist in obtaining a resolution.

Disclosure 3 of 5

Reporting Source: Individual
Judgment/Lien Holder: IRS
Judgment/Lien Amount: \$1,686.08
Judgment/Lien Type: Tax
Date Filed with Court: 09/25/2012



Date Individual Learned: 07/28/2014
Type of Court: State Court
Name of Court: CLERK OF CIRCUIT COURT
Location of Court: TAMPA, FL
Docket/Case #: BK21386PG1499
Judgment/Lien Outstanding? Yes
Broker Statement TAX PERIOD ENDING 2010. FELL BEHIND IN TAXES DUE TO A DIVORCE. The registrant has hired Civil Tax Relief to interface with the Internal Revenue Service to assist in obtaining a resolution.

Disclosure 4 of 5

Reporting Source: Individual
Judgment/Lien Holder: IRS
Judgment/Lien Amount: \$14,981.57
Judgment/Lien Type: Tax
Date Filed with Court: 11/01/2013
Date Individual Learned: 07/28/2014
Type of Court: State Court
Name of Court: CLER OF CIRCUIT COURT
Location of Court: TAMPA, FL
Docket/Case #: BK22237PG1751
Judgment/Lien Outstanding? Yes
Broker Statement TAX PERIOD ENDING 2011. FELL BEHIND IN TAXES DUE TO A DIVORCE. The registrant has hired Civil Tax Relief to interface with the Internal Revenue Service to assist in obtaining a resolution.

Disclosure 5 of 5

Reporting Source: Individual
Judgment/Lien Holder: IRS
Judgment/Lien Amount: \$29,863.09
Judgment/Lien Type: Tax
Date Filed with Court: 11/09/2011
Date Individual Learned: 03/15/2012
Type of Court: State Court
Name of Court: CLERK OF CIRCUIT COURT
Location of Court: TAMPA, FL
Docket/Case #: BD20799PG1456
Judgment/Lien Outstanding? Yes
Broker Statement TAX PERIOD ENDING 2003, 2005, 2008 & 2009. FELL BEHIND IN TAXES DUE



TO A DIVORCE. The registrant has hired Civil Tax Relief to interface with the Internal Revenue Service to assist in obtaining a resolution.



End of Report

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