



IAPD Report

JERRY ANTHONY WILLENBORG

CRD# 1734719

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JERRY ANTHONY WILLENBORG (CRD# 1734719)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/19/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	RAYMOND JAMES & ASSOCIATES, INC.	CRD# 705	07/03/1997
IA	RAYMOND JAMES & ASSOCIATES, INC.	CRD# 705	07/03/1997

QUALIFICATIONS

This representative is currently registered in **9** SRO(s) and **38** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	J. B. HANAUER & CO.	6958	PARSIPPANY, NJ	09/24/1987 - 07/21/1997

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **38** jurisdiction(s) and 9 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **RAYMOND JAMES & ASSOCIATES, INC.**

Main Address: 880 CARILLON PARKWAY
ST. PETERSBURG, FL 33716

Firm ID#: 705

	Regulator	Registration	Status	Date
	FINRA	General Securities Representative	Approved	07/03/1997
	FINRA	General Securities Sales Supervisor	Approved	07/08/1997
	FINRA	Registered Options Principal	Approved	08/30/2007
	Investors' Exchange LLC	General Securities Representative	Approved	10/31/2025
	MEMX LLC	General Securities Representative	Approved	10/31/2025
	MEMX LLC	General Securities Sales Supervisor	Approved	10/31/2025
	MEMX LLC	Registered Options Principal	Approved	10/31/2025
	NYSE American LLC	General Securities Representative	Approved	07/08/1997
	NYSE American LLC	Registered Options Principal	Approved	08/11/2004
	NYSE American LLC	General Securities Sales Supervisor	Approved	10/01/2018
	NYSE Arca, Inc.	General Securities Representative	Approved	10/31/2025
	NYSE Arca, Inc.	General Securities Sales Supervisor	Approved	10/31/2025
	NYSE Arca, Inc.	Registered Options Principal	Approved	10/31/2025



Qualifications

	Regulator	Registration	Status	Date
B	NYSE Texas, Inc.	General Securities Representative	Approved	10/31/2025
B	NYSE Texas, Inc.	General Securities Sales Supervisor	Approved	10/31/2025
B	Nasdaq PHLX LLC	General Securities Representative	Approved	07/03/1997
B	Nasdaq PHLX LLC	General Securities Sales Supervisor	Approved	07/08/1997
B	Nasdaq PHLX LLC	Registered Options Principal	Approved	08/30/2007
B	Nasdaq Stock Market	General Securities Representative	Approved	07/12/2006
B	Nasdaq Stock Market	General Securities Sales Supervisor	Approved	07/12/2006
B	Nasdaq Stock Market	Registered Options Principal	Approved	03/28/2008
B	New York Stock Exchange	General Securities Representative	Approved	07/03/1997
B	New York Stock Exchange	General Securities Sales Supervisor	Approved	10/01/2018
B	Alabama	Agent	Approved	07/08/1997
B	Alaska	Agent	Approved	05/30/2025
B	Arizona	Agent	Approved	02/12/2003
B	California	Agent	Approved	07/08/1997
B	Colorado	Agent	Approved	01/09/2001
B	Connecticut	Agent	Approved	03/25/1998
B	District of Columbia	Agent	Approved	04/11/2017
B	Florida	Agent	Approved	07/03/1997
IA	Florida	Investment Adviser Representative	Approved	07/03/1997



Qualifications

Regulator		Registration	Status	Date
B	Georgia	Agent	Approved	07/03/1997
B	Hawaii	Agent	Approved	07/31/2013
B	Idaho	Agent	Approved	04/08/2011
B	Illinois	Agent	Approved	01/04/1999
B	Indiana	Agent	Approved	07/03/1997
B	Kentucky	Agent	Approved	07/01/2004
B	Louisiana	Agent	Approved	10/17/2007
B	Maine	Agent	Approved	11/01/2023
B	Maryland	Agent	Approved	08/17/1999
B	Massachusetts	Agent	Approved	05/20/2002
B	Michigan	Agent	Approved	07/03/1997
B	Minnesota	Agent	Approved	06/21/2017
B	Montana	Agent	Approved	01/14/2021
B	Nevada	Agent	Approved	11/01/2023
B	New Hampshire	Agent	Approved	05/16/2023
B	New Jersey	Agent	Approved	09/15/1997
B	New Mexico	Agent	Approved	11/01/2023
B	New York	Agent	Approved	08/05/1997
B	North Carolina	Agent	Approved	07/03/1997



Qualifications

	Regulator	Registration	Status	Date
B	Ohio	Agent	Approved	08/31/2000
B	Oregon	Agent	Approved	03/11/2016
B	Pennsylvania	Agent	Approved	07/03/1997
B	Rhode Island	Agent	Approved	05/19/2022
B	South Carolina	Agent	Approved	08/30/2004
B	Tennessee	Agent	Approved	10/25/2023
B	Texas	Agent	Approved	02/25/2014
IA	Texas	Investment Adviser Representative	Approved	06/16/2015
B	Virginia	Agent	Approved	09/10/1997
B	Washington	Agent	Approved	08/18/2014
B	West Virginia	Agent	Approved	11/01/2023
B	Wisconsin	Agent	Approved	11/18/2004

Branch Office Locations

RAYMOND JAMES & ASSOCIATES, INC.

2893 Executive Park Drive
Suite 305
Weston, FL 33331







Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 4 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.


Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	01/02/2023
	Registered Options Principal Examination (S4)	Series 4	01/02/2023
	General Securities Sales Supervisor - General Module Examination (S10)	Series 10	01/02/2023
	General Securities Sales Supervisor Examination (Options Module & General Module) (S8)	Series 8	08/01/1995

General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	09/19/1987

State Securities Law Exams

	Exam	Category	Date
	Uniform Securities Agent State Law Examination (S63)	Series 63	08/13/1990

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	09/24/1987 - 07/21/1997	J. B. HANAUER & CO.	CRD# 6958	PARSIPPANY, NJ

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/1997 - Present	RAYMOND JAMES & ASSOCIATES, INC.	NOT PROVIDED	Y	FT LAUDERDALE, FL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1)Name of Business: Shore Brothers LLC Address: 2466 Poinciana Ct, Weston, FL, 33327, United States Activity Type: Rental Real Estate Position/Title: Owner/Proprietor Investment Related: Yes Start Date: 12/05/2018 Hours per month devoted to this business: 0-1 Hours per month devoted to this business during trading hours: 0-1 Description of duties: My brother, Frank Willenborg and I purchased a 5 unit building, I cosigned for the loan when we purchased the property. Frank now owns 100% of the building. We kept the loan and the Shores brothers LLC for Franks benefit. Frank manages all aspects of the building.

(2)Name of Business: Shores Brothers LLC Address: 2466 Poinciana Ct, Weston, FL, 33327, United States Activity Type: Business Owner Position/Title: Partner Investment Related: No Start Date: 12/04/2018 Hours per month devoted to this business: 0-1 Hours per month devoted to this business during trading hours: 0-1 Description of duties: I own this property with my brother Frank.

(3)Name of Business: Willenborg Family LLC Address: 2466 Poinciana Ct, Weston, FL, 33327, United States Activity Type: Business Owner Position/Title: Partner, Owner/Proprietor Investment Related: No Start Date: 10/01/2011 Hours per month devoted to this business: 0-1 Hours per month devoted to this business during trading hours: 0-1 Description of duties: I own rental property, which I also manage.

(4)Name of Business: Willenborg Family LLC Address: 2466 Poinciana Ct, Weston, FL, 33327, United States Activity Type: Rental Real Estate Position/Title: Owner/Proprietor Investment Related: Yes Start Date: 09/30/2011 Hours per month devoted to this business: 0-1 Hours per month devoted to this business during trading hours: 0-1 Description of duties: I own and manage this property

(5)Name of Business: Willenborg Properties LLC Address: 2466 Poinciana Ct, Weston, FL, 33327, United States Activity Type: Rental Real Estate Position/Title: Owner/Proprietor Investment Related: Yes Start Date: 06/20/2019 Hours per month devoted to this business: 0-1 Hours per month devoted to this business during trading hours: 0-1 Description of duties: I have rental properties, I own and manage.



End of Report

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