



IAPD Report

ANGELA MARIE FERRANTE

CRD# 1740108

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4 - 5
Disclosure Information	6

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ANGELA MARIE FERRANTE (CRD# 1740108)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/20/2026**.

CURRENT EMPLOYERS

Firm	CRD#	Registered Since
IA LEONARD ADVISORY GROUP LLC	CRD# 321165	11/06/2022

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
IA CENTER STREET ADVISORS, INC.	169329	BERLIN, PA	03/18/2015 - 11/01/2022
B CENTER STREET SECURITIES, INC.	26898	PITTSBURGH, PA	04/15/2011 - 11/01/2022
IA BROOKSTONE CAPITAL MANAGEMENT LLC	141413	BERLIN, PA	04/21/2011 - 03/16/2015

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Judgment/Lien	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **LEONARD ADVISORY GROUP LLC**
Main Address: 720 STATE ST
SAINT JOSEPH, MI 49085
Firm ID#: 321165

Regulator	Registration	Status	Date
IA Pennsylvania	Investment Adviser Representative	Approved	11/06/2022

Branch Office Locations

LEONARD ADVISORY GROUP LLC
180 Swinderman
STE 400
Wexford, PA 15090



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Principal Examination (S24)	Series 24	06/29/2001

General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	08/12/1991
	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	10/16/1987

State Securities Law Exams

	Exam	Category	Date
	Uniform Securities Agent State Law Examination (S63)	Series 63	11/04/1987

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	03/18/2015 - 11/01/2022	CENTER STREET ADVISORS, INC.	CRD# 169329	BERLIN, PA
B	04/15/2011 - 11/01/2022	CENTER STREET SECURITIES, INC.	CRD# 26898	PITTSBURGH, PA
IA	04/21/2011 - 03/16/2015	BROOKSTONE CAPITAL MANAGEMENT LLC	CRD# 141413	BERLIN, PA
IA	03/23/2010 - 02/11/2011	QA3 FINANCIAL LLC	CRD# 104957	BERLIN, PA
B	07/07/2009 - 02/11/2011	QA3 FINANCIAL CORP.	CRD# 14754	BERLIN, PA
B	03/23/2007 - 03/04/2009	SECURIAN FINANCIAL SERVICES, INC.	CRD# 15296	PITTSBURGH, PA
IA	08/13/2002 - 03/19/2007	NEW ENGLAND SECURITIES CORPORATION	CRD# 615	PITTSBURGH, PA
B	03/02/1994 - 03/19/2007	NEW ENGLAND SECURITIES	CRD# 615	PITTSBURGH, PA
B	10/19/1987 - 02/18/1994	EQUICO SECURITIES, INC.	CRD# 6627	NEW YORK, NY
B	10/19/1987 - 02/18/1994	THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES	CRD# 4039	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2022 - Present	Leonard Advisory Group LLC	Investment Adviser Representative	Y	Pittsburgh, PA, United States
05/2015 - Present	Leonard Advisory Group, LLC	investment Specialist	Y	Pittsburgh, PA, United States
04/2011 - Present	Laurel Highlands Risk Management	Owner	N	Berlin, PA, United States
06/1995 - Present	A.M. CATTLE COMPANY	OWNER	N	BERLIN, PA, United States
07/1987 - Present	SELF-EMPLOYED	SALESPERSON	N	BERLIN, PA, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2015 - 11/2022	CENTER STREET ADVISORS, INC.	INVESTMENT ADVISER REPRESENTATIVE	Y	NASHVILLE, TN, United States
04/2011 - 11/2022	CENTER STREET SECURITIES	REGISTERED REP	Y	NASHVILLE, TN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. LAUREL HIGHLANDS RISK MANAGEMENT, LLC, PRESIDENT, NON-INVST - LIFE, HEALTH, ACCIDENT, LONG TERM CARE AND ANNUITIES, START 7/97, . 5 HR/WK.
2. A.M. CATTLE COMPANY, 202 STONECREST DR BERLIN PA 15530; NON-INVST RLTD; FAMILY FARM; OWNER; 06/95-PRES; 10HRS/MO; 0 TRADE HRS/MO.
3. LEONARD ADVISORY GROUP, INVESTMENT SPECIALIST, START 03/2015 90 HOURS PER MONTH



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
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Judgment/Lien	1
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Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 1

Reporting Source:	Individual
Judgment/Lien Holder:	IRS
Judgment/Lien Amount:	\$36,819.21
Judgment/Lien Type:	Tax
Date Filed with Court:	11/07/2008
Date Individual Learned:	07/01/2009
Type of Court:	IRS
Name of Court:	N/A
Location of Court:	N/A
Docket/Case #:	200817143
Judgment/Lien Outstanding?	Yes

Broker Statement

I HAVE NOT RECEIVED NOTICE FROM THE IRS FOR THIS OTHER THAN THE WAGE GARNISHMENT AT SECURIAN FINANCIAL. THIS IS FOR UNPAID TAXES FROM 2002 RETURN AND AUDIT FOR 2003 AND 2004 THAT I DID NOT APPEAR FOR SINCE THEY USED MY OLD ADDRESS FOR THE NOTIFICATION. I HAVE HIRED A CPA, SIGNED AN ENGAGEMENT AGREEMENT AND POWER OF ATTORNEY FOR HIM TO RESOLVE THIS MATTER WHICH IS STILL PENDING.



End of Report

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