



## IAPD Report

# BELINDA GAIL AUCOIN

CRD# 1756536

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page  
<http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### BELINDA GAIL AUCOIN (CRD# 1756536)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/02/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	SUNBELT SECURITIES, INC.	CRD# 42180	06/09/2011
<b>IA</b>	SUNBELT SECURITIES	CRD# 42180	06/20/2012

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **18** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	MILKIE/FERGUSON INVESTMENTS, INC.	17606	DALLAS, TX	12/18/2007 - 06/26/2012
<b>B</b>	MILKIE/FERGUSON INVESTMENTS, INC.	17606	DALLAS, TX	11/30/2007 - 06/26/2012
<b>IA</b>	COMERICA SECURITIES	17079	DALLAS, TX	05/01/2002 - 11/07/2007

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Termination	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 18 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

#### Employment 1 of 1

Firm Name: **SUNBELT SECURITIES**

Main Address: 2700 POST OAK BLVD STE 1700  
HOUSTON, TX 77056

Firm ID#: 42180

Regulator	Registration	Status	Date
 FINRA	General Securities Representative	Approved	06/09/2011
 FINRA	General Securities Principal	Approved	06/20/2012
 FINRA	Government Securities Representative	Approved	06/20/2012
 FINRA	Investment Banking Representative	Approved	06/20/2012
 FINRA	Municipal Securities Representative	Approved	06/20/2012
 FINRA	Operations Professional	Approved	06/20/2012
 FINRA	Investment Banking Principal	Approved	10/01/2018
 Alabama	Agent	Approved	06/11/2020
 Alaska	Agent	Approved	11/05/2020
 Arizona	Agent	Approved	06/11/2020
 California	Investment Adviser Representative	Approved	06/20/2012
 California	Agent	Approved	06/21/2012
 Colorado	Agent	Approved	06/28/2012



## Qualifications

Regulator	Registration	Status	Date
B Florida	Agent	Approved	04/01/2014
IA Florida	Investment Adviser Representative	Approved	04/01/2014
B Georgia	Agent	Approved	06/20/2012
B Kansas	Agent	Approved	08/01/2022
B Louisiana	Agent	Approved	07/02/2012
B Massachusetts	Agent	Approved	06/13/2023
IA Massachusetts	Investment Adviser Representative	Approved	11/29/2023
B Minnesota	Agent	Approved	10/14/2025
B Missouri	Agent	Approved	06/02/2023
B New York	Agent	Approved	11/14/2013
IA New York	Investment Adviser Representative	Approved	05/18/2022
B Oklahoma	Agent	Approved	06/20/2012
B Pennsylvania	Agent	Approved	05/10/2017
B South Carolina	Agent	Approved	06/11/2020
B Texas	Agent	Approved	09/15/2011
IA Texas	Investment Adviser Representative	Approved	06/25/2012
B Washington	Agent	Approved	06/11/2020

## Branch Office Locations

**SUNBELT SECURITIES**  
12740 Hillcrest Road Ste 285



## Qualifications

Dallas, TX 75230



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 1 principal/supervisory exam, 6 general industry/product exams, and 1 state securities law exam.**

#### Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	03/17/1993

#### General Industry/Product Exams

Exam	Category	Date
 Government Securities Representative Examination (S72)	Series 72	01/02/2023
 Investment Banking Registered Representative Examination (S79TO)	Series 79TO	01/02/2023
 Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	11/21/1987
 Municipal Securities Representative Examination (S52)	Series 52	10/06/1987

#### State Securities Law Exams

Exam	Category	Date
 Uniform Securities Agent State Law Examination (S63)	Series 63	10/08/1987

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor



representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	12/18/2007 - 06/26/2012	MILKIE/FERGUSON INVESTMENTS, INC.	CRD# 17606	DALLAS, TX
B	11/30/2007 - 06/26/2012	MILKIE/FERGUSON INVESTMENTS, INC.	CRD# 17606	DALLAS, TX
IA	05/01/2002 - 11/07/2007	COMERICA SECURITIES	CRD# 17079	DALLAS, TX
B	01/01/1995 - 11/07/2007	COMERICA SECURITIES	CRD# 17079	DALLAS, TX
B	08/11/1993 - 12/31/1995	FIMCO SECURITIES GROUP, INC.	CRD# 30343	PORT WASHINGTON, WI
B	08/17/1993 - 01/01/1995	COMERICA FINANCIAL SERVICES, INC.	CRD# 31794	
B	06/30/1992 - 09/09/1992	COMERICA SECURITIES	CRD# 17079	DETROIT, MI
B	04/03/1992 - 06/30/1992	COMERICA BROKERS, INC.	CRD# 17010	
B	10/26/1990 - 04/16/1992	BANC ONE SECURITIES CORPORATION	CRD# 16999	CHICAGO, IL
B	09/07/1990 - 10/26/1990	MBANK CAPITAL MARKETS, INC.	CRD# 22904	
B	11/25/1987 - 01/01/1988	CLAREMONT FINANCIAL SERVICES	CRD# 16260	

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2012 - Present	TRINITY STATEGY PARTNERS (DBA)	REGISTERED REPRESENTATIVE	Y	DALLAS, TX, United States
06/2011 - Present	SUNBELT SECURITIES INC	REGISTERED REPRESENTATIVE	Y	HOUSTON, TX, United States



## Registration & Employment History

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) TRINITY STATEGY PARTNERS (DBA) 12740 Hillcrest Road, Ste 285 , DALLAS, TX 75230. DBA UNDER SUNBELT SECURITIES INC. INVESTMENT RELATED. REGISTERED REPRESENTATIVE. START: 06/2012. 40 HOURS A WEEK, DURING TRADING HOURS.

2) 98-B Sweet Grass LLC (OBA): Not investment related. 12213 Park Bend Dr, Dallas, TX 75230. Rental property. Property owner. Start: 07/2023. 2 hours per week, none during trading hours.

3) John & Bobby McKamy Foundation (OBA): Not investment related. 1213 Park Bend Dr, Dallas, TX 75230. 501(c)3 Charitable Foundation. President & Secretary. No relationship. Start: 11/21/2017. 1 hour per week, may or may not be during trading hours.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Termination	1

### Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Firm
<b>Firm Name:</b>	COMERICA SECURITIES, INC.
<b>Termination Type:</b>	Discharged
<b>Termination Date:</b>	11/06/2007
<b>Allegations:</b>	DURING A ROUTINE INTERNAL OFFICE EXAMINATION, IT WAS DETERMINED THAT THE RR VIOLATED INDUSTRY STANDARDS OF CONDUCT IN THAT SHE SIGNATURE GUARANTEED A CLIENT SIGNATURE THAT SHE KNEW WAS A FORGERY. THE FORGED SIGNATURE WAS OF THE DECEASED OWNER OF AN IRA ACCOUNT, SIGNED BY THE DECEASED CLIENT'S SPOUSE. THE FORM CONTAINING THE FORGED SIGNATURE WAS THEN SUBMITTED BY THE RR TO THE FIRM TO TRANSFER THE DECEASED CLIENT'S IRA TO THE FIRM. THIS VIOLATED FIRM POLICIES RELATED TO CLIENT SIGNATURES AND THE USE OF THE SIGNATURE GUARANTEE STAMP.
<b>Product Type:</b>	No Product

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#### Other Product Types:

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<b>Reporting Source:</b>	Individual
<b>Firm Name:</b>	COMERICA SECURITIES
<b>Termination Type:</b>	Discharged
<b>Termination Date:</b>	11/06/2007
<b>Allegations:</b>	IT WAS DETERMINED THAT THE RR VIOLATED INDUSTRY STANDARDS OF CONDUCT IN THAT SHE SIGNATURE GUARANTEED A CLIENT SIGNATURE THAT SHE KNEW WAS A FORGERY.
<b>Product Type:</b>	No Product

**Broker Statement**

Elderly surviving spouse and sole heir of recently deceased client was allowed to use a Durable Power of Attorney to sign her husband's name to a transfer form in order to transfer the custodian of an IRA in the name of her husband. The POA was current, and the broker believed that its "durable" status allowed its use even after death. Broker was attempting to help client consolidate IRA accounts because client did not have enough death certificates for each one and did not have a drivers license to go downtown to get more.



## **End of Report**

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