



IAPD Report

Christopher Evans McAdoo

CRD# 1757312

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Christopher Evans McAdoo (CRD# 1757312)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/22/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	STEWARD PARTNERS INVESTMENT ADVISORY, LLC	CRD# 283004	07/02/2020
B	STEWARD PARTNERS INVESTMENT SOLUTIONS, LLC	CRD# 1254	05/27/2022

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **36** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	RAYMOND JAMES FINANCIAL SERVICES, INC.	6694	CLEARWATER, FL	07/01/2020 - 05/27/2022
IA	UBS FINANCIAL SERVICES INC.	8174	CLEARWATER, FL	09/01/2006 - 07/09/2020
B	UBS FINANCIAL SERVICES INC.	8174	CLEARWATER, FL	08/31/2006 - 07/09/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	4



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **36** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **STEWARD PARTNERS INVESTMENT SOLUTIONS, LLC**
Main Address: 15495 SW SEQUOIA PARKWAY
SUITE 150
PORTLAND, OR 97224
Firm ID#: 1254

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	05/27/2022
B Alabama	Agent	Approved	05/27/2022
B Arizona	Agent	Approved	05/27/2022
B California	Agent	Approved	05/27/2022
B Colorado	Agent	Approved	05/27/2022
B Connecticut	Agent	Approved	05/27/2022
B District of Columbia	Agent	Approved	05/27/2022
B Florida	Agent	Approved	05/31/2022
B Georgia	Agent	Approved	05/27/2022
B Hawaii	Agent	Approved	03/06/2023
B Illinois	Agent	Approved	05/27/2022
B Indiana	Agent	Approved	05/27/2022
B Iowa	Agent	Approved	05/27/2022



Qualifications

Regulator	Registration	Status	Date
B Kansas	Agent	Approved	05/27/2022
B Kentucky	Agent	Approved	05/27/2022
B Louisiana	Agent	Approved	05/27/2022
B Maine	Agent	Approved	05/27/2022
B Massachusetts	Agent	Approved	05/27/2022
B Michigan	Agent	Approved	05/27/2022
B Missouri	Agent	Approved	05/27/2022
B New Jersey	Agent	Approved	05/27/2022
B New Mexico	Agent	Approved	05/27/2022
B New York	Agent	Approved	05/27/2022
B North Carolina	Agent	Approved	05/27/2022
B Ohio	Agent	Approved	05/27/2022
B Oregon	Agent	Approved	05/27/2022
B Pennsylvania	Agent	Approved	05/27/2022
B Rhode Island	Agent	Approved	05/27/2022
B South Carolina	Agent	Approved	05/27/2022
B Tennessee	Agent	Approved	05/27/2022
B Texas	Agent	Approved	05/27/2022
B Utah	Agent	Approved	05/27/2022



Qualifications

Regulator	Registration	Status	Date
B Vermont	Agent	Approved	05/27/2022
B Virginia	Agent	Approved	05/27/2022
B Washington	Agent	Approved	05/27/2022
B West Virginia	Agent	Approved	05/27/2022
B Wisconsin	Agent	Approved	05/27/2022

Branch Office Locations

STEWARD PARTNERS INVESTMENT SOLUTIONS, LLC
 600 CLEVELAND STREET
 SUITE 1150
 CLEARWATER, FL 33755

STEWARD PARTNERS INVESTMENT SOLUTIONS, LLC
 DUNEDIN, FL

Employment 2 of 2

Firm Name: **STEWARD PARTNERS INVESTMENT ADVISORY, LLC**
 Main Address: 400 ATLANTIC STREET
 FLOOR 10, SUITE 1020
 STAMFORD, CT 06901-3512
 Firm ID#: 283004

Regulator	Registration	Status	Date
IA Florida	Investment Adviser Representative	Approved	07/07/2020
IA Texas	Investment Adviser Representative	Restricted Approval	07/02/2020

Branch Office Locations

STEWARD PARTNERS INVESTMENT ADVISORY, LLC
 600 Cleveland Street
 Suite 1150
 Clearwater, FL 33755

STEWARD PARTNERS INVESTMENT ADVISORY, LLC
 DUNEDIN, FL



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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B General Securities Representative Examination (S7)	Series 7	04/16/1988
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State Securities Law Exams

Exam	Category	Date
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IA Uniform Investment Adviser Law Examination (S65)	Series 65	02/24/1994
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B Uniform Securities Agent State Law Examination (S63)	Series 63	04/27/1988
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	07/01/2020 - 05/27/2022	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	CLEARWATER, FL
IA	09/01/2006 - 07/09/2020	UBS FINANCIAL SERVICES INC.	CRD# 8174	CLEARWATER, FL
B	08/31/2006 - 07/09/2020	UBS FINANCIAL SERVICES INC.	CRD# 8174	CLEARWATER, FL
B	07/31/2001 - 09/05/2006	CITIGROUP GLOBAL MARKETS INC.	CRD# 7059	CLEARWATER, FL
IA	07/31/2001 - 09/05/2006	CITIGROUP GLOBAL MARKETS INC.	CRD# 7059	CLEARWATER, FL
B	03/20/1992 - 08/02/2001	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	NEW YORK, NY
B	06/20/1989 - 03/25/1992	PAINWEBBER INCORPORATED	CRD# 8174	WEEHAWKEN, NJ
B	04/28/1988 - 01/12/1990	FITZGERALD, DEARMAN & ROBERTS, INC.	CRD# 317	
B	04/19/1988 - 05/26/1988	GREENTREE SECURITIES CORP.	CRD# 7372	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2022 - Present	STEWART PARTNERS INVESTMENT SOLUTIONS, LLC	Registered Representative	Y	CLEARWATER, FL, United States
07/2020 - Present	STEWART PARTNERS GLOBAL ADVISORY, LLC	EMPLOYEE	N	Clearwater, FL, United States
07/2020 - Present	STEWART PARTNERS INVESTMENT ADVISORY, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	Clearwater, FL, United States
07/2020 - 05/2022	Raymond James Financial Services, Inc.	Financial Advisor	Y	Clearwater, FL, United States
08/2006 - 07/2020	UBS FINANCIAL SERVICES INC	FINANCIAL ADVISOR	Y	CLEARWATER, FL, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) Steward Partners Global Advisory, LLC.

Investment related.

Insurance business.

Clearwater, FL.

Started: 07/2020.

0 hrs. per month, 0 during trading hrs.

Insurance Agent.

2) Clearwater Jazz Holiday Foundation / Clearwater, FL / clearwaterjazz.com / Not investment related / Charity / Non-profit organization primary goal is to produce the preeminent jazz festival in Florida while preserving the legacy of jazz music / Active / Member of Board of directors / I provide input based on my experience as a former musician, parent and Businessman / Start Date: 11/15/2010 / 2-5 hours most weeks.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	4

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 4

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: UBS FINANCIAL SERVICES INC.

Allegations: TIME FRAME: SEPTEMBER 1, 2006 - SEPTEMBER 23, 2009
CLIENTS' COUNSEL ALLEGES FINANCIAL ADVISOR FAILED TO DIVERSIFY THE STOCKS MAINTAINED IN ALL CLIENTS' ACCOUNTS. COUNSEL FURTHER ALLEGES FINANCIAL ADVISOR FAILED TO DEVELOP AN APPROPRIATE INVESTMENT PLAN BASED ON CLIENTS' FINANCIAL NEEDS, TAX STATUS AND INVESTMENT OBJECTIVES.

Product Type: Other: MISCELLANEOUS

Alleged Damages: \$850,844.80

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 09/24/2009

Complaint Pending? No

Status: Denied

Status Date: 11/16/2009

Settlement Amount:

**Individual Contribution
Amount:****Disclosure 2 of 4**

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: MERRILL LYNCH, PIERCE, FENNER & SMITH INC.

Allegations: CUSTOMER ALLEGES UNSUITABLE RECOMMENDATIONS AND INVESTMENTS IN HIS ACCOUNT. CUSTOMER DOES NOT ALLEGE SPECIFIC COMPENSATORY DAMAGES.

Product Type: Equity - OTC

Other Product Type(s): EQUITY-LISTED

Alleged Damages: \$0.00

Customer Complaint Information

Date Complaint Received: 05/14/2003

Complaint Pending? No

Status: Closed/No Action

Status Date: 02/09/2004

Settlement Amount:

**Individual Contribution
Amount:**

Firm Statement MERRILL LYNCH FOUND THAT THE INVESTMENTS RECOMMENDED WERE SUITABLE FOR [CUSTOMER'S] ACCOUNT.

.....

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: MERRILL LYNCH

Allegations: CUSTOMER ALLEGES UNSUITABLE RECOMMENDATIONS AND INVESTMENTS IN HIS ACCOUNT. CUSTOMER DOES NOT ALLEGE SPECIFIC COMPENSATORY DAMAGES

Product Type: Equity - OTC

Alleged Damages: \$0.00

Customer Complaint Information

Date Complaint Received: 05/14/2003

Complaint Pending? No

Status: Closed/No Action

Status Date: 02/09/2004

Settlement Amount:

**Individual Contribution
Amount:**



Disclosure 3 of 4

Reporting Source: Regulator

Employing firm when activities occurred which led to the complaint: MERRILL LYNCH, PIERCE, FENNER & SMITH INC.

Allegations: CLAIMANT ALLEGED THAT RESPONDENT ENGAGED IN UNSUITABLE TRADING AND EXCESSIVE MARGIN TRADING. CLAIMANT SUED FOR THE FOLLOWING: UNSUITABILITY; MISREPRESENTATION; BREACH OF FIDUCIARY DUTY; COMMON LAW FRAUD; AND, NEGLIGENCE.

Product Type: Other

Other Product Type(s): STOCKS

Alleged Damages: \$100,000.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: [NASD - CASE #02-03667](#)

Date Notice/Process Served: 06/24/2002

Arbitration Pending? No

Disposition: Award

Disposition Date: 01/22/2004

Disposition Detail: STIPULATED AWARD: CLAIMANT NOTIFIED NASD THAT THIS MATTER HAS BEEN SETTLED.

.....

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.

Allegations: THE CLIENT ALLEES FRAUD, NEGLIGENCE AND BREACH OF FIDUCIARY DUTY.

Product Type: Equity - OTC

Alleged Damages: \$100,000.00

Customer Complaint Information

Date Complaint Received: 07/09/2002

Complaint Pending? No

Status: Arbitration/Reparation

Status Date: 07/09/2002

Settlement Amount:

Individual Contribution Amount:

Arbitration Information



Arbitration/Reparation Claim filed with and Docket/Case No.: [NASD-DR NO. 02-03667](#)

Date Notice/Process Served: 07/09/2002

Arbitration Pending? No

Disposition: Settled

Disposition Date: 01/15/2004

Monetary Compensation Amount: \$30,000.00

Individual Contribution Amount: \$0.00

Firm Statement THE CLAIMS WERE SETTLED WITH NO ADMISSION OF LIABILITY OR WRONGDOING TO AVOID THE TIME AND EXPENSE OF A HEARING.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: MERRILL LYNCH PIERCE

Allegations: THE CLIENT ALLEES FRAUD NEGLIGENCE AND BREACH OF FIDUCIARY DUTY

Product Type: Equity-OTC

Alleged Damages: \$100,000.00

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Settled

Status Date: 01/15/2004

Settlement Amount: \$0.00

Individual Contribution Amount: \$0.00

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): NASD

Docket/Case #: DR NO 02 03667

Date Notice/Process Served: 07/09/2002

Arbitration Pending? No

Disposition: Settled

Disposition Date: 01/15/2004

Monetary Compensation Amount: \$30,000.00



Individual Contribution Amount: \$0.00

Broker Statement THE CLAIMS WERE SETTLED WITH NO ADMISSION OF LIABILITY OR WRONGDOING TO AVOID THE TIME AND EXPENSE OF A HEARING

Disclosure 4 of 4

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: MERRILL LYNCH, PIERCE, FENNER & SMITH INC.

Allegations: THE CLIENT ALLEGED MR. MCADOO MADE UNAUTHORIZED AND UNSUITABLE TRADES.

Product Type: Equity - OTC

Alleged Damages: \$41,622.60

Customer Complaint Information

Date Complaint Received: 08/28/2001

Complaint Pending? No

Status: Settled

Status Date: 10/08/2001

Settlement Amount: \$39,122.60

Individual Contribution Amount: \$0.00

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: MERRILL LYNCH PIERCE FENNER & SMITH

Allegations: THE CLIENT ALLEGED MR MCADOO MADE UNAUTHORIZED AND UNSUITABLE TRADES

Product Type: Equity - OTC

Alleged Damages: \$41,622.60

Customer Complaint Information

Date Complaint Received: 08/28/2001

Complaint Pending? No

Status: Settled

Status Date: 10/08/2001

Settlement Amount: \$39,122.60

Individual Contribution Amount: \$0.00

Broker Statement [CUSTOMER] WAS INTRODUCED BY ANOTHER CLIENT AND APPROCHED ME ABOUT MANAGING HIS "SMALL IRA". HE WAS NOT PLEASED WITH INVESTMENTS OR RESULTS. 90% OF NET WORTH IN HOME. HE WANTED



TO TAKE MORE RISKS AND AGREED THIS WAS MONEY HE WOULD NOT USE UNTIL RETIREMENT. WE REVIEWED HIS ACCOUNTS BY PHONE. HE WANTED TO OWN TECHNOLOGY STOCKS. WE GOT ALL HIGHLY RATED STOCKS ACCORDING TO ML RESEARCH. FEE BASED ACCOUNT NO COMMISSION PAID. CLIENT WANTED IPOs VERY AWARE OF RISKS AND AWARE OF EVERY TRADE BEFORE TRADES WERE MADE. TOOK RISK IN OTHER BUSINESS LOST \$100,000.00.



End of Report

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