



IAPD Report

GARY EVAN FRIEDMAN

CRD# 1777181

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

GARY EVAN FRIEDMAN (CRD# 1777181)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/21/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	BISON WEALTH MANAGEMENT, LLC	CRD# 340724	03/20/2026

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SUMMIT CAPITAL INC.	121335	BEACHWOOD, OH	04/29/2009 - 05/21/2026
B	INDEPENDENCE CAPITAL CO., INC.	24723	PARMA, OH	08/18/1994 - 06/02/2000
B	COMPULIFE INVESTOR SERVICES, INC.	21543	ST. CLOUD, MN	09/22/1995 - 12/17/1996

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Customer Dispute	2
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **BISON WEALTH MANAGEMENT, LLC**
Main Address: 5010 MAYFIELD ROAD
SUITE 201
LYNDHURST, OH 44124
Firm ID#: 340724

Regulator	Registration	Status	Date
IA Ohio	Investment Adviser Representative	Approved	03/20/2026

Branch Office Locations

BISON WEALTH MANAGEMENT, LLC
BEACHWOOD, OH



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 1 general industry/product exam, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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B General Securities Representative Examination (S7)	Series 7	12/19/1987
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State Securities Law Exams

Exam	Category	Date
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IA Uniform Investment Adviser Law Examination (S65)	Series 65	01/06/2016
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/29/2009 - 05/21/2026	SUMMIT CAPITAL INC.	CRD# 121335	BEACHWOOD, OH
B	08/18/1994 - 06/02/2000	INDEPENDENCE CAPITAL CO., INC.	CRD# 24723	PARMA, OH
B	09/22/1995 - 12/17/1996	COMPULIFE INVESTOR SERVICES, INC.	CRD# 21543	ST. CLOUD, MN
B	07/24/1992 - 05/26/1994	LIBERTY SECURITIES CORPORATION	CRD# 14416	PURCHASE, NY
B	05/29/1991 - 08/14/1992	INDEPENDENCE CAPITAL CO., INC.	CRD# 24723	PARMA, OH
B	12/06/1989 - 05/03/1991	CIGNA SECURITIES, INC.	CRD# 145	RADNOR, PA
B	12/22/1987 - 11/15/1989	INTEGRATED RESOURCES EQUITY CORPORATION	CRD# 6403	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2026 - Present	BISON WEALTH MANAGEMENT, LLC	INVESTMENT ADVISORY REPRESENTATIVE	N	LYNDHURST, OH, United States
06/2008 - Present	SUMMIT CAPITAL, INC.	INVESTMENT ADVISOR REPRESENTATIVE	Y	PEPPER PIKE, OH, United States
07/2000 - Present	GARY E. FRIEDMAN	SOLICITOR	Y	BEACHWOOD, OH, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Customer Dispute	2
Termination	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 2

Reporting Source:	Individual
Regulatory Action Initiated By:	OHIO DEPARTMENT OF INSURANCE
Sanction(s) Sought:	Revocation
Other Sanction(s) Sought:	
Date Initiated:	11/14/2001
Docket/Case Number:	LGL-0000918-H
Employing firm when activity occurred which led to the regulatory action:	INDEPENDENT CONTRACTOR
Product Type:	Other
Other Product Type(s):	PROMISSORY NOTES
Allegations:	REVOCAION OF INVESTMENT ADVISOR REGISTRATION LED TO INSURANCE REVOCATION PROCEDURES.
Current Status:	Final
Resolution:	Order
Resolution Date:	07/15/2002
Sanctions Ordered:	Revocation/Expulsion/Denial
Other Sanctions Ordered:	
Sanction Details:	REVOCAION OF OHIO INSURANCE LICENSE



Broker Statement APPLICANT SOLD UNREGISTERED SECURITIES IN 1997-1998 BASED ON REPRESENTATIONS THAT SECURITIES WERE EXEMPT FROM REGISTRATION.

Disclosure 2 of 2

Reporting Source: Regulator
Regulatory Action Initiated By: OHIO DIVISION OF SECURITIES
Sanction(s) Sought: Cease and Desist
Date Initiated: 11/21/2000
Docket/Case Number: 00-484
URL for Regulatory Action:
Employing firm when activity occurred which led to the regulatory action: INDEPENDENCE CAPITAL COMPANY

Product Type: Promissory Note

Allegations: FROM NOVEMBER, 1997 THROUGH JUNE, 1998, SUBJECT SOLD 35 UNREGISTERED PROMISSORY NOTES TO OHIO INVESTORS TOTALING \$2,035,000. THESE NOTES WERE NOT APPROVED FOR SALE BY THE SUBJECT'S BROKER/DEALER.

Current Status: Final

Resolution: Order

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? No

Resolution Date: 12/26/2000

Sanctions Ordered: Cease and Desist
Revocation

Regulator Statement SUBJECT WAS ORDERED TO CEASE AND DESIST FROM VIOLATIONS OF THE OHIO SECURITIES LAW. THESE VIOLATIONS INCLUDED THE UNLAWFUL SALE OF UNREGISTERED SECURITIES AND SELLING AWAY.

Reporting Source: Individual
Regulatory Action Initiated By: OHIO DIVISION OF SECURITIES
Sanction(s) Sought: Revocation
Other Sanction(s) Sought: CEASE AND DESIST
Date Initiated: 11/14/2000
Docket/Case Number: 00-419 AND 00-484



Employing firm when activity occurred which led to the regulatory action:	INDEPENDENCE CAPITAL COMPANY, INC.
Product Type:	Other
Other Product Type(s):	PROMISSORY NOTES
Allegations:	SALE OF UNREGISTERED SECURITIES WITHOUT AUTHORIZATION OF BROKER-DEALER
Current Status:	Final
Resolution:	Consent
Resolution Date:	12/26/2000
Sanctions Ordered:	Cease and Desist/Injunction Revocation/Expulsion/Denial
Other Sanctions Ordered:	
Sanction Details:	REVOCAION OF OHIO INVESTMENT ADVISOR REPRESENTATIVE LICENSE ISSUED. CEASE AND DESIST ORDER ISSUED.
Broker Statement	APPLICANT SOLD UNREGISTERED SECURITIES IN 1997-1998 BASED ON REPRESENTATIONS, INCLUDING THOSE BY NASD MEMBER, THAT SECURITIES WERE EXEMPT FROM REGISTRATION BY LAW.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	SUMMIT CAPITAL, INC.
Allegations:	ALLEGED MISREPRESENTATIONS REGARDING TERMS OF PRIVATE PLACEMENTS IN 2007 - 2008. ALLEGATIONS ARE REFUTED BY DOCUMENTS RECEIVED BY PLAINTIFFS.
Product Type:	Promissory Note Real Estate Security
Alleged Damages:	\$0.00
Alleged Damages Amount Explanation (if amount not exact):	NONE STATED

Civil Litigation Information

Type of Court:	State Court
Name of Court:	SUMMIT COUNTY COMMON PLEAS
Location of Court:	AKRON, OH
Docket/Case #:	2011-03-1237
Date Notice/Process Served:	03/04/2011
Litigation Pending?	No
Disposition:	Settled
Disposition Date:	08/03/2011
Monetary Compensation Amount:	\$150,000.00
Individual Contribution Amount:	\$50,000.00
Broker Statement	ALLEGATIONS OF MISREPRESENTATIONS WERE COMPLETELY REFUTED BY DISCLOSURES SIGNED BY PLAINTIFFS. SETTLED SOLELY TO AVOID COST AND TIME OF LITIGATION.

Disclosure 2 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	INDEPENDENCE CAPITAL CO., INC.
Allegations:	CUSTOMER PURCHASED UNREGISTERED PROMISSORY NOTE FROM



APPLICANT IN 1997, RESULTING IN LOSS OF INVESTMENT.

Product Type: Promissory Note

Alleged Damages: \$74,000.00

Customer Complaint Information

Date Complaint Received: 07/01/2001

Complaint Pending? No

Status: Settled

Status Date: 11/08/2001

Settlement Amount: \$74,000.00

Individual Contribution Amount: \$0.00

Civil Litigation Information

Disposition: Monetary Judgment to Applicant (Agent/Representative)

Disposition Date: 11/08/2001

Broker Statement

CUSTOMER PURCHASED UNREGISTERED PROMISSORY NOTE FOR \$130,185.00 IN NOVEMBER, 1997. APPLICANT MADE PARTIAL REPAYMENT IN THE AMOUNT OF \$75,000 AS RESTITUTION. JUDGMENT IS FOR UNPAID BALANCE PLUS INTEREST.



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Individual
Firm Name:	INDEPENDENCE CAPITAL CO., INC.
Termination Type:	Voluntary Resignation
Termination Date:	06/02/2000
Allegations:	APPLICANT SOLD UNREGISTERED PROMISSORY NOTES.
Product Type:	Other
Other Product Types:	PROMISSORY NOTES
Broker Statement	SALES OF UNREGISTERED PROMISSORY NOTES WERE MADE BASED ON REPRESENTATION MADE, INCLUDING THAT BY NASD MEMBER, THAT SECURITIES WERE EXEMPT FROM REGISTRATION BY LAW.



End of Report

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