



IAPD Report

DAVID MARTIN JURAS

CRD# 1790433

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

DAVID MARTIN JURAS (CRD# 1790433)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/18/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LPL FINANCIAL LLC	CRD# 6413	05/15/2025
IA	LPL FINANCIAL LLC	CRD# 6413	05/15/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **28** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	CUSO FINANCIAL SERVICES, L.P.	42132	FT WAYNE, IN	02/14/2012 - 05/15/2025
IA	CUSO FINANCIAL SERVICES, L.P.	42132	FT WAYNE, IN	02/14/2012 - 05/15/2025
IA	INVEST FINANCIAL CORPORATION	12984	NEW HAVEN, IN	08/15/2002 - 02/16/2012

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **28** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **LPL FINANCIAL LLC**
Main Address: 1055 LPL WAY
FORT MILL, SC 29715
Firm ID#: 6413

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	05/15/2025
B	FINRA	General Securities Representative	Approved	05/15/2025
B	Alabama	Agent	Approved	05/15/2025
B	Arizona	Agent	Approved	05/15/2025
B	California	Agent	Approved	05/15/2025
B	Colorado	Agent	Approved	05/15/2025
B	Connecticut	Agent	Approved	05/15/2025
B	Florida	Agent	Approved	05/16/2025
B	Georgia	Agent	Approved	05/15/2025
B	Hawaii	Agent	Approved	12/18/2025
B	Illinois	Agent	Approved	05/15/2025
B	Indiana	Agent	Approved	05/15/2025
IA	Indiana	Investment Adviser Representative	Approved	05/15/2025



Qualifications

	Regulator	Registration	Status	Date
B	Kentucky	Agent	Approved	10/27/2025
B	Maryland	Agent	Approved	11/04/2025
B	Michigan	Agent	Approved	05/15/2025
B	Minnesota	Agent	Approved	12/19/2025
B	Mississippi	Agent	Approved	05/15/2025
B	Missouri	Agent	Approved	05/15/2025
B	Nevada	Agent	Approved	05/15/2025
B	North Carolina	Agent	Approved	05/15/2025
B	North Dakota	Agent	Approved	05/15/2025
B	Ohio	Agent	Approved	05/15/2025
B	Oklahoma	Agent	Approved	05/15/2025
B	Pennsylvania	Agent	Approved	05/15/2025
B	South Carolina	Agent	Approved	05/15/2025
B	Tennessee	Agent	Approved	08/13/2025
B	Texas	Agent	Approved	05/15/2025
IA	Texas	Investment Adviser Representative	Restricted Approval	05/22/2025
B	Virginia	Agent	Approved	05/15/2025
B	West Virginia	Agent	Approved	07/23/2025



Qualifications

Regulator	Registration	Status	Date
B Wisconsin	Agent	Approved	05/15/2025

Branch Office Locations

LPL FINANCIAL LLC
1605 NORTHLAND BLVD
FT WAYNE, IN 46825



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B General Securities Principal Examination (S24)	Series 24	10/04/1996

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	02/20/1988

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	08/01/1996
B Uniform Securities Agent State Law Examination (S63)	Series 63	04/13/1988



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	02/14/2012 - 05/15/2025	CUSO FINANCIAL SERVICES, L.P.	CRD# 42132	FT WAYNE, IN
IA	02/14/2012 - 05/15/2025	CUSO FINANCIAL SERVICES, L.P.	CRD# 42132	FT WAYNE, IN
IA	08/15/2002 - 02/16/2012	INVEST FINANCIAL CORPORATION	CRD# 12984	NEW HAVEN, IN
B	07/24/2002 - 02/16/2012	INVEST FINANCIAL CORPORATION	CRD# 12984	NEW HAVEN, IN
B	02/26/1990 - 05/07/2002	LINCOLN FINANCIAL ADVISORS CORPORATION	CRD# 3978	FORT WAYNE, IN
B	02/26/1990 - 05/07/2002	THE LINCOLN NATIONAL LIFE INSURANCE COMPANY	CRD# 2580	FORT WAYNE, IN
B	04/04/1989 - 08/19/1989	B C FINANCIAL CORPORATION	CRD# 13460	
B	02/23/1988 - 02/28/1989	POWER SECURITIES CORPORATION	CRD# 15527	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2025 - Present	LPL FINANCIAL LLC	REGISTERED REPRESENTATIVE	Y	FT WAYNE, IN, United States
07/2002 - Present	THREE RIVERS FEDERAL CREDIT UNION	CUSTOMER SERVICE REP	Y	FORT WAYNE, IN, United States
02/2012 - 05/2025	CUSO FINANCIAL SERVICES, LP	REGISTERED REPRESENTATIVE	Y	SAN DIEGO, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. 3/12/2025: ClearFuture Financial; At reported business location(s); DBA for LPL Business (entity for LPL business); Inv. related;



Registration & Employment History



OTHER BUSINESS ACTIVITIES

start date 1/1/2016; 160hrs/mo. during trading.

2. 4/15/2025: EDJ Northeast LLC; Angola, IN; Real Estate Rental; Inv. related; start date 10/3/2024; 0hrs/mo.; 0hrs during trading.

3. 4/15/2025: Cuso Financial; At reported business location(s); Non-Variable Insurance; Not inv. related; start date 1/1/2016; 160hrs/mo. during trading.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source: Regulator

Regulatory Action Initiated By: MICHIGAN

Sanction(s) Sought:

Other Sanction(s) Sought:

Date Initiated: 12/27/1989

Docket/Case Number: UNKNOWN

Employing firm when activity occurred which led to the regulatory action:

Product Type: No Product

Other Product Type(s):

Allegations: FAILURE TO PAY THE BALANCE OF THE \$30 1989 RENEWAL FEE, IN THE AMOUNT OF \$15.

Current Status: Final

Resolution: Decision

Resolution Date: 12/27/1989

Sanctions Ordered: Revocation/Expulsion/Denial

Other Sanctions Ordered:

Sanction Details: DAVID JURAS'REGISTRATION WAS REVOKED DUE TO THE FAILURE TO PAY THE BALANCE OF THE \$30 1989 RENEWAL FEE, IN THE AMOUNT OF \$15.



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Reporting Source:	Individual
Regulatory Action Initiated By:	STATE OF MICHIGAN
Sanction(s) Sought:	
Other Sanction(s) Sought:	
Date Initiated:	12/27/1989
Docket/Case Number:	N/A
Employing firm when activity occurred which led to the regulatory action:	N/A
Product Type:	No Product
Other Product Type(s):	
Allegations:	FAILURE TO PAY BALANCE OF THE 1989 STATE RENEWAL FEE.
Current Status:	Final
Resolution:	Decision
Resolution Date:	12/27/1989
Sanctions Ordered:	Revocation/Expulsion/Denial
Other Sanctions Ordered:	
Sanction Details:	I WAS NO LONGER IN SECURITY SALES, SO I CHOSE NOT TO HAVE MY LICENSE RENEWED IN MICHIGAN, THEREFORE I DIDN'T PAY THE FEE, THEREFORE, THE STATE REVOKED MY REGISTRATION.
Broker Statement	I WAS NO LONGER IN SECURITY SALES, SO I CHOSE NOT TO HAVE MY LICENSE RENEWED IN MICHIGAN, THEREFORE I DIDN'T PAY THE FEE, THEREFORE, THE STATE REVOKED MY REGISTRATION.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Regulator

Employing firm when activities occurred which led to the complaint: POWER SECURITIES

Allegations: BRCH OF FIDUCIARY DT; ACCOUNT RELATED-BREACH OF CONTRACT; TRADING DISPUTES-OTHER

Product Type:

Alleged Damages: \$5,040.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: [NASD - CASE #89-01701](#)

Date Notice/Process Served: 01/01/1989

Arbitration Pending? No

Disposition: Other

Disposition Date: 09/25/1989

Disposition Detail: AWARD AGAINST PARTY
ACTUAL/COMPENSATORY DAMAGES, RELIEF HAS
BEEN AWARDED (PARTIAL OR FULL), AWARD AMOUNT \$4,200.00 JOINTLY
AND SEVERALL

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Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: POWER SECURITIES

Allegations: \$4200.00 BECAUSE CLIENT LOST MONEY ON A STOCK INVESTMENT.

Product Type: Equity - OTC

Alleged Damages: \$5,040.00

Customer Complaint Information

Date Complaint Received: 01/01/1989

Complaint Pending? No

Status: Arbitration/Reparation

Status Date: 09/25/1989

Settlement Amount:



**Individual Contribution
Amount:**

Arbitration Information

**Arbitration/Reparation Claim
filed with and Docket/Case
No.:**

[NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.; 89-01701](#)

Date Notice/Process Served: 01/01/1989

Arbitration Pending? No

Disposition: Award to Customer

Disposition Date: 09/25/1989

**Monetary Compensation
Amount:** \$4,200.00

**Individual Contribution
Amount:** \$0.00

Broker Statement

NO RESULT. I INSTRUCTED THIS CLIENT TO FILE A CLAIM AGAINST MY FORMER BROKER-DEALER BECAUSE THEY WENT OUT OF BUSINESS AND HE LOST MONEY ON AN INVESTMENT. [CUSTOMER] USED MY NAME AS WELL AS THE NAME OF MY FORMER BROKER DEALER (POWER SECURITIES).
I GUESS USING MY NAME CAUSED THIS REPORT TO BE GENERATED. [CUSTOMER] INVESTED MONEY IN A STOCK THAT WENT DOWN. I DON'T THINK ANYTHING EVER HAS OR WILL COME FROM THIS OCCURRENCE.



End of Report

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