



IAPD Report

CLEMONT LEE BEVILL

CRD# 1790971

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

CLEMONT LEE BEVILL (CRD# 1790971)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/24/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	GREAT POINT CAPITAL LLC	CRD# 114203	01/10/2025
IA	QUINCY WELLS ADVISORS, LLC	CRD# 307477	01/10/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **3** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	CONCORDE INVESTMENT SERVICES, LLC	151604	Quincy, IL	11/05/2021 - 12/31/2024
IA	CONCORDE ASSET MANAGEMENT, LLC	140367	Quincy, IL	11/04/2021 - 12/31/2024
IA	SB ADVISORY, LLC	154680	quincy, IL	08/10/2021 - 11/04/2021

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	2
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **3** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **GREAT POINT CAPITAL LLC**
Main Address: 200 WEST JACKSON BLVD.
SUITE 1000
CHICAGO, IL 60606
Firm ID#: 114203

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	01/10/2025
B	FINRA	General Securities Representative	Approved	01/10/2025
B	FINRA	Invest. Co and Variable Contracts	Approved	01/10/2025
B	Florida	Agent	Approved	04/03/2025
B	Illinois	Agent	Approved	02/14/2025
B	Kentucky	Agent	Approved	01/10/2025

Branch Office Locations

1618 E. 9th Street
Suite 202
Hopkinsville, KY 42240

Quincy, IL


Employment 2 of 2

Firm Name: **QUINCY WELLS ADVISORS, LLC**
Main Address: 200 W. JACKSON BLVD. #1000
CHICAGO, IL 60606
Firm ID#: 307477

	Regulator	Registration	Status	Date
IA	Illinois	Investment Adviser Representative	Approved	01/15/2025



Qualifications

Regulator	Registration	Status	Date
 Kentucky	Investment Adviser Representative	Approved	01/10/2025

Branch Office Locations

QUINCY WELLS ADVISORS, LLC
Quincy, IL



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	05/18/1999

General Industry/Product Exams

	Exam	Category	Date
B	Investment Company Products/Variable Contracts Representative Examination (S6TO)	Series 6TO	01/02/2023
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	01/16/1988

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	03/21/2016
B	Uniform Securities Agent State Law Examination (S63)	Series 63	02/12/1988



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	11/05/2021 - 12/31/2024	CONCORDE INVESTMENT SERVICES, LLC	CRD# 151604	Quincy, IL
IA	11/04/2021 - 12/31/2024	CONCORDE ASSET MANAGEMENT, LLC	CRD# 140367	Quincy, IL
IA	08/10/2021 - 11/04/2021	SB ADVISORY, LLC	CRD# 154680	quincy, IL
IA	07/08/2021 - 11/04/2021	ES ADVISORY GROUP	CRD# 296929	Quincy, IL
B	06/22/2021 - 11/04/2021	SAN BLAS SECURITIES LLC	CRD# 290605	Quincy, IL
IA	11/30/2012 - 06/22/2021	SILVER OAK SECURITIES, INC.	CRD# 46947	Quincy, IL
B	11/29/2012 - 06/22/2021	SILVER OAK SECURITIES, INCORPORATED	CRD# 46947	Quincy, IL
IA	07/18/2007 - 03/01/2012	BERTHEL FISHER & COMPANY FINANCIAL SERVICES, INC.	CRD# 13609	HOPKINSVILLE, KY
B	06/28/2007 - 03/01/2012	BERTHEL, FISHER & COMPANY FINANCIAL SERVICES, INC.	CRD# 13609	HOPKINSVILLE, KY
IA	06/28/2007 - 07/06/2007	BERTHEL FISHER & COMPANY FINANCIAL SERVICES, INC.	CRD# 13609	HOPKINSVILLE, KY
B	12/11/2006 - 06/20/2007	NEXT FINANCIAL GROUP, INC.	CRD# 46214	HOPKINSVILLE, KY
IA	12/11/2006 - 06/20/2007	NEXT FINANCIAL GROUP, INC.	CRD# 46214	HOPKINSVILLE, KY
IA	06/12/2002 - 12/12/2006	RAYMOND JAMES FINANCIAL SERVICES	CRD# 6694	HOPKINSVILLE, KY
B	01/04/1999 - 12/12/2006	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	HOPKINSVILLE, KY
B	08/11/1997 - 01/04/1999	ROBERT THOMAS SECURITIES, INC	CRD# 10147	ST. PETERSBURG, FL
B	11/01/1991 - 08/11/1997	J.J.B. HILLIARD, W.L. LYONS, INC.	CRD# 453	LOUISVILLE, KY



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	01/21/1988 - 10/09/1991	EDWARD D. JONES & CO., L.P.	CRD# 250	ST. LOUIS, MO

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2025 - Present	Great Point Advisors, LLC	Investment Advisor Representative	Y	Chicago, IL, United States
01/2025 - Present	Great Point Capital, LLC	Registered Representative	Y	Chicago, IL, United States
08/1997 - Present	Foundation Financial Concepts, LLC	Owner	Y	Quincy, IL, United States
11/2021 - 12/2024	Concorde Asset Management, LLC	Investment Advisor Representative	Y	Livonia, MI, United States
11/2021 - 12/2024	Concorde Investment Services, LLC	Registered Representative	Y	Livonia, MI, United States
06/2021 - 11/2021	ES Advisory Group	Registered Representative	Y	Conyers, GA, United States
06/2021 - 11/2021	SB Advisory, LLC	Investment Advisor Representative	Y	Atlanta, GA, United States
06/2021 - 11/2021	San Blas Securities, LLC	Investment Advisor Representative	Y	Atlanta, GA, United States
11/2012 - 06/2021	Silver Oak Securities, Inc.	Registered Representative	Y	Jackson, TN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Lee Bevill is the owner of C. Lee Bevill Farms. The address of C. Lee Bevill Farms is Philadelphia, MO. The start date is April 11, 2011. His duties will be marketing of grain, oversight of hunting leases, co-advise crop rotation, CRP and conservation decisions, and he plans to spend 5 hours per month during normal trading hours and 5 hours per month outside of normal trading hours. This is not investment related.

Lee Bevill is the Sole Partner, Registered Representative, Investment Advisor Representative of Foundation Financial Concepts, LLC. The address of Foundation Financial Concepts, LLC is 2240 Broadway Street, Quincy, IL 62301. The start date is Jul 15, 1997. His duties will be all aspects of marketing investments, managing accounts and oversight of the DBA, and he plans to spend 130 hours per month during normal trading hours and 130 hours per month outside of normal trading hours. This is



Registration & Employment History



OTHER BUSINESS ACTIVITIES

investment related.

Lee Bevill is the Life, Health and Annuity licensed agent of Lee Bevill - agent. The address of Lee Bevill - agent is 2240 Broadway Street, Quincy, IL 62301. The start date is Mar 01, 1985. His duties will be Marketing of life, fixed annuities, and long-term care insurance, and he plans to spend 15 hours per month during normal trading hours and 10 hours per month outside of normal trading hours. This is investment related.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	2
Termination	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Court Details:	BOONE COUNTY MISSOURI AND COLUMBIA, MISSOURI DOCKET NO. 56527
Charge Date:	05/04/1974
Charge Details:	1)5 COUNTS FOR SALE OF A CONTROLLED SUBSTANCE (2 OCCASIONS) 2) FELONY 3) GUILTY 4) N/A
Felony?	Yes
Current Status:	Final
Status Date:	11/15/1976
Disposition Details:	A) CONVICTED B)SEPTEMBER 1974 C)5 YEAR SENTENCE D)SUSPENDED WITH PROBATION FOR FIVE YEARS WITH CLOSING IN 26 MONTHS D)SEPTEMBER 1974 TO NOVEMBER 1976
Broker Statement	THIS WAS A DARK TIME IN MY LIFE WHERE I WAS RECKLESS. THE CHARGES BEING BROUGHT AGAINST ME TURNED OUT TO BE THE BEST THING THAT EVER HAPPENED IN MY LIFE. THROUGH THIS SITUATION I REALIZED LIFE HAD TAKEN A DESTRUCTIVE COURSE WITH ME BEING IN CONTROL. WHEN I SURRENDERED MY LIFE TO JESUS CHRIST AND LET HIM HAVE CONTROL MY LIFE WAS DRAMATICALLY CHANGED FOREVER.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	Silver Oak Securities, Inc.
Allegations:	Misrepresentation or misleading information; transfer of account problem
Product Type:	No Product
Alleged Damages:	\$0.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	02/12/2021
Complaint Pending?	No
Status:	Closed/No Action
Status Date:	06/18/2021
Settlement Amount:	
Individual Contribution Amount:	

Disclosure 2 of 2

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	RAYMOND JAMES FINANCIAL SERVICES, INC
Allegations:	THE CLIENT ALLEGES THAT THE REPRESENTATIVE DID NOT DISCLOSE CORRECT INFORMATION REGARDING THE CONVERSION OF FUNDS FROM A TRADITIONAL IRA TO A ROTH IRA.
Product Type:	Annuity-Variable
Alleged Damages:	\$0.00
Alleged Damages Amount Explanation (if amount not exact):	THE FIRM BELIEVES THAT THE DAMAGES FROM THE ALLEGED CONDUCT WOULD BE MORE THAN \$5,000.00.
Is this an oral complaint?	No



Is this a written complaint? Yes

Is this an arbitration/CFTC
reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 01/10/2011

Complaint Pending? No

Status: Denied

Status Date: 04/07/2011

Settlement Amount:

Individual Contribution
Amount:

Firm Statement REPRESENTATIVE FEELS HE GAVE FULL DISCLOSURE TO CLIENT
REGARDING THE DEATH BENEFIT AND CONVERSION OF FUNDS AS
INSTRUCTED BY ING.

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Reporting Source: Individual

Employing firm when
activities occurred which led
to the complaint: RAYMOND JAMES

Allegations: THE CLIENT ALLEGES THAT THE REPRESENTATIVE DID NOT DISCLOSE
CORRECT INFORMATION REGARDING THE CONVERSION OF FUNDS FROM
A TRADITIONAL IRA TO A ROTH IRA.

Product Type: Annuity-Variable

Alleged Damages: \$0.00

Alleged Damages Amount
Explanation (if amount not
exact): THE FIRM BELIEVES THAT THE DAMAGES FROM THE ALLEGED CONDUCT
WOULD BE MORE THAN \$5,000.00.

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC
reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 01/10/2011

Complaint Pending? No

Status: Denied

Status Date: 04/07/2011

Settlement Amount:

Individual Contribution
Amount:

Broker Statement REPRESENTATIVE FEELS HE GAVE FULL DISCLOSURE TO CLIENT
REGARDING THE DEATH BENEFIT AND CONVERSION OF FUNDS AS
INSTRUCTED BY ING.





Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source: Firm
Firm Name: NEXT FINANCIAL GROUP
Termination Type: Discharged
Termination Date: 06/19/2007
Allegations: VIOLATING FIRM POLICY REGARDING E-MAIL. FAILURE TO SUPERVISE AN UNLICENSED INDIVIDUAL.
Product Type: Annuity(ies) - Variable
Other Product Types: BONDS

Reporting Source: Individual
Firm Name: NEXT FINANCIAL GROUP
Termination Type: Discharged
Termination Date: 06/19/2007
Allegations: FACILITATING THE SALE OF A SECURITIES PRODUCT BY AN UNLICENSED PERSON, RECOMMENDING AND INVESTMENT PRIOR TO CONDUCTING A SUITABILITY ANALYSIS, VIOLATING FIRM POLICY REGARDING EMAIL, AND FAILURE TO SUPERVISE AN UNLICENSED INDIVIDUAL.
Product Type: Annuity(ies) - Variable
Other Product Types: BONDS



End of Report

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