



IAPD Report

Gary G Bouch

CRD# 1807688

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Gary G Bouch (CRD# 1807688)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/02/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	OXINAS PARTNERS WEALTH MANAGEMENT	CRD# 297462	08/22/2018

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	WADDELL & REED	866	JEFFERSONVILLE, IN	04/22/2013 - 08/29/2018
B	WADDELL & REED	866	JEFFERSONVILLE, IN	04/19/2013 - 08/29/2018
B	AMERIPRISE FINANCIAL SERVICES, INC.	6363	LOUISVILLE, KY	10/05/2009 - 04/26/2013

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	2





Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OXINAS PARTNERS WEALTH MANAGEMENT**
Main Address: 607 NORTH SHORE DRIVE
SUITE 101
JEFFERSONVILLE, IN 47130
Firm ID#: 297462

Regulator	Registration	Status	Date
 Indiana	Investment Adviser Representative	Approved	08/22/2018
 Texas	Investment Adviser Representative	Restricted Approval	10/14/2022

Branch Office Locations

OXINAS PARTNERS WEALTH MANAGEMENT
607 NORTH SHORE DRIVE
SUITE 101
JEFFERSONVILLE, IN 47130



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	08/29/2018
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Futures Managed Funds Examination (S31)	Series 31	10/25/2007
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General Securities Representative Examination (S7)	Series 7	12/28/2000
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State Securities Law Exams

Exam	Category	Date
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Uniform Combined State Law Examination (S66)	Series 66	01/16/2001
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/22/2013 - 08/29/2018	WADDELL & REED	CRD# 866	JEFFERSONVILLE, IN
B	04/19/2013 - 08/29/2018	WADDELL & REED	CRD# 866	JEFFERSONVILLE, IN
B	10/05/2009 - 04/26/2013	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	LOUISVILLE, KY
IA	10/05/2009 - 04/26/2013	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	LOUISVILLE, KY
IA	02/13/2009 - 10/05/2009	AMERIPRISE ADVISOR SERVICES, INC.	CRD# 5979	LOUISVILLE, KY
B	01/30/2009 - 10/05/2009	AMERIPRISE ADVISOR SERVICES, INC.	CRD# 5979	LOUISVILLE, KY
IA	01/08/2004 - 02/27/2009	UBS FINANCIAL SERVICES INC.	CRD# 8174	LOUISVILLE, KY
B	11/22/2002 - 02/27/2009	UBS FINANCIAL SERVICES INC.	CRD# 8174	LOUISVILLE, KY
B	01/01/2001 - 01/07/2003	J.J.B. HILLIARD, W.L. LYONS, INC.	CRD# 453	LOUISVILLE, KY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2018 - Present	Oxinas Partners, LLC d/b/a Oxinas Partners Wealth Management	Partner and Investment Advisor Representative	Y	Jeffersonville, IN, United States
08/2018 - 01/2020	M.S. HOWELLS & CO.	REGISTERED REPRESENTATIVE	Y	JEFFERSONVILLE, IN, United States
04/2013 - 08/2018	WADDELL & REED, INC	ASSOCIATED PERSON	Y	JEFFERSONVILLE, IN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) Oxinas Holdings, LLC; Not Investment-Related; Jeffersonville, IN; LLC/Holding Company formed for our office property; Owner; 01/2019; Devote 2 hrs/month, which are not during securities trading hours; Maintain books and records. (2) Gary G.



Registration & Employment History



OTHER BUSINESS ACTIVITIES

Bouch, LLC; Not Investment-Related; Jeffersonville, IN; LLC Formed For Tax Purposes; Owner; Devote 4 hrs/month, which are not during securities trading hours; Maintain books and records. (3) CaskX; Not Investment-Related; 9270 Wilshire Blvd., 204, Beverly Hills, CA. 90212; 07/29/2022; Devotes 0 hrs/month; Has invested in Bourbon whiskey, which will be aged in bourbon barrels and then eventually sold in 4+years.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	2

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Regulator
Regulatory Action Initiated By:	INDIANA
Sanction(s) Sought:	Civil and Administrative Penalty(ies)/Fine(s)
Date Initiated:	07/12/2010
Docket/Case Number:	10-0130 CA
URL for Regulatory Action:	
Employing firm when activity occurred which led to the regulatory action:	AMERIPRISE ADVISOR SERVICES, INC. F/K/A H&R BLOCK FINANCIAL ADVISORS, INC. ("AASI")
Product Type:	Other: UNKNOWN
Allegations:	THE DIVISION ALLEGED THAT FROM APPROXIMATELY JANUARY 29, 2009, TO FEBRUARY 24, 2009, MR. BOUCH TRANSACTED BUSINESS IN THE STATE OF INDIANA AS AN AGENT OF AASI ALTHOUGH HE WAS NOT REGISTERED, OR EXEMPT FROM REGISTRATION, DURING THAT TIME IN VIOLATION OF IND. CODE 23-19-4-2(A).
Current Status:	Final
Resolution:	Consent



Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	07/12/2010
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s) Other: COSTS OF THE INVESTIGATION
If the regulator is the SEC, CFTC, or an SRO, did the action result in a finding of a willful violation or failure to supervise?	No
(1) willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board, or to have been unable to comply with any provision of such Act, rule or regulation?	No
(2) willfully aided, abetted, counseled, commanded, induced, or procured the violation by any person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board? or	No



(3) failed reasonably to supervise another person subject to your supervision, with a view to preventing the violation by such person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any such Acts, or any of the rules of the Municipal Securities Rulemaking Board?

No

Monetary Sanction 1 of 2

Monetary Related Sanction: Monetary Penalty other than Fines

Total Amount: \$500.00

Portion Levied against individual: \$500.00

Payment Plan: NONE

Is Payment Plan Current:

Date Paid by individual: 07/12/2010

Was any portion of penalty waived? No

Amount Waived:

Monetary Sanction 2 of 2

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$1,500.00

Portion Levied against individual: \$1,500.00

Payment Plan: NONE

Is Payment Plan Current:

Date Paid by individual: 07/12/2010

Was any portion of penalty waived? No

Amount Waived:

Reporting Source: Individual

Regulatory Action Initiated By: OFFICE OF THE INDIANA SECRETARY OF STATE, SECURITIES DIVISION

Sanction(s) Sought: Civil and Administrative Penalty(ies)/Fine(s)



Date Initiated:	07/14/2010
Docket/Case Number:	10-0130 CA
Employing firm when activity occurred which led to the regulatory action:	AMERIPRISE ADVISOR SERVICES INC.
Product Type:	No Product
Allegations:	THE STATE OF INDIANA OFFICE OF THE SECRETARY OF STATE, SECURITIES DIVISION, ALLEGED THE REPRESENTATIVE TRANSACTED BUSINESS IN INDIANA FROM JANUARY 29, 2009 TO FEBRUARY 24, 2009 WITHOUT BEING APPROPRIATELY LICENSED.
Current Status:	Final
Resolution:	Consent
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	07/14/2010
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s)
Monetary Sanction 1 of 1	
Monetary Related Sanction:	Civil and Administrative Penalty(ies)/Fine(s)
Total Amount:	\$2,000.00
Portion Levied against individual:	\$2,000.00
Payment Plan:	
Is Payment Plan Current:	Yes
Date Paid by individual:	07/09/2010
Was any portion of penalty waived?	No
Amount Waived:	
Broker Statement	CONSENT AGREEMENT RESOLVED THE INDIANA SECURITIES DIVISION'S ALLEGATIONS THAT THE AGENT TRANSACTED BUSINESS IN INDIANA DURING A 26 DAY PERIOD PRIOR TO THE EFFECTIVENESS OF HIS REGISTRATION WITH THE STATE. THE REPRESENTATIVE PAID A \$1,500 CIVIL PENALTY AND A \$500 CHARGE FOR REIMBURSEMENT FOR INVESTIGATION COSTS.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: UBS FINANCIAL SERVICES INC.

Allegations: TIME FRAME: OCT 2007

THE CLIENTS ALLEGE THAT THEIR FINANCIAL ADVISOR MISREPRESENTED THE VARIABLE ANNUITIES THEY WERE PLACED IN AND TOLD THEM THEY WOULD NOT LOSE THEIR PRINCIPAL. THE CLIENTS FURTHER ALLEGE THAT THEIR FINANCIAL ADVISOR DID NOT DISCLOSE FEES AND STATED SOME OF THEIR INVESTMENTS WERE UNSUITABLE. DAMAGES ALLEGED TO BE IN EXCESS OF \$5,000.00.

Product Type: Other

Other Product Type(s): ANNUITIES

Alleged Damages: \$5,000.00

Customer Complaint Information

Date Complaint Received: 03/19/2009

Complaint Pending? No

Status: Denied

Status Date: 03/25/2009

Settlement Amount:

Individual Contribution Amount:

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: UBS FINANCIAL SERVICES, INC.

Allegations: CLIENTS ALLEGED VARIABLE ANNUITIES WERE MISREPRESENTED AS THEY WERE TOLD THEY WOULD NOT LOSE THEIR PRINCIPAL. CLIENTS ALSO ALLEGE FEES WERE NOT DISCLOSED AND SOME OF INVESTMENTS WERE UNSUITABLE. THE TIME PERIOD IS OCTOBER 2007.

Product Type: Other

Other Product Type(s): VARIABLE ANNUITIES

Alleged Damages: \$5,000.00

Customer Complaint Information



Date Complaint Received: 03/19/2009

Complaint Pending? No

Status: Denied

Status Date: 03/25/2009

Settlement Amount:

Individual Contribution Amount:

Disclosure 2 of 2

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: UBS FINANCIAL SERVICES INC.

Allegations: CLIENT ALLEGES THAT HE WAS MISLED IN REFERENCE TO THE MANAGED ACCOUNT THE FA RECOMMENDED TO HIM. CLIENT FURTHER ALLEGES THAT THERE WAS TRADING ACTIVITY THAT OCCURRED THAT HE DID NOT AUTHORIZE. DAMAGES ESTIMATED TO BE IN EXCESS OF \$5000.00

Product Type: Other: AUCTION RATE SECURITIES

Alleged Damages: \$5,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 04/14/2008

Complaint Pending? No

Status: Denied

Status Date: 11/20/2008

Settlement Amount: \$0.00

Individual Contribution Amount: \$0.00

Broker Statement THE COMPLAINT AROSE BECAUSE OF UNPREDEDENTED MARKET EVENTS THAT CAUSED THE BREAKDOWN OF LIQUIDITY IN THE MARKET FOR AUCTION SECURITIES.



End of Report

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