



IAPD Report

PETER MICHAEL MONAHAN JR

CRD# 1821205

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 5
Registration and Employment History	7 - 9



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

PETER MICHAEL MONAHAN JR (CRD# 1821205)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/10/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CETERA WEALTH SERVICES, LLC	CRD# 13572	08/10/2023
IA	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	08/10/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **24** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	SECURIAN FINANCIAL SERVICES, INC.	15296	Plymouth Meeting, PA	05/20/2016 - 08/10/2023
IA	SECURIAN FINANCIAL SERVICES, INC.	15296	Plymouth Meeting, PA	05/20/2016 - 08/10/2023
IA	METLIFE SECURITIES INC.	14251	NEWTOWN SQUARE, PA	07/23/2012 - 12/31/2015

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.






This individual is currently registered with **24** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CETERA WEALTH SERVICES, LLC**

Main Address: 2301 ROSECRANS AVE #5100
EL SEGUNDO, CA 90245

Firm ID#: 13572

	Regulator	Registration	Status	Date
	FINRA	General Securities Principal	Approved	08/10/2023
	FINRA	General Securities Representative	Approved	08/10/2023
	FINRA	Invest. Co and Variable Contracts	Approved	08/10/2023
	FINRA	Investment Co./Variable Contracts Prin	Approved	08/10/2023
	California	Agent	Approved	08/10/2023
	Colorado	Agent	Approved	08/10/2023
	Connecticut	Agent	Approved	08/10/2023
	Delaware	Agent	Approved	08/10/2023
	District of Columbia	Agent	Approved	08/10/2023
	Florida	Agent	Approved	08/11/2023
	Georgia	Agent	Approved	08/10/2023
	Illinois	Agent	Approved	08/10/2023
	Maine	Agent	Approved	08/10/2023



Qualifications

	Regulator	Registration	Status	Date
B	Maryland	Agent	Approved	08/10/2023
B	Massachusetts	Agent	Approved	08/10/2023
B	Michigan	Agent	Approved	08/10/2023
B	New Jersey	Agent	Approved	08/10/2023
B	New York	Agent	Approved	08/10/2023
B	North Carolina	Agent	Approved	08/10/2023
B	North Dakota	Agent	Approved	08/10/2023
B	Ohio	Agent	Approved	08/10/2023
B	Oregon	Agent	Approved	08/10/2023
B	Pennsylvania	Agent	Approved	08/10/2023
B	South Carolina	Agent	Approved	08/10/2023
B	Texas	Agent	Approved	08/10/2023
B	Utah	Agent	Approved	08/10/2023
B	Virginia	Agent	Approved	08/10/2023
B	Washington	Agent	Approved	08/10/2023

Branch Office Locations

CETERA ADVISOR NETWORKS LLC

620 W Germantown Pike
Suite 170
Plymouth Meeting, PA 19462

Employment 2 of 2

Firm Name: **CETERA INVESTMENT ADVISERS LLC**



Qualifications

Main Address: 1450 AMERICAN LANE
6TH FLOOR, SUITE 650
SCHAUMBURG, IL 60173-2096

Firm ID#: 105644

	Regulator	Registration	Status	Date
IA	Pennsylvania	Investment Adviser Representative	Approved	08/10/2023
IA	Texas	Investment Adviser Representative	Restricted Approval	08/10/2023

Branch Office Locations

CETERA INVESTMENT ADVISERS LLC
620 W GERMANTOWN PIKE
STE 170
PLYMOUTH MEETING, PA 19462



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 3 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	11/22/2002
B	Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	01/29/1997

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	02/28/1996
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	04/11/1988

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	07/09/2012
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	07/02/1997
B	Uniform Securities Agent State Law Examination (S63)	Series 63	04/11/1988



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.





Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	05/20/2016 - 08/10/2023	SECURIAN FINANCIAL SERVICES, INC.	CRD# 15296	Plymouth Meeting, PA
IA	05/20/2016 - 08/10/2023	SECURIAN FINANCIAL SERVICES, INC.	CRD# 15296	Plymouth Meeting, PA
IA	07/23/2012 - 12/31/2015	METLIFE SECURITIES INC.	CRD# 14251	NEWTOWN SQUARE, P.
B	06/13/2001 - 12/31/2015	METLIFE SECURITIES INC.	CRD# 14251	NEWTOWN SQUARE, P.
B	06/13/2001 - 07/09/2007	METROPOLITAN LIFE INSURANCE COMPANY	CRD# 4095	MARLTON, NJ
B	07/26/1996 - 09/11/2000	PRUCO SECURITIES CORPORATION	CRD# 5685	NEWARK, NJ
B	01/13/1995 - 06/13/1996	CAPITAL ANALYSTS, INCORPORATED	CRD# 5478	CINCINNATI, OH
B	04/13/1988 - 11/10/1994	PRUCO SECURITIES CORPORATION	CRD# 5685	NEWARK, NJ
B	04/13/1988 - 12/10/1993	THE PRUDENTIAL INSURANCE COMPANY OF AMERICA	CRD# 680	NEWARK, NJ

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2023 - Present	WEALTHBRIDGE FINANCIAL	OWNER	Y	PLYMOUTH MEETING, PA, United States
08/2023 - Present	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
08/2023 - Present	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	Plymouth Meeting, PA, United States
05/2016 - Present	Delaware Valley Advisors	Managing Partner	Y	Huntingdon Valley, PA, United States
05/2016 - 08/2023	Minnesota Life Insurance Co	Managing Partner	Y	St. Paul, MN, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2016 - 08/2023	Securian Financial Services	Registered Rep	Y	St. Paul, MN, United States
12/2015 - 05/2016	UNEMPLOYED	UNEMPLOYED	N	HAVERTOWN, PA, United States
04/2001 - 12/2015	METLIFE SECURITIES, INC	DIRECTOR	Y	MARLTON, NJ, United States
04/2001 - 12/2015	METROPOLITAN LIFE INS CO	DIRECTOR	Y	MARLTON, NJ, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) CEDAR SANDS OCEAN CONDO ASSOCIATION

POSITION: Advisory Board NATURE: Board Member INVESTMENT RELATED: No NUMBER OF HOURS: 2 SECURITIES

TRADING HOURS: 0 START DATE: 02/01/2010

ADDRESS: , Brigantine NJ , United States

DESCRIPTION: Advisory Board

2) NAME OF OTHER BUSINESS: FIXED INSURANCE WITH VARIOUS COMPANIES;

INVESTMENT RELATED: YES;

ADDRESS: SAME AS REGISTERED LOCATION;

NATURE OF BUSINESS: FIXED INSURANCE;

START DATE: 08/2023;

APX NUMBER OF HOURS PER WEEK: 5;

APX NUMBER OF HOURS DURING TRADING HOURS: 5;

POSITION/TITLE/RELATIONSHIP: INSURANCE AGENT

BRIEF DESCRIPTION OF DUTIES: SELLS LIFE, HEALTH, DISABILITY AND LONG-TERM CARE;

3. NAME OF OTHER BUSINESS: LIFE SETTLEMENT BUSINESS

INVESTMENT RELATED: NO

ADDRESS: SAME AS REGISTERED LOCATION

NATURE OF BUSINESS: LIFE SETTLEMENT

START DATE: 08/2023,

APX NUMBER OF HOURS PER WEEK: 5

APX NUMBER OF HOURS DURING TRADING HOURS: 5

POSITION/TITLE/RELATIONSHIP: INSURANCE AGENT

BRIEF DESCRIPTION OF DUTIES: SELLS LIFE SETTLEMENTS THROUGH WELCOME FUNDS, INC OR ASHAR GROUP;

4. NAME OF OTHER BUSINESS: WEALTHBRIDGE FINANCIAL,

INVESTMENT RELATED: YES,

ADDRESS: SAME AS REGISTERED LOCATION,

NATURE OF BUSINESS: FINANCIAL SERVICES,

START DATE: 09/2023,

POSITION/TITLE/RELATIONSHIP: OWNER,

APX NUMBER OF HOURS PER WEEK: 40,

APX NUMBER OF HOURS DURING TRADING HOURS: 32.5,



Registration & Employment History



OTHER BUSINESS ACTIVITIES

BRIEF DESCRIPTION OF DUTIES: DBA FOR FINANCIAL SERVICES;



End of Report

This page is intentionally left blank.