



IAPD Report

PAUL BERNARD HUNT

CRD# 1828106

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

PAUL BERNARD HUNT (CRD# 1828106)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/02/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	HUB INVESTMENT PARTNERS, LLC	CRD# 307956	01/28/2025

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	MILLENNIUM ADVISORY SERVICES, INC.	116057	GLEN ALLEN, VA	03/14/2001 - 01/29/2025

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	5
Termination	1
Judgment/Lien	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **HUB INVESTMENT PARTNERS, LLC**
Main Address: 900 S. CAPITAL OF TX HIGHWAY
SUITE 350
AUSTIN, TX 78746
Firm ID#: 307956

Regulator	Registration	Status	Date
IA Texas	Investment Adviser Representative	Restricted Approval	01/28/2025
IA Virginia	Investment Adviser Representative	Approved	01/30/2025

Branch Office Locations

HUB INVESTMENT PARTNERS, LLC
120 Eastshore Dr
Suite 305
Glen Allen, VA 23059



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 0 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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No information reported.

State Securities Law Exams

Exam	Category	Date
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IA Uniform Investment Adviser Law Examination (S65)	Series 65	02/28/2001
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	03/14/2001 - 01/29/2025	MILLENNIUM ADVISORY SERVICES, INC.	CRD# 116057	GLEN ALLEN, VA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
12/2024 - Present	HUB INVESTMENT PARTNERS, LLC	INVESTMENT ADVISOR	Y	AUSTIN, TX, United States
04/2004 - Present	MILLENNIUM CAPITAL, LLC	MEMBER	N	GLEN ALLEN, VA, United States
09/2000 - 01/2025	MILLENNIUM ADVISORY SERVICES, INC.	PRESIDENT/CHIEF COMPLIANCE OFFICER / INVESTMENT ADVISOR REPRESENTATIVE	Y	RICHMOND, VA, United States
04/2000 - 12/2016	MILLENNIUM CAPITAL MANAGEMENT OF VIRGINIA, INC.	PRESIDENT, AGENT	Y	GLEN ALLEN, VA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1-MILLENNIUM CAPITAL, LLC; NON-INVESTMENT RELATED; 5340 TWIN HICKORY RD, GLEN ALLEN, VA 23059; ENTITY PREVIOUSLY OWNED OFFICE CONDOMINIUM USED AS OFFICE SPACE FOR MILLENNIUM ADVISORY SERVICES, WAS SOLD IN JANUARY 2025, WILL TERMINATE LLC AFTER TAX RETURN FOR 2025 FILED; MEMBER; APRIL 2004; 0 HRS/MONTH & TRADING DAY; NO COMPENSATION; NO DUTIES REQUIRED.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	5
Termination	1
Judgment/Lien	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 5

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	FIRST MONTAUK SECURITIES CORP.
Allegations:	CUSTOMER ALLEGES UNSUITABILITY AND MISREPRESENTATION REGARDING FEES CONCERNING ANNUITY.
Product Type:	Annuity(ies) - Variable
Alleged Damages:	\$24,000.00

Customer Complaint Information

Date Complaint Received:	12/20/2004
Complaint Pending?	No
Status:	Denied
Status Date:	01/11/2005
Settlement Amount:	

Individual Contribution Amount:

Firm Statement THE BROKER DENIES THE ALLEGATIONS. THE CLIENT WAS SUITABLE FOR ALL RECOMMENDATIONS MADE, AND ALL MATERIAL FACTS WERE DISCLOSED TO THE CLIENT REGARDING COMMISSIONS AND FEES. THE CLIENT IS ATTEMPTING TO BLAME OTHER FOR HIS OWN INVESTMENT

DECISIONS.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: FIRST MONTAUK SECURITIES CORP.

Allegations: CUSTOMER ALLEGES UNSUITABILITY AND MISREPRESENTATION REGARDING FEES CONCERNING ANNUITY.

Product Type: Annuity(ies) - Variable

Alleged Damages: \$24,000.00

Customer Complaint Information

Date Complaint Received: 12/20/2004

Complaint Pending? No

Status: Closed/No Action

Status Date: 02/17/2005

Settlement Amount:**Individual Contribution Amount:**

Broker Statement I DENY ALL ALLEGATIONS. THE CLIENT WAS SUITABLE FOR ALL RECOMMENDATIONS MADE, AND ALL MATERIAL FACTS WERE DISCLOSED TO THE CLIENT REGARDING COMMISSIONS AND FEES.

Disclosure 2 of 5

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: FIRST MONTAUK SECURITIES CORP

Allegations: CUSTOMER ALLEGES UNSUITABILITY, MISREPRESENTATION RE ANNUITY

Product Type: Annuity(ies) - Variable

Alleged Damages: \$40,000.00

Customer Complaint Information

Date Complaint Received: 11/26/2004

Complaint Pending? No

Status: Denied

Status Date: 12/29/2004

Settlement Amount:**Individual Contribution Amount:**

Firm Statement THE BROKER DENIES THE ALLEGATIONS. THE CLIENT WAS SUITABLE FOR ALL RECOMMENDATIONS MADE AND ALL MATERIAL FACTS WERE DISCLOSED. THE CLIENT IS ATTEMPTING TO BLAME OTHERS FOR HIS OWN INVESTMENT DECISIONS.



Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: FIRST MONTAUK SECURITIES CORP.

Allegations: CUSOMER ALLEGES UNSUITABILITY, MISREPRESENTATION RE: ANNUITY

Product Type: Annuity(ies) - Variable

Alleged Damages: \$40,000.00

Customer Complaint Information

Date Complaint Received: 11/26/2004

Complaint Pending? No

Status: Closed/No Action

Status Date: 01/21/2005

Settlement Amount:

Individual Contribution Amount:

Broker Statement I DENY THE ALLEGATIONS. THE CLIENT WAS SUITABLE FOR ALL RECOMMENDATIONS MADE, AND ALL MATERIAL FACTS WERE DISCLOSED.

Disclosure 3 of 5

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint:

Allegations: CUSTOMER ALLEGED INAPPROPRIATE INVESTMENT ADVICE TO CONCENTRATE IN SEVERAL STOCK POSITIONS IN JUNE & OCTOBER 1993, & MISREPRESENTATIONS THAT STOCKS WERE FOLLOWED BY FIRM'S INVESTMENT STRATEGIST. DAMAGES CLAIMED OF \$50,000.

Product Type:

Alleged Damages: \$50,000.00

Customer Complaint Information

Date Complaint Received: 05/01/1994

Complaint Pending? No

Status: Settled

Status Date:

Settlement Amount: \$35,000.00

Individual Contribution Amount:

Firm Statement CLAIM WAS SETTLED FOR \$35,000 WITHOUT ADMITTING LIABILITY ON BEHALF OF THE FIRM.



Not Provided

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint:

Allegations: CUSTOMER ALLEGED INAPPROPRIATE INVESTMENT ADVICE TO CONCENTRATE IN SEVERAL STOCK POSITIONS IN JUNE & OCTOBER 1993, AND MISREPRESENTATIONS THAT STOCKS WERE FOLLOWED BY FIRM'S INVESTMENT STRATEGIST. DAMAGES CLAIMED OF \$50,000

Product Type:

Alleged Damages: \$50,000.00

Customer Complaint Information

Date Complaint Received: 05/01/1994

Complaint Pending? No

Status: Settled

Status Date:

Settlement Amount: \$35,000.00

Individual Contribution Amount:

Broker Statement CLAIM WAS SETTLED FOR \$35,000 WITHOUT ADMITTING LIABILITY ON BEHALF OF THE FIRM. CUSTOMER OWNED 2 PORTFOLIOS MANAGED BY FIRM'S INVESTMENT STRATEGIST. WE WOULD DISCUSS INVESTMENT STRATEGIST'S MARKET OUTLOOK. STOCK PURCHASED IN JUNE 1993 WAS PURCHASED AS A LONG TERM TURNAROUND SITUATION. CUSTOMER WAS AWARE OF DISCUSSED AND AGREED TO STOCK PURCHASES. I RELIED UPON INFORMATION FROM FIRM ANALYST IN MAKING OCTOBER '93 RECOMMENDATIONS. PURPOSE OF INVESTMENT WAS FOR SHORT TERM TO LATER MOVE INTO A MANAGED DIVERSIFIED PORTFOLIO.

Disclosure 4 of 5

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint:

Allegations: STOCKS PURCHASED IN LATE OCTOBER-NOVEMBER 1993 WERE INAPPROPRIATE PER INSTRUCTIONS AND OBJECTIVE OF CLIENT. STOCKS HAD DECLINED SOME \$16,437.50 AT TIME OF COMPLAINT.

Product Type:

**Alleged Damages:****Customer Complaint Information****Date Complaint Received:** 01/07/1994**Complaint Pending?** No**Status:** Settled**Status Date:****Settlement Amount:** \$16,437.50**Individual Contribution Amount:****Firm Statement**

STOCK PURCHASES CANCELED AND ACCOUNT REINSTATED TO BEGINNING BALANCE RESULTING IN SETTLEMENT OF \$16,437.50. CLIENT AND MR. HUNT INFORMED FIRM THAT WHILE CLIENT WAS AWARE OF, DISCUSSED AND AGREED TO STOCK PURCHASES, THE PRIMARY INVESTMENT OBJECTIVE FOR THE ACCRUAL WAS TO FIND AS INCOME INVESTMENT VEHICLE. DUE TO MARKET LOSSES THE OPPORTUNITY FOR THE INCOME INVESTMENT BECAME UNAVAILABLE.

Reporting Source: Individual**Employing firm when activities occurred which led to the complaint:****Allegations:** STOCKS PURCHASED IN LATE OCTOBER - NOVEMBER 1993 WERE INAPPROPRIATE PER INSTRUCTIONS AND OBJECTIVE OF CLIENT. STOCKS HAD DECLINED SOME \$16,437.50 AT TIME OF COMPLAINT.**Product Type:****Alleged Damages:****Customer Complaint Information****Date Complaint Received:** 01/07/1994**Complaint Pending?** No**Status:** Settled**Status Date:****Settlement Amount:** \$16,437.50**Individual Contribution Amount:****Broker Statement**

STOCK PURCHASES CANCELLED AND ACCOUNT REINSTATED TO BEGINNING BALANCE RESULTING IN SETTLEMENT OF \$16,437.50. CUSTOMER WAS AWARE OF DISCUSSED AND AGREED TO STOCK PURCHASES. I RELIED UPON INFORMATION FROM ANALYST IN MAKING RECOMMENDATIONS. PURPOSE OF INVESTMENT WAS FOR SHORT TERM TO LATER MOVE INTO INCOME PRODUCING VEHICLE.

**Disclosure 5 of 5****Reporting Source:** Individual**Employing firm when activities occurred which led to the complaint:****Allegations:** INAPPROPRIATE INVESTMENT STRATEGY INCLUDING USE OF MARGIN. DAMAGES CLAIMED INCLUDED TRADING LOSSES MARGIN INTEREST GENERATED AND LOST OPPORTUNITY TOTALLING APPROXIMATELY \$60,000.**Product Type:****Alleged Damages:** \$60,000.00**Customer Complaint Information****Date Complaint Received:** 06/01/1992**Complaint Pending?** No**Status:** Settled**Status Date:****Settlement Amount:** \$10,278.76**Individual Contribution Amount:** \$5,139.38**Broker Statement**

SETTLED WITHOUT ADMITTING OR DENYING ALLEGATIONS AND TO AVOID FURTHER TIME AND EXPENSE OF LITIGATION SETTLEMENT WAS MADE IN THE AMOUNT OF \$10,278.76. MRS. HUNT CONTRIBUTED \$5,139.38 TO THE SETTLEMENT. CLIENT DESIRED AND FULLY UNDERSTOOD AN AGGRESSIVE TRADING STRATEGY INCLUDING USE OF MARGIN AT TWO BROKERAGE FIRMS. NO COMPLAINT WAS RAISED FOR SEVERAL YEARS UNTIL MARKET MOVED ADVERSELY AGAINST POSITIONS. CLIENT REFUSED TO CUT LOSSES AFTER NUMEROUS WARNINGS AND CONTINUES (AFTER SETTLEMENT) TO MAINTAIN AGGRESSIVE EQUITY POSTIONS.



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source: Individual

Firm Name: WHEAT FIRST SECURITIES

Termination Type: Permitted to Resign

Termination Date: 03/18/1994

Allegations: N/A
CUSTOMER ALLEGED THAT STOCKS PURCHASED IN LATE OCTOBER-NOVEMBER 1993 WERE INAPPROPRIATE PER INSTRUCTIONS AND OBJECTIVE OF CLIENT. STOCKS HAD DECLINED SOME \$16,437.50 AT TIME OF COMPLAINT.

Product Type:

Other Product Types:

Broker Statement TERMINATED (PERMITTED TO RESIGN) FROM WHEAT FIRST SECURITIES.
CUSTOMER WAS AWARE OF, DISCUSSED AND AGREED TO STOCK PURCHASES. I RELIED UPON INFORMATION FROM ANALYST IN MAKING RECOMMENDATIONS. PURPOSE OF INVESTMENT WAS FOR SHORT TERM TO LATER MOVE INTO INCOME PRODUCING VEHICLE.



Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 1

Reporting Source:	Individual
Judgment/Lien Holder:	NORTHWEST BANK-ROANOKE, TX
Judgment/Lien Amount:	\$36,284.28
Judgment/Lien Type:	Civil
Date Filed:	07/09/1989
Court Details:	NO. 87-1843-C DISTRICT COURT, DENTON, TX
Judgment/Lien Outstanding?	Yes
Broker Statement	DEFAULT ON BUSINESS LOAN IN THE AMOUNT OF \$27,284.28 I HAVE PAID \$500 ON THE JUDGEMENT. NO FINAL TERMS OF DISPOSITION HAVE YET BEEN REACHED. WHEN THE ECONOMY IN TEXAS WENT UNDER IN 1986 AND 1987, MY COMPANY WAS FORCED OUT OF BUSINESS. NORTHWEST BANK PURSUED A DEFAULT JUDGEMENT IN TEXAS. HAVING BEEN A SOLE PROPRIETOR MY WIFE AND I LOST NEARLY EVERYTHING WE OWNED. THIS JUDGEMENT IS STILL OPEN BECAUSE I HAVE AVOIDED BANKRUPTCY. IT WILL TAKE TIME TO BE ABLE TO BUILD THIS CAREER, PROVIDE FOR MY FAMILY, AND REPAY DEBTS FROM A FAILED BUSINESS.



End of Report

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