IAPD Report

THOMAS EDWARD BLUMER

CRD# 1828240

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

THOMAS EDWARD BLUMER (CRD# 1828240)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/16/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LPL FINANCIAL LLC	CRD# 6413	07/09/2009
IA	THE WEALTH CONSULTING GROUP	CRD# 173194	07/14/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **51** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	V WEALTH ADVISORS LLC	153278	Overland Park, KS	04/06/2010 - 12/31/2023
IA	LPL FINANCIAL LLC	6413	COLUMBIA, MO	07/09/2009 - 04/26/2011
B	UBS FINANCIAL SERVICES INC.	8174	LEAWOOD, KS	02/29/2008 - 08/03/2009

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **51** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **THE WEALTH CONSULTING GROUP**
Main Address: 8925 WEST POST ROAD
2ND FLOOR
LAS VEGAS, NV 89148
Firm ID#: 173194

	Regulator	Registration	Status	Date
IA	Kansas	Investment Adviser Representative	Approved	07/14/2023
IA	Texas	Investment Adviser Representative	Approved	07/19/2023

Branch Office Locations

THE WEALTH CONSULTING GROUP
6800 College Blvd. Suite 630
Overland Park, KS 66211

Employment 2 of 2

Firm Name: **LPL FINANCIAL LLC**
Main Address: 1055 LPL WAY
FORT MILL, SC 29715
Firm ID#: 6413

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	07/09/2009
B	FINRA	General Securities Representative	Approved	07/09/2009
B	FINRA	Municipal Securities Principal	Approved	07/09/2009
B	FINRA	Registered Options Principal	Approved	07/09/2009
B	FINRA	Municipal Securities Representative	Approved	07/10/2009

Qualifications

	Regulator	Registration	Status	Date
B	Alabama	Agent	Approved	01/03/2012
B	Alaska	Agent	Approved	08/27/2015
B	Arizona	Agent	Approved	08/04/2009
B	Arkansas	Agent	Approved	01/03/2012
B	California	Agent	Approved	01/04/2010
B	Colorado	Agent	Approved	01/03/2012
B	Connecticut	Agent	Approved	02/22/2013
B	Delaware	Agent	Approved	07/08/2014
B	District of Columbia	Agent	Approved	06/12/2019
B	Florida	Agent	Approved	01/03/2012
B	Georgia	Agent	Approved	06/21/2010
B	Hawaii	Agent	Approved	01/03/2012
B	Idaho	Agent	Approved	03/02/2015
B	Illinois	Agent	Approved	07/23/2009
B	Indiana	Agent	Approved	08/04/2009
B	Iowa	Agent	Approved	01/03/2012
B	Kansas	Agent	Approved	07/09/2009
B	Kentucky	Agent	Approved	06/06/2012
B	Louisiana	Agent	Approved	01/03/2012

Qualifications

	Regulator	Registration	Status	Date
B	Maine	Agent	Approved	07/15/2022
B	Maryland	Agent	Approved	05/15/2012
B	Massachusetts	Agent	Approved	01/03/2012
B	Michigan	Agent	Approved	01/03/2012
B	Minnesota	Agent	Approved	05/27/2010
B	Mississippi	Agent	Approved	01/03/2012
B	Missouri	Agent	Approved	07/09/2009
B	Nebraska	Agent	Approved	01/10/2012
B	Nevada	Agent	Approved	01/03/2012
B	New Hampshire	Agent	Approved	01/03/2012
B	New Jersey	Agent	Approved	01/03/2012
B	New Mexico	Agent	Approved	01/03/2012
B	New York	Agent	Approved	09/17/2009
B	North Carolina	Agent	Approved	01/03/2012
B	North Dakota	Agent	Approved	10/15/2012
B	Ohio	Agent	Approved	01/03/2012
B	Oklahoma	Agent	Approved	05/18/2010
B	Oregon	Agent	Approved	01/03/2012
B	Pennsylvania	Agent	Approved	01/03/2012

Qualifications

	Regulator	Registration	Status	Date
B	Rhode Island	Agent	Approved	07/02/2020
B	South Carolina	Agent	Approved	01/03/2012
B	South Dakota	Agent	Approved	04/12/2013
B	Tennessee	Agent	Approved	01/03/2012
B	Texas	Agent	Approved	01/03/2012
B	Utah	Agent	Approved	01/03/2012
B	Vermont	Agent	Approved	10/18/2019
B	Virgin Islands	Agent	Approved	05/06/2021
B	Virginia	Agent	Approved	01/03/2012
B	Washington	Agent	Approved	01/03/2012
B	West Virginia	Agent	Approved	05/27/2014
B	Wisconsin	Agent	Approved	01/03/2012
B	Wyoming	Agent	Approved	01/03/2012

Branch Office Locations

LPL FINANCIAL LLC
6800 COLLEGE BLVD STE 630
OVERLAND PARK, KS 66211-1564

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 3 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	Registered Options Principal Examination (S4)	Series 4	08/28/2003
B	Municipal Securities Principal Examination (S53)	Series 53	08/15/2003
B	General Securities Principal Examination (S24)	Series 24	08/05/2003

General Industry/Product Exams

	Exam	Category	Date
B	Municipal Securities Representative Examination (S52TO)	Series 52TO	09/25/2025
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	12/06/1995

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	12/28/1995
B	Uniform Securities Agent State Law Examination (S63)	Series 63	12/20/1995

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/06/2010 - 12/31/2023	V WEALTH ADVISORS LLC	CRD# 153278	Overland Park, KS
IA	07/09/2009 - 04/26/2011	LPL FINANCIAL LLC	CRD# 6413	COLUMBIA, MO
B	02/29/2008 - 08/03/2009	UBS FINANCIAL SERVICES INC.	CRD# 8174	LEAWOOD, KS
IA	02/29/2008 - 08/03/2009	UBS FINANCIAL SERVICES INC.	CRD# 8174	LEAWOOD, KS
IA	10/16/2003 - 03/03/2008	BANC OF AMERICA INVESTMENT SERVICES, INC.	CRD# 16361	OVERLAND PARK, KS
B	07/02/2003 - 03/03/2008	BANC OF AMERICA INVESTMENT SERVICES, INC.	CRD# 16361	OVERLAND PARK, KS
IA	07/02/2002 - 07/02/2003	UMB SCOUT BROKERAGE SERVICES, INC.	CRD# 17073	OVERLAND PARK, KS
B	02/10/1998 - 07/02/2003	UMB SCOUT BROKERAGE SERVICES, INC.	CRD# 17073	KANSAS CITY, MO
B	12/07/1995 - 02/12/1998	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2023 - Present	WCG WEALTH ADVISORS, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	OVERLAND PARK, KS, United States
07/2009 - Present	LPL FINANCIAL, LLC	REGISTERED REPRESENTATIVE	Y	OVERLAND PARK, KS, United States
04/2009 - 12/2023	V WEALTH MANAGEMENT, LLC	Investment Advisor Representative	Y	OVERLAND PARK, KS, United States

Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. 10/23/2009: V Wealth Management - DBA for LPL Business (entity for LPL business) - Investment Related - At Reported Business Location(s)

2. 5/27/2014: Veritas Wealth Management - DBA for LPL Business (entity for LPL business) - Investment Related - At Reported Business Location(s)

3. 4/27/2021 - V Wealth - Investment Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business) - Start Date: 4/14/2021 - 165 Hours Per Month/165 Hours During Securities Trading.

4. 7/14/2023 - WCG Wealth Advisors, LLC - Investment Related - At Reported Business Location(s) - Registered Investment Advisor Hybrid - IAR - Start Date 07/14/2023 - 160 Hours Per Month/7 Hours During Securities Trading - I provide investment advisory services through WCG Wealth Advisors, LLC, an independent investment advisor firm. I started this business activity in 7/2023. I expect to spend approximately 160 hours per month on this activity. Please see the advisory firm's Form ADV for more information about its address, the nature of its business, its owners, and its services at http://www.adviserinfo.sec.gov/IAPD. The firm is separate from and independent of LPL Financial.

5. 7/25/2023 - WCG Wealth Advisors, LLC - DBA: V Wealth - Investment Related - At Reported Business Location(s) - Registered Investment Advisor DBA - IAR - Start Date 07/14/2023 - 160 Hours Per Month/160 Hours During Securities Trading - I provide investment advisory services through WCG Wealth Advisors, LLC, an independent investment advisor firm. I started this business activity in 7/2023. I expect to spend approximately 160 hours per month on this activity. Please see the advisory firm's Form ADV for more information about its address, the nature of its business, its owners, and its services at http://www.adviserinfo.sec.gov/IAPD. The firm is separate from and independent of LPL Financial.

6. 08/08/2023 - V Wealth Advisors, LLC - Investment Related - At Reported Business Location(s) - Insurance Agency - Start Date 07/14/2023 - 10 Hours Per Month/ 10 Hours During Trading

7. 08/08/2023 - Crump/ Ash - Investment Related - At Reported Business Location(s) - Non-Variable Insurance - Agent - Start Date 07/14/2023 - 10 Hours Per Month/ 10 Hours During Trading

8. 08/10/2023 - V Capital Management LLC - Not Investment Related - At Reported Business Location(s) - Business Entity For Tax/Investment Purposes Only - Start Date 07/21/2010 - 160 Hours Per Month/ 7 Hours During Trading

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: UMB SCOUT BROKERAGE SERVICES, INC

Allegations: CUSTOMER ALLEGES MISREPRESENTATION OF RETURNS. APRIL 2000, INVESTED INTO A DIVERSIFIED PORTFOLIO OF MUTUAL FUNDS. MARKET DOWNTURN REDUCED PORTFOLIO VALUE.

Product Type: Mutual Fund(s)

Alleged Damages: $7,000.00

Customer Complaint Information

Date Complaint Received: 09/26/2005

Complaint Pending? No

Status: Closed/No Action

Status Date: 10/18/2007

Settlement Amount:

Individual Contribution Amount:

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: UMB SCOUT BROKERAGE SERVICES, INC

Allegations:	CUSTOMER ALLEGES MISREPRESENTATION OF RETURNS. APRIL 2000, INVESTED INTO A DIVERSIFIED PORTFOLIO OF MUTUAL FUNDS. MARKET DOWNTURN REDUCED PORTFOLIO VALUE.
Product Type:	Mutual Fund(s)
Alleged Damages:	$7,000.00

Customer Complaint Information

Date Complaint Received:	07/26/2005
Complaint Pending?	No
Status:	Closed/No Action
Status Date:	10/18/2007
Settlement Amount:	
Individual Contribution Amount:	

End of Report

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