



IAPD Report

JAMIE PATRICK LINKOWSKI

CRD# 1854052

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4 - 5
Disclosure Information	6



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page
<http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JAMIE PATRICK LINKOWSKI (CRD# 1854052)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **09/22/2021**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	PRIMESOLUTIONS ADVISORS, LLC	CRD# 110085	04/03/2001

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	NRP ADVISORS, INC.	141430	PITTSBURGH, PA	03/11/2009 - 12/02/2009
B	PRIME SOLUTIONS SECURITIES, INC.	46017	CLEVELAND, OH	08/01/2000 - 03/12/2001
B	LINSCO/PRIVATE LEDGER CORP.	6413	FORT MILL, SC	03/23/1998 - 08/08/2000

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **PRIMESOLUTIONS ADVISORS, LLC**

Main Address: 850 RIDGE AVENUE
PITTSBURGH, PA 15212

Firm ID#: 110085

Regulator	Registration	Status	Date
IA Pennsylvania	Investment Adviser Representative	Approved	04/03/2001

Branch Office Locations

PRIMESOLUTIONS ADVISORS, LLC

850 RIDGE AVENUE
PITTSBURGH, PA 15212



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination (S7)	Series 7	12/18/1993
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	10/27/1988

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	07/18/1994
B Uniform Securities Agent State Law Examination (S63)	Series 63	09/28/1988

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	03/11/2009 - 12/02/2009	NRP ADVISORS, INC.	CRD# 141430	PITTSBURGH, PA
B	08/01/2000 - 03/12/2001	PRIME SOLUTIONS SECURITIES, INC.	CRD# 46017	CLEVELAND, OH
B	03/23/1998 - 08/08/2000	LINSCO/PRIVATE LEDGER CORP.	CRD# 6413	FORT MILL, SC
B	04/07/1994 - 03/31/1998	1717 CAPITAL MANAGEMENT COMPANY	CRD# 4082	NEWARK, DE
B	10/28/1988 - 02/07/1994	EQUICO SECURITIES, INC.	CRD# 6627	NEW YORK, NY
B	10/28/1988 - 02/07/1994	THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES	CRD# 4039	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2001 - Present	PRIMESOLUTIONS ADVISORS, LLC	MANAGING MEMBER/INVESTMENT ADVISER REPRESENTATIVE	Y	PITTSBURGH, PA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

JAMIE PATRICK LINKOWSKI IS SEPARATELY LICENSED AS AN INDEPENDENT INSURANCE AGENT. IN THIS CAPACITY, HE CAN AFFECT TRANSACTIONS IN INSURANCE PRODUCTS FOR HIS CLIENTS AND EARN COMMISSIONS FOR THESE ACTIVITIES. THE FEES YOU PAY OUR FIRM FOR ADVISORY SERVICES ARE SEPARATE AND DISTINCT FROM THE COMMISSIONS EARNED BY MR. LINKOWSKI FOR INSURANCE RELATED ACTIVITIES. TO THE EXTENT YOU ARE DISCUSSING ANY INSURANCE PRODUCTS, THIS COULD PRESENT A CONFLICT OF INTEREST BECAUSE MR. LINKOWSKI MAY HAVE AN INCENTIVE TO RECOMMEND INSURANCE PRODUCTS TO YOU FOR THE PURPOSE OF GENERATING COMMISSIONS RATHER THAN SOLELY BASED ON YOUR NEEDS. HOWEVER, YOU ARE UNDER NO OBLIGATION, CONTRACTUALLY OR OTHERWISE, TO PURCHASE INSURANCE PRODUCTS THROUGH ANY PERSON AFFILIATED.

ONEVISION ENTERPRISES, LLC, CHAIRMAN, INVESTMENT RELATED, PRIVATE AND BUSINESS CONSULTING IN NATURE. MUCH LIKE A BOARD POSITION WHERE MR. LINKOWSKI OVERSEES VARIOUS ACTIVITIES OF THE VENTURE



Registration & Employment History

OTHER BUSINESS ACTIVITIES

BUT IS NOT INVOLVED IN THE DAY-TO-DAY OPERATIONS. REQUIRES LESS THAN 10% OF HIS TIME PER MONTH.

QUESTIS, NON INVESTMENT-RELATED, 176 CROGHAN SPUR, STE. 350, CHARLESTON, SC, 29407, FINANCIAL WELLNESS FINTECH FIRM, SHAREHOLDER AND CHIEF STRATEGY OFFICER, START DATE: 8-1-2019, REQUIRES LESS THAN 10% OF HIS TIME PER MONTH, DUTIES CONSIST OF VISION, THOUGHT LEADERSHIP, STRATEGY AND CONSULT ON EXECUTION. ALSO ASSIST WITH BUSINESS DEVELOPMENT, NETWORKING AND LEAD GENERATION.

PEOPLEONE HEALTH, NON INVESTMENT-RELATED, 362 TERRACE PL., OAKMONT, PA 15139, POPULATION HEALTH AND TECH COMPANY, SHAREHOLDER, START DATE: 1-1-2018, REQUIRES LESS THAN 10% OF HIS TIME PER MONTH, DUTIES CONSIST OF BUSINESS DEVELOPMENT.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Regulator
Regulatory Action Initiated By:	PENNSYLVANIA CONTACT: COUNSEL CAROLYN MENDELSON (412)-565-7519
Sanction(s) Sought:	Bar Civil and Administrative Penalty(ies)/Fine(s) Monetary Penalty other than Fines
Date Initiated:	08/20/2014
Docket/Case Number:	2013-07-02 (#140036)
URL for Regulatory Action:	
Employing firm when activity occurred which led to the regulatory action:	
Product Type:	Promissory Note
Allegations:	FROM 2005-2014, LINKOWSKI WAS NOT REGISTERED AS AN "AGENT" TO MULTIPLE "ISSUERS" HE REPRESENTED NOR WAS HE REGISTERED AS AN "AGENT" TO A "BROKER-DEALER" IN VIOLATION OF THE PA SECURITIES ACT OF 1972, SECTIONS 301(A) AND (B). IN CONNECTION WITH THE OFFERS AND SALES OF TWO PROMISSORY NOTES IN OV DEVELOPMENT, LLC TO PA RESIDENTS, LINKOWSKI IS SUBJECT TO SANCTIONS PURSUANT TO SECTION 305(A)(IX) FOR ENGAGING IN DISHONEST OR UNETHICAL BUSINESS PRACTICES OR TAKING UNFAIR ADVANTAGE OF A CUSTOMER.
Current Status:	Final
Resolution:	Consent



Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?

No

Resolution Date: 08/20/2014

Sanctions Ordered: Bar (Permanent)

Civil and Administrative Penalty(ies)/Fine(s)

Monetary Penalty other than Fines

Other: JAMIE PATRICK LINKOWSKI IS PERMANENTLY BARRED COMMENCING UPON THE EFFECTIVE DATE OF THIS ORDER FROM REPRESENTING AN ISSUER OFFERING OR SELLING SECURITIES IN PA; ACTING AS PROMOTER, OFFICER, DIRECTOR OR PARTNER OF AN ISSUER (OR AN INDIVIDUAL OCCUPYING A SIMILAR STATUS OR PERFORMING SIMILAR FUNCTIONS) OFFERING OR SELLING SECURITIES IN PA; AND RELYING UPON AN EXEMPTION FROM REGISTRATION CONTAINED IN SECTION 202, 203 OR 302.

Sanction 1 of 1

Sanction Type: Bar (Permanent)

Capacities Affected: SEE ITEM 13.B.

Duration:

Start Date: 08/20/2014

End Date:

Monetary Sanction 1 of 2

Monetary Related Sanction: Monetary Penalty other than Fines

Total Amount: \$25,000.00

Portion Levied against individual: \$25,000.00

Payment Plan:

Is Payment Plan Current:

Date Paid by individual: 08/22/2014

Was any portion of penalty waived?

No

Amount Waived:

Monetary Sanction 2 of 2

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$25,000.00

Portion Levied against individual: \$25,000.00

Payment Plan:

Is Payment Plan Current:

Date Paid by individual: 08/22/2014



Was any portion of penalty waived?

No

Amount Waived:

Regulator Statement

CONSENT AGREEMENT AND ORDER ISSUED TO JAMIE PATRICK LINKOWSKI.

Reporting Source:

Individual

Regulatory Action Initiated By:

COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF BANKING AND SECURITIES

Sanction(s) Sought:

Bar
Civil and Administrative Penalty(ies)/Fine(s)
Monetary Penalty other than Fines

Date Initiated:

07/02/2013

Docket/Case Number:

2013-07-02 (#140036)

Employing firm when activity occurred which led to the regulatory action:

NONE

Product Type:

Promissory Note

Allegations:

THE REFERENCED REGULATORY MATTER INVOLVED A LOAN TRANSACTION BETWEEN TWO ACCREDITED INVESTORS AND A SEPARATE COMPANY, O.V. DEVELOPMENT, LLC ("OVD"), OF WHICH JAMIE LINKOWSKI WAS A PRINCIPAL. NEITHER JAMIE LINKOWSKI NOR PRIMESOLUTIONS ADVISORS, LLC ACTED AS AN INVESTMENT ADVISOR TO THE ACCREDITED INVESTORS REGARDING THIS TRANSACTION AND NEITHER WAS PAID A FEE. THE ACCREDITED INVESTORS LOANED MONEY TO OVD, A PRIVATE CLOSELY HELD HOLDING COMPANY, WHICH OWNED AN INTEREST IN AN ENVIRONMENTAL COMPANY, CBA ENVIRONMENTAL HOLDINGS, LLC ("CBA").

IN LIEU OF A LONG, EXPENSIVE AND UNNECESSARY ADMINISTRATIVE PROCEEDING, AND, WITHOUT ADMITTING ANY WRONGDOING, JAMIE LINKOWSKI AGREED TO A LIMITED BAR ORDER AND ADMINISTRATIVE FINE RELATIVE TO A PRIVATE SECURITY TRANSACTION, NEITHER OF WHICH IMPACT HIS ABILITY TO SERVE AS A REGISTERED INVESTMENT ADVISOR REPRESENTATIVE FOR PRIMESOLUTIONS ADVISORS, LLC. BY CONSENT AGREEMENT AND ORDER ("CAO"), JAMIE LINKOWSKI CONSENSUALLY RESOLVED ALL MATTERS RELATING TO A 2007 LOAN MADE BY TWO ACCREDITED INVESTORS TO OVD, A COMPANY PARTLY OWNED BY JAMIE LINKOWSKI. THROUGHOUT THE INVESTIGATION OF SUCH MATTERS, JAMIE LINKOWSKI MAINTAINED THAT HE HAD NOT ACTED AS THEIR ADVISOR, HAD NOT PROVIDED ANY ADVICE AND HAD NOT MADE ANY MISREPRESENTATIONS. THE CAO EXPRESSLY PROVIDES THAT "NOTHING HEREIN IS INTENDED TO LIMIT OR BAR JAMIE LINKOWSKI FROM (A) PERFORMING SERVICES FOR PRIMESOLUTIONS ADVISORS LLC WHICH IS A FEDERALLY COVERED ADVISER AS DEFINED IN 102(F.1) OF THE 1972 ACT, (B) FROM ADVISING OTHERS FOR COMPENSATION AS TO THE VALUE OF SECURITIES OR AS TO THE ADVISABILITY OF INVESTING IN, PURCHASING OR SELLING SECURITIES, OR (C) FROM HOLDING ANY POSITION WITH A PUBLIC OR PRIVATE COMPANY SO LONG AS HE IS NOT DIRECTLY OR INDIRECTLY ENGAGED IN OFFERING OR SELLING SECURITIES AS PART OF SUCH POSITION.



Current Status:	Final
Resolution:	Order
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	Yes
Resolution Date:	08/20/2014
Sanctions Ordered:	Bar (Permanent) Civil and Administrative Penalty(ies)/Fine(s) Monetary Penalty other than Fines
Sanction 1 of 1	
Sanction Type:	Bar (Permanent)
Capacities Affected:	BARRED FROM REPRESENTING AND ISSUER OF SECURITIES IN PA
Duration:	PERMANENT
Start Date:	08/19/2014
End Date:	
Monetary Sanction 1 of 2	
Monetary Related Sanction:	Monetary Penalty other than Fines
Total Amount:	\$25,000.00
Portion Levied against individual:	\$25,000.00
Payment Plan:	
Is Payment Plan Current:	
Date Paid by individual:	08/22/2014
Was any portion of penalty waived?	No
Amount Waived:	
Monetary Sanction 2 of 2	
Monetary Related Sanction:	Civil and Administrative Penalty(ies)/Fine(s)
Total Amount:	\$25,000.00
Portion Levied against individual:	\$25,000.00
Payment Plan:	
Is Payment Plan Current:	
Date Paid by individual:	08/22/2014
Was any portion of penalty waived?	No
Amount Waived:	
Broker Statement	THE REFERENCED REGULATORY MATTER INVOLVED A LOAN



TRANSACTION BETWEEN TWO ACCREDITED INVESTORS AND A SEPARATE COMPANY, O.V. DEVELOPMENT, LLC ("OVD"), OF WHICH JAMIE LINKOWSKI WAS A PRINCIPAL. NEITHER JAMIE LINKOWSKI NOR PRIMESOLUTIONS ADVISORS, LLC ACTED AS AN INVESTMENT ADVISOR TO THE ACCREDITED INVESTORS REGARDING THIS TRANSACTION AND NEITHER WAS PAID A FEE. THE ACCREDITED INVESTORS LOANED MONEY TO OVD, A PRIVATE CLOSELY HELD HOLDING COMPANY, WHICH OWNED AN INTEREST IN AN ENVIRONMENTAL COMPANY, CBA ENVIRONMENTAL HOLDINGS, LLC ("CBA").

IN LIEU OF A LONG, EXPENSIVE AND UNNECESSARY ADMINISTRATIVE PROCEEDING, AND, WITHOUT ADMITTING ANY WRONGDOING, JAMIE LINKOWSKI AGREED TO A LIMITED BAR ORDER AND ADMINISTRATIVE FINE RELATIVE TO A PRIVATE SECURITY TRANSACTION, NEITHER OF WHICH IMPACT HIS ABILITY TO SERVE AS A REGISTERED INVESTMENT ADVISOR REPRESENTATIVE FOR PRIMESOLUTIONS ADVISORS, LLC. BY CONSENT AGREEMENT AND ORDER ("CAO"), JAMIE LINKOWSKI CONSENSUALLY RESOLVED ALL MATTERS RELATING TO A 2007 LOAN MADE BY TWO ACCREDITED INVESTORS TO OVD, A COMPANY PARTLY OWNED BY JAMIE LINKOWSKI. THROUGHOUT THE INVESTIGATION OF SUCH MATTERS, JAMIE LINKOWSKI MAINTAINED THAT HE HAD NOT ACTED AS THEIR ADVISOR, HAD NOT PROVIDED ANY ADVICE AND HAD NOT MADE ANY MISREPRESENTATIONS. THE CAO EXPRESSLY PROVIDES THAT "NOTHING HEREIN IS INTENDED TO LIMIT OR BAR JAMIE LINKOWSKI FROM (A) PERFORMING SERVICES FOR PRIMESOLUTIONS ADVISORS LLC WHICH IS A FEDERALLY COVERED ADVISER AS DEFINED IN 102(F.1) OF THE 1972 ACT, (B) FROM ADVISING OTHERS FOR COMPENSATION AS TO THE VALUE OF SECURITIES OR AS TO THE ADVISABILITY OF INVESTING IN, PURCHASING OR SELLING SECURITIES, OR (C) FROM HOLDING ANY POSITION WITH A PUBLIC OR PRIVATE COMPANY SO LONG AS HE IS NOT DIRECTLY OR INDIRECTLY ENGAGED IN OFFERING OR SELLING SECURITIES AS PART OF SUCH POSITION.



End of Report

This page is intentionally left blank.