IAPD Report

Ronald Francis Murtha

CRD# 1911775

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When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

Ronald Francis Murtha (CRD# 1911775)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/20/2026**.

CURRENT EMPLOYERS

This individual is not currently registered as an Investment Adviser Representative.

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and 0 jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	ACRYLIC FINANCIAL, INC.	288263	Garfield, NJ	05/05/2026 - 11/20/2026
IA	PRUDENTIAL FINANCIAL PLANNING SERVICES	5685	Basking Ridge, NJ	09/01/2021 - 09/09/2022
IA	LIFEMARK SECURITIES CORP.	16204	Clifton, NJ	04/13/2021 - 09/13/2021

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Criminal	1

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Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **0** jurisdiction(s) and 0 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **BROOKLIGHT PLACE SECURITIES, INC.**

Main Address: 16930 E. PALISADES BLVD
SUITE 125
FOUNTAIN HILLS, AZ 85268

Firm ID#: 15764

Branch Office Locations

BROOKLIGHT PLACE SECURITIES, INC.
Garfield, NJ

BROOKLIGHT PLACE SECURITIES, INC.
1144 clifton avenue
clifton, NJ 07013

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Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 0 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
No information reported.		

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	04/29/2004

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

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Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	05/05/2026 - 11/20/2026	ACRYLIC FINANCIAL, INC.	CRD# 288263	Garfield, NJ
IA	09/01/2021 - 09/09/2022	PRUDENTIAL FINANCIAL PLANNING SERVICES	CRD# 5685	Basking Ridge, NJ
IA	04/13/2021 - 09/13/2021	LIFEMARK SECURITIES CORP.	CRD# 16204	Clifton, NJ
IA	02/03/2021 - 04/16/2021	ALLSTATE FINANCIAL ADVISORS, LLC	CRD# 109524	Cranford, NJ
IA	06/23/2004 - 08/19/2009	AMERITAS INVESTMENT CORP	CRD# 14869	GARFIELD, NJ

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2026 - Present	Acrylic Financial, Inc.	Investment Advisor Representative	Y	Garfield, NJ, United States
08/2022 - Present	Brooklight Place Securities, Inc.	REGISTERED REPRESENTATIVE	Y	Fountain Hills, AZ, United States
08/2021 - Present	PRUCO SECURITIES, LLC.	REGISTERED REPRESENTATIVE	Y	BASKING RIDGE, NJ, United States
01/1998 - Present	ASSET CONSULTING, LLC	INDEPENDENT INSURANCE AGENT	Y	CLIFTON, NJ, United States
08/2021 - 08/2022	Prudential Insurance Company of America	FINANCIAL PROFESSIONAL	Y	BASKING RIDGE, NJ, United States
04/2021 - 08/2021	LIFEMARK SECURITIES CORP.	REGISTERED REPRESENTATIVE, INVESTMENT ADVISOR REPRESENTATIVE	Y	ROCHESTER, NY, United States
08/2009 - 03/2021	ALLSTATE INSURANCE CO	AGENT	N	GARFIELD, NJ, United States
01/2020 - 04/2020	KALO	Networking	N	Lincoln Park, NJ, United States

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Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

ASSET CONSULTING, LLC / INVESTMENT RELATED / 88 UNION AVENUE, GARFIELD, NJ 07026 / INDEPENDENT INSURANCE AGENT / 01/1998 / 12 HOURS/MONTH / 4 HOURS/MONTH DURING SECURITIES TRADING HOURS / SALES AND SERVICE OF LIFEM LTC AND ANNUITY PRODUCTS.

CO-OWNER / NOT INVESTMENT RELATED / 88 UNION AVENUE, GARFIELD, NJ 07026 / MY WIFE IS SELING PARCELS OF INVESTMENT PROPERTY.

RON MURTHA/ ASSET CONSULTING L.L.C. / 88 UNION AVE, GARFIELD N.J. 07026 / PRESIDENT / DUTIES: I SERVICE EXISTING CLIENTS FROM PRIOR SALES OF FINANCIAL PRODUCTS. I RECEIVE RENEWAL COMMISSIONS. / 5% / NOT INVESTMENT RELATED

Brooklight Place Securities, Inc. / Investment Related / 16930 E Palisades Blvd. Suite #125 Fountain Hills, AZ 85268 / Broker Dealer / Registered Representative / Sep. 2022 / 80 Hrs. per month - trading hours

POSITION: na NATURE: real estate INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 10/11/2017
ADDRESS: 88 union ave, garfield NJ 07026, United States
DESCRIPTION: assist my wife/mother in law with transaction of real estate sale

FIXED/INDEXED ANNUITIES, INDEXED LIFE, VOLUNTARY SUPPLEMENTAL BENEFITS, LTC RENEWAL FROM LINCOLN FINANCIAL, ALLIANZ, GUGGENIHEIM, VOYA, LINCOLN BENEFIT, COLONIAL LIFE, PROTECTIVE, MUTUAL of OMAHA, GENWORTH, AND JOHN HANCOCK.

GREAT AMERICAN LIFE INSURANCE COMPANY
POSITION: Fixed/Indexed Annuity renewal commissions NATURE: Fixed/Indexed Annuity renewals INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 08/18/2021
ADDRESS: 1144 Clifton Ave, Clifton NJ 07013, United States
DESCRIPTION: Receipt of Fixed/Indexed Annuity renewals

LINCOLN BENEFIT/COLONIA LIFE/PROTECTIVE
POSITION: Receipt of renewal commissions NATURE: Fixed/Indexed Life/Voluntaru Supp Benefits INVESTMENT RELATED: No NUMBER OF HOURS: 4 SECURITIES TRADING HOURS: 0 START DATE: 08/16/2021
ADDRESS: 1144 Clifton Ave, Clifton NJ 07013, United States
DESCRIPTION: Fixed/Indexed Life/Voluntary Supplemental Benefits renewal commissions

LINCOLN FINANCIAL/ALLIANZ/GUGGENHEIM/VOYA
POSITION: Fixed/Indexed Annuity renewals NATURE: Finance and Insurance INVESTMENT RELATED: No NUMBER OF HOURS: 4 SECURITIES TRADING HOURS: 0 START DATE: 08/16/2021
ADDRESS: 1144 Clifton Ave, Clifton NJ 07013, United States
DESCRIPTION: Fixed/Indexed annuity renewals

ASSET CONSULTING, LLC
POSITION: member NATURE: Administrative Support INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 0 START DATE: 08/16/2021
ADDRESS: 1144 Clifton Ave, Clifton NJ 07013, United States
DESCRIPTION: incidental DBA for book keeping purposes only

REAL ESTATE PROPERTY
POSITION: Spouse inherited property NATURE: Real Estate Development Rental and Leasing INVESTMENT RELATED: No

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Registration & Employment History

OTHER BUSINESS ACTIVITIES

NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 03/18/2022
ADDRESS: 85 Union Aenue, Garfield NJ 07026, United States
DESCRIPTION: Assisting spouse in sale of personally owned property lots in Montenegro

PARTNERSHIP IN REAL ESTATE PROPERTY
POSITION: PARTNER NATURE: Buying Real Estate Property to fix and re-sell INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 04/01/2022
ADDRESS: 88 Union Avenue, New Jersey NJ 07026, United States
DESCRIPTION: Passive investor, buying property at Sheriff's auction to fix and re-sell

Veterans Construction Group, LLC is an inactive service-disabled veteran-owned general contracting company formed in 2023. Mr. Murtha serves as President and receives no compensation. The company has no employees, revenue, or business operations.

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Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

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DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Criminal	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Individual
Regulatory Action Initiated By:	New York State - Department of Financial Services
Sanction(s) Sought:	Civil and Administrative Penalty(ies)/Fine(s) Other: Stipulation
Date Initiated:	12/08/2016
Docket/Case Number:	CBS-2015-1118122
Employing firm when activity occurred which led to the regulatory action:	Allstate Financial Services, LLC
Product Type:	No Product
Allegations:	Representative failed to disclose a March 7, 1994 conviction (Guilty plea to Assault in the Third Degree, a Class A Misdemeanor) on his August 31, 2010 original application, which was in violation of Section 2110(a)(s) of the New York Insurance Law.
Current Status:	Final
Resolution:	Stipulation and Consent
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No

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Resolution Date:	01/27/2017
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s)
Monetary Sanction 1 of 1	
Monetary Related Sanction:	Civil and Administrative Penalty(ies)/Fine(s)
Total Amount:	$750.00
Portion Levied against individual:	$750.00
Payment Plan:	One Time Payment
Is Payment Plan Current:	Yes
Date Paid by individual:	02/07/2017
Was any portion of penalty waived?	No
Amount Waived:	

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Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Court Details:	NEW YORK CRIMINAL COURT/ NEW YORK CITY, NY / DOCKET #93NO39313
Charge Date:	05/15/1993
Charge Details:	CHARGED 120.05 CLASS D FEL, 145.05 CLASS E FELONY,265.01 CLASS A MISDEAMEANOR 3. PLEADED TO MISDEMEANOR TO ALL CHARGES
Felony?	Yes
Current Status:	Final
Status Date:	03/07/1994
Disposition Details:	145.05 PLEAD TO 120.00 CLASS A MISDEMEANOR
Broker Statement	1.45.05 AND OTHER 2 CHARGES PLEAD TO 120.00 (CLASS A MISDEMEANOR) RECEIVED PROBATION 3 YEARS AND $90 SURCHARGE

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End of Report

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