



## IAPD Report

**NATHAN KLEVIT**

CRD# 1911801

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### **What is included in a IAPD report?**

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### **Where did this information come from?**

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### **How current is this information?**

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### **Need help interpreting this report?**

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### **What if I want to check the background of an Individual Broker or Brokerage Firm?**

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### **Are there other resources I can use to check the background of investment professionals?**

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### NATHAN KLEVIT (CRD# 1911801)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/19/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	PFS INVESTMENTS INC.	CRD# 10111	05/06/2021
IA	PRIMERICA ADVISORS	CRD# 10111	05/17/2021

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **11** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	PENNINGTON, NJ	08/11/2020 - 04/07/2021
IA	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	PENNINGTON, NJ	08/11/2020 - 04/07/2021
IA	E*TRADE CAPITAL MANAGEMENT, LLC	42159	King of Prussia, PA	03/07/2019 - 07/09/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**No**



## Qualifications



### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.

This individual is currently registered with **11** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **PRIMERICA ADVISORS**  
Main Address: 1 PRIMERICA PARKWAY  
DULUTH, GA 30099-0001  
Firm ID#: 10111

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	05/06/2021
B	FINRA	Invest. Co and Variable Contracts	Approved	05/06/2021
B	California	Agent	Approved	02/02/2024
B	Colorado	Agent	Approved	08/17/2021
B	Delaware	Agent	Approved	05/14/2021
B	Michigan	Agent	Approved	05/14/2021
B	New Jersey	Agent	Approved	05/14/2021
B	New Mexico	Agent	Approved	05/14/2021
B	New York	Agent	Approved	05/14/2021
B	Pennsylvania	Agent	Approved	05/06/2021
IA	Pennsylvania	Investment Adviser Representative	Approved	05/17/2021
B	South Carolina	Agent	Approved	01/31/2025
B	Texas	Agent	Approved	05/14/2021



## Qualifications

Regulator	Registration	Status	Date
<b>IA</b> Texas	Investment Adviser Representative	Approved	08/17/2021
<b>B</b> Virginia	Agent	Approved	09/29/2021

## Branch Office Locations

**PRIMERICA ADVISORS**  
31 OAK AVE  
STE 150-C  
CHALFONT, PA 18914



## Qualifications



### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
<b>B</b> General Securities Representative Examination (S7)	Series 7	06/09/2014
<b>B</b> Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	10/27/2000

#### State Securities Law Exams

Exam	Category	Date
<b>IA</b> Uniform Investment Adviser Law Examination (S65)	Series 65	12/22/2017
<b>B</b> Uniform Securities Agent State Law Examination (S63)	Series 63	02/19/2001



### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	08/11/2020 - 04/07/2021	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	PENNINGTON, NJ
IA	08/11/2020 - 04/07/2021	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	PENNINGTON, NJ
IA	03/07/2019 - 07/09/2020	E*TRADE CAPITAL MANAGEMENT, LLC	CRD# 42159	King of Prussia, PA
B	03/07/2019 - 07/09/2020	E*TRADE SECURITIES LLC	CRD# 29106	KING OF PRUSSIA, PA
IA	12/22/2017 - 03/25/2019	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	BERKELEY HEIGHTS, N
B	11/30/2017 - 03/25/2019	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	BERKELEY HEIGHTS, N
B	05/13/2014 - 11/24/2017	VANGUARD MARKETING CORPORATION	CRD# 7452	MALVERN, PA
IA	07/18/2012 - 11/12/2012	FISHER INVESTMENTS	CRD# 107342	NORTH WALES, PA
B	10/30/2000 - 05/18/2012	PFS INVESTMENTS INC.	CRD# 10111	FORT WASHINGTON, P.
B	01/18/1989 - 09/17/1990	H. C. COPELAND AND ASSOCIATES EQUITIES, INC.	CRD# 7447	

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2021 - Present	PFS INVESTMENTS INC.	SALES	Y	CHALFONT, PA, United States
12/1999 - Present	PRIMERICA FINANCIAL SERVICES	SALES	Y	CHALFONT, PA, United States
07/2020 - 03/2021	BANK OF AMERICA, N.A.	FINANCIAL SOLUTIONS ADVISOR	Y	PENNINGTON, NJ, United States
07/2020 - 03/2021	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	FINANCIAL SOLUTIONS ADVISOR	Y	PENNINGTON, NJ, United States



## Registration & Employment History



### EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2019 - 06/2020	E*TRADE Capital Management LLC	Financial Consultant	Y	King of Prussia, PA, United States
03/2019 - 06/2020	E*TRADE SECURITIES LLC	Financial Consultant	Y	King of Prussia, PA, United States
12/2017 - 03/2019	VALIC FINANCIAL ADVISORS, INC.	REGISTERED REPRESENTATIVE	Y	BERKELEY HEIGHTS, NJ, United States
05/2014 - 11/2017	THE VANGUARD GROUP, INC.	REGISTERED REP	Y	MALVERN, PA, United States



### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Sales of investment-related products; part-time or full-time, for companies affiliated with PFS Investments Inc. I may also receive non-investment related compensation from Primerica Mortgage, LLC for the sale of loan products and/or Primerica Client Services, Inc. (a co-located affiliate of PFS Investments Inc.) for part-time referrals of home security and automation products, as well as other home related services.

The Pros Disc Jockeys, Non-Investment Related, South Hampton, PA, Self-Employed DJ, 2/15 to Present, 0 Hours per month





## End of Report

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