IAPD Report

Scott William Arnone

CRD# 1922322

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

Scott William Arnone (CRD# 1922322)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/25/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	EDWARD JONES	CRD# 250	02/14/1989
IA	EDWARD JONES	CRD# 250	04/16/2014

QUALIFICATIONS

This representative is currently registered in **4** SRO(s) and **35** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES

No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **35** jurisdiction(s) and 4 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **EDWARD JONES**

Main Address: 12555 MANCHESTER RD
ST. LOUIS, MO 63131

Firm ID#: 250

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	02/14/1989
B	NYSE American LLC	General Securities Representative	Approved	09/13/2011
B	Nasdaq Stock Market	General Securities Representative	Approved	07/12/2006
B	New York Stock Exchange	General Securities Representative	Approved	02/14/1989
B	Alabama	Agent	Approved	04/03/2007
B	Alaska	Agent	Approved	01/12/2016
B	Arizona	Agent	Approved	12/12/1991
B	California	Agent	Approved	01/03/1992
B	Colorado	Agent	Approved	08/21/1997
B	Connecticut	Agent	Approved	02/06/2012
B	Florida	Agent	Approved	08/18/2015
B	Georgia	Agent	Approved	02/21/2008
B	Hawaii	Agent	Approved	04/08/2005

Qualifications

	Regulator	Registration	Status	Date
B	Idaho	Agent	Approved	05/24/1989
B	Illinois	Agent	Approved	10/27/2011
B	Indiana	Agent	Approved	04/07/2003
B	Iowa	Agent	Approved	02/21/2024
B	Kansas	Agent	Approved	02/22/2018
B	Kentucky	Agent	Approved	09/30/2015
B	Michigan	Agent	Approved	05/23/2006
B	Minnesota	Agent	Approved	07/28/1998
B	Missouri	Agent	Approved	03/24/1998
B	Montana	Agent	Approved	04/19/1998
B	Nevada	Agent	Approved	04/28/1998
B	New Mexico	Agent	Approved	10/14/2013
B	New York	Agent	Approved	12/17/1999
B	North Dakota	Agent	Approved	04/26/2007
B	Oklahoma	Agent	Approved	11/23/2015
B	Oregon	Agent	Approved	09/25/1992
B	Pennsylvania	Agent	Approved	10/09/2025
B	South Carolina	Agent	Approved	02/12/2004
B	South Dakota	Agent	Approved	02/21/2024

Qualifications

	Regulator	Registration	Status	Date
B	Tennessee	Agent	Approved	09/14/2021
B	Texas	Agent	Approved	03/25/1998
IA	Texas	Investment Adviser Representative	Restricted Approval	12/19/2018
B	Utah	Agent	Approved	08/31/1998
B	Virginia	Agent	Approved	08/20/2015
B	Washington	Agent	Approved	04/04/1989
IA	Washington	Investment Adviser Representative	Approved	04/16/2014
B	Wisconsin	Agent	Approved	11/11/2002
B	Wyoming	Agent	Approved	07/18/2012

Branch Office Locations

EDWARD JONES
302 5TH STREET Suite 1
CLARKSTON, WA 99403-0000

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	02/11/1989

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	04/15/2014
B	Uniform Securities Agent State Law Examination (S63)	Series 63	04/01/1989

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/1988 - Present	EDWARD D. JONES & CO., L.P.	NOT PROVIDED	Y	LEWISTON, ID, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Tri State Hospital Board
Clarkston, WA
Start date: 4/1/2009
Past President
Hours per week: 0
Hours during trading: 0
Member of the Board overseeing the Hospital's finances.

Arnone 302 LLC
Type of business: Landlord
Clarkston, WA
Start date: 1/9/2014
Member
Hours per week: 1
Hours during trading: 0
Maintain commercial rental property.

Arnone LLC
Type of business: Building ownership
Lewiston, ID
Start date: 7/1/1997
Owner
Hours per week: 1
Hours during trading: 0
Maintain commercial rental property.

Arnone 2221 LLC
Type of business: Building Ownership
Sagle, ID
Start date: 1/1/1997

Registration & Employment History

OTHER BUSINESS ACTIVITIES

Member
Hours per week: 0
Hours during trading: 0
Lake Home

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	EDWARD JONES
Allegations:	ON MARCH 2, 2000 THE CLIENT PURCHASED $25,000 OF PUTNAM NEW CENTURY FUND. THE CLIENT STATES ARNONE SOLICTED THE PURCHASE OF THE FUND BETWEEN FEBRUARY AND MARCH OF 2000. CLIENT IMPLIES THE PUTNAM FUND IS NOT A SUITABLE INVESTMENT AND SHOULD NOT HAVE BEEN SOLICITED BY ARNONE. CLIENT IS REQUESTING A REFUND TO HIS IRA FOR THE DIFFERENCE BETWEEN THE $25,000 INVESTED AND THE CURRENT MARKET VALUE. CLIENT HAS ALSO CONTACTED OUR CUSTOMER RELATIONS AREA AND WOULD LIKE THE TAPE DESTROYED OF HIS CONVERSATION WITH EDWARD JONES.
Product Type:	Mutual Fund(s)
Alleged Damages:	$5,000.00

Customer Complaint Information

Date Complaint Received:	02/12/2002
Complaint Pending?	No
Status:	Denied
Status Date:	05/02/2002

Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	ACCORDING TO OUR RECORDS AND ARNONE, THE CLIENT HAS PURCHASED MANY INVESTMENTS THAT WERE AGGRESSIVE IN HIS IRA. ARNONE STATED HE INFORMED CLIENT THE MUTUAL FUND IS PROFESSIONALLY MANANGED, EXPLAINED THE FEES AND RISKS ASSOCIATED WITH THE FUND AND ALSO PROVIDED A PROSPECTUS. IT IS OUR OPINION CLIENT AUTHORIZED TRANSACTION AND INVESTMENT WAS IN LINE WITH OTHER INVESTMENTS PURCHASED IN THE IRA. CLIENT'S REQUEST FOR REIMBURSEMENT OF LOSSES IS RESPECTFULLY DENIED.

Disclosure 2 of 3

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	EDWARD JONES
Allegations:	CLIENT STATES ARNONE SOLD SHARES OF VAN KAMPEN GOV'T SECURITIES FUND HELD IN HIS ACCOUNT AND USED THE PROCEEDS TO PURCHASE VARIOUS PUTNAM MUTUAL FUNDS WITHOUT HIS AUTHORIZATION. ALSO ALLEGES THE FUNDS WERE INVESTED IN "HIGH RISK, JUNK BONDS". REQUESTS IMMEDIATE RESOLUTION, BUT DOES NOT MAKE A SPECIFIC CLAIM. LOSSES EXCEED $5,000.00.
Product Type:	Mutual Fund
Alleged Damages:	$5,000.00
Alleged Damages Amount Explanation (if amount not exact):	ALLEGATIONS CLAIM DAMAGES THAT APPEAR TO BE IN EXCESS OF $5000.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	12/10/2001
Complaint Pending?	No
Status:	Denied
Status Date:	12/19/2001
Settlement Amount:	
Individual Contribution Amount:	

Broker Statement

ARNONE HAS INDICATED HIS DISCUSSIONS WITH THE CLIENT IN 1993 INCLUDED THE CLIENT'S STATED NEED FOR ADDITIONAL INCOME. OUR RECORDS INDICATE SHARES OF VAN KAMPEN US GOVT SECURITIES FUND WERE SOLD AND THE PROCEED UTILIZED TO PURCHASE PUTNAM FUNDS ON APRIL 2, 1993. ARNONE HAS FURTHER INDICATED HE MET WITH THE CLIENT IN JANUARY, 2000 AT WHICH TIME THE CLIENT EXPRESSED CONCERN REGARDING THE VALUE OF THE MUTUAL FUNDS. AS A RESULT OF THEIR DISCUSSIONS, THE EXISTING PUTNAM FUNDS WERE EXCHANGED FOR SHARES OF VARIOUS PUTNAM FUNDS. ARNONE HAS STATED THE CLIENT CONTACTED HIM SEVERAL TIMES DURING 2001 AND 2001 REGARDING THE DECLINING VALUE OF THE INVESTMENTS. ACCORDING TO OUR RECORDS, THE MUTUAL FUNDS WERE LIQUIDATED ON 10/15/01. AT THE TIME OF THE INITIAL TRANSACTIONS, THE CLIENT WOULD HAVE RECEIVED TRADE CONFIRMATION AS WELL AS THE APPROPRIATE PROSPECTUSES. IN ADDITION, THE CLIENT HAS RECEIVED CUSTOMER STATEMENTS. IT IS OUR OPINION THE TRANSACTIONS WERE AUTHORIZED AND THE DECLINE IN THE ACCOUNT VALUE IS ATTRIBUTED TO MARKET FLUCTUATION AND/OR WITHDRAWALS. CLAIM DENIED

Disclosure 3 of 3

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint:

Allegations: CUSTOMER COMPLAINS SHE DID NOT KNOW CDS SHE PURCHASED IN JANUARY 1996 MATURED IN 2011. CLAIMS THE CDS WERE NOT SUITABLE FOR HER SINCE SHE WAS 60 YEARS OLD. (NO DAMAGES SPECIFIED)

Product Type:

Alleged Damages:

Customer Complaint Information

Date Complaint Received: 10/07/1997

Complaint Pending? No

Status: Denied

Status Date:

Settlement Amount:

Individual Contribution Amount:

Broker Statement

IR CLAIMED HE DICOSED THE MATURITY DATE OF THE
INVESTMENT AND THE FACT THEY WERE CALLABLE AT THE DISCRETION OF
THE OFFERING BANK. THE CUSTOMER ALSO RECEIVED CONFIRMATIONS WHICH DISCLOSED THE MATURITY DATE. CLAIM DENIED.
Not Provided

End of Report

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