



IAPD Report

MYRON ANTHONY OWENS

CRD# 1928140

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

MYRON ANTHONY OWENS (CRD# 1928140)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/05/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	01/19/2024
IA	OSAIC WEALTH, INC.	CRD# 23131	01/19/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **31** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	WOODBURY FINANCIAL SERVICES, INC.	421	OMAHA, NE	03/01/2019 - 01/19/2024
IA	WOODBURY FINANCIAL SERVICES, INC.	421	OMAHA, NE	03/01/2019 - 01/19/2024
B	QUESTAR CAPITAL CORPORATION	43100	OMAHA, NE	03/23/2015 - 03/01/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **31** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	01/19/2024
B Alaska	Agent	Approved	01/19/2024
B Arizona	Agent	Approved	01/19/2024
B Arkansas	Agent	Approved	01/19/2024
B California	Agent	Approved	01/19/2024
B Colorado	Agent	Approved	01/19/2024
B Florida	Agent	Approved	01/19/2024
B Georgia	Agent	Approved	01/19/2024
B Idaho	Agent	Approved	01/19/2024
B Illinois	Agent	Approved	01/19/2024
B Indiana	Agent	Approved	01/19/2024
B Iowa	Agent	Approved	01/19/2024
B Kansas	Agent	Approved	01/19/2024



Qualifications

	Regulator	Registration	Status	Date
B	Michigan	Agent	Approved	01/19/2024
B	Minnesota	Agent	Approved	01/19/2024
B	Missouri	Agent	Approved	01/19/2024
B	Nebraska	Agent	Approved	01/19/2024
IA	Nebraska	Investment Adviser Representative	Approved	01/19/2024
B	Nevada	Agent	Approved	01/19/2024
IA	Nevada	Investment Adviser Representative	Approved	01/19/2024
B	New York	Agent	Approved	12/19/2024
B	North Carolina	Agent	Approved	01/19/2024
B	North Dakota	Agent	Approved	06/20/2025
B	Ohio	Agent	Approved	01/19/2024
B	Oklahoma	Agent	Approved	01/19/2024
B	Oregon	Agent	Approved	01/19/2024
B	Pennsylvania	Agent	Approved	01/19/2024
B	South Dakota	Agent	Approved	01/19/2024
B	Tennessee	Agent	Approved	01/19/2024
B	Texas	Agent	Approved	01/19/2024
B	Utah	Agent	Approved	09/19/2024
B	Virginia	Agent	Approved	01/19/2024



Qualifications

Regulator	Registration	Status	Date
B Wisconsin	Agent	Approved	01/19/2024
B Wyoming	Agent	Approved	01/19/2024

Branch Office Locations

OSAIC WEALTH, INC.
10831 OLD MILL RD
SUITE 200B
OMAHA, NE 68154

OSAIC WEALTH, INC.
875 SOUTH 48TH ST
LINCOLN, NE 68510

OSAIC WEALTH, INC.
3201 PIONEERS BLVD
SUITE 212
LINCOLN, NE 68502



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	12/18/1998

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	11/06/2000
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	04/26/1989

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	03/05/1997
B Uniform Securities Agent State Law Examination (S63)	Series 63	05/12/1989

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	03/01/2019 - 01/19/2024	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	OMAHA, NE
IA	03/01/2019 - 01/19/2024	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	OMAHA, NE
B	03/23/2015 - 03/01/2019	QUESTAR CAPITAL CORPORATION	CRD# 43100	OMAHA, NE
IA	03/20/2015 - 03/01/2019	QUESTAR ASSET MANAGEMENT, INC.	CRD# 133358	OMAHA, NE
IA	05/29/2012 - 02/19/2015	GIRARD SECURITIES, INC.	CRD# 18697	LINCOLN, NE
B	10/30/2009 - 02/19/2015	GIRARD SECURITIES, INC.	CRD# 18697	LINCOLN, NE
IA	07/27/2007 - 09/17/2010	STRATEGIC LEGACY ADVISORS, INC.	CRD# 135786	LINCOLN, NE
B	02/15/2001 - 11/05/2009	QA3 FINANCIAL CORP.	CRD# 14754	LINCOLN, NE
IA	03/22/2001 - 07/26/2007	QA3 FINANCIAL LLC	CRD# 104957	LINCOLN, NE
B	07/26/1996 - 02/08/2001	CAPITAL ANALYSTS, INCORPORATED	CRD# 5478	CINCINNATI, OH
B	08/01/1995 - 08/07/1996	FIRST LINCOLN INVESTMENTS, INC.	CRD# 7076	LINCOLN, NE
B	07/05/1995 - 08/07/1996	FIRST LINCOLN INVESTMENTS, INC.	CRD# 7076	
B	11/23/1993 - 07/11/1995	THE O.N. EQUITY SALES COMPANY	CRD# 2936	CINCINNATI, OH
B	04/27/1989 - 11/11/1993	FIRST LINCOLN INVESTMENTS, INC.	CRD# 7076	LINCOLN, NE



Registration & Employment History

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2024 - Present	OSAIC WEALTH, INC.	REGISTERED REPRESENTATIVE	Y	OMAHA, NE, United States
06/1999 - Present	STRATEGIC LEGACY ADVISORS, INC.	PRESIDENT, CHIEF COMPLIANCE OFFICER, DIRECTOR, REGISTERED REPRESENTATIVE	Y	LINCOLN, NE, United States
11/1993 - Present	OHIO NATIONAL LIFE	OTHER - GENERAL AGENT	N	CINCINNATI, OH, United States
03/2019 - 01/2024	WOODBURY FINANCIAL SERVICES, INC.	REGISTERED REPRESENTATIVE	Y	LINCOLN, NE, United States
03/2019 - 01/2024	WOODBURY FINANCIAL SERVICES, INC.	REGISTERED REPRESENTATIVE	Y	LINCOLN, NE, United States
03/2015 - 03/2019	QUESTAR ASSET MANAGEMENT	INVESTMENT ADVISER REPRESENTATIVE	Y	MINNEAPOLIS, MN, United States
03/2015 - 03/2019	QUESTAR CAPITAL	REGISTERED REPRESENTATIVE	Y	MINNEAPOLIS, MN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. STRATEGIC LEGACY ADVISORS

POS: Pres NATURE: DBA name INVESTMENT RELATED: Yes HRS: 140 SEC TRADING HRS: 80 START DATE: 3/1/01
ADDY Omaha, NE 68154
DESCRIPT: Financial planning, special emphasis on succession, income/estate tax

2. JMO MGMT INC

POS: Pres/Owner NATURE: Corp INVESTMENT RELATED: No HRS: 3 SEC TRADING HRS: 0 START DATE: 7/19/17
ADDY: Omaha, NE 68144
DESCRIPT :some writing of checks from various entities we are involved in to pay the management fees to this entity

3. STRATEGIC RISK MANAGEMENT GROUP INC

POS: Pres NATURE: S Corp INVESTMENT RELATED: Yes HRS: 20 SEC TRADING HRS: 10 START DATE: 8/10/89
ADDY: Omaha, NE 68510
DESCRT: spend some time on estate, income tax & ltc planning. I do about 75 Federal and State income tax returns & design the fixed life insurance contracts that we broker through various independent sources.

4. RMD GROUP LLC

POS: Managing Partner NATURE: Ownership INVESTMENT RELATED: Yes HRS: 2 SEC TRADING HRS: 1 START DATE:



Registration & Employment History



OTHER BUSINESS ACTIVITIES

6/6/14

ADDY: , Omaha, NE 68154

DESCRIPT: Entity for the purpose of owning the office building where our office is, A few other tenants pay us rent

5. STRATEGIC PLANNING PARTNERS

POS: Managing Partner NATURE: LLC INVESTMENT RELATED: No HRS: 1 SEC TRADING HRS: 1 START DATE: 1/1/98

ADDY: Omaha, NE 68510

DESCRIPTION: no real duties. My business partner, Royce Ruth handles any activity with income and expenses.

6. OWENS ENTERPRISES INC

POS: Pres NATURE: S Corp INVESTMENT RELATED: No HRS: 4 SEC TRADING HRS: 1 START DATE: 3/20/15

ADDY: Omaha, NE 68022

DESCRIPT No duties other processing deposits of income and writing checks for expenses. No other management needed

7. ONE MILLION WELLS

POS: Treasurer NATURE: 501(c) 3 non profit INVESTMENT RELATED: No HRS: 1 SEC TRADING HRS: 0 START DATE: 4/1/21

ADDRESS: Round Rock, TX 78681

DESCRIPT: board member & director in advisory role. Now money mgmt or check writing at this time. I may assist from time to time in fund raising activities and "coach" on income tax issues.

8. OMAHA SKUTT CATHOLIC HIGH SCHOOL

POSITION: Board Member NATURE: High School INVESTMENT RELATED: No HOURS: 2 SEC TRADING HRS: 0 START DATE: 9/1/2022

ADDRESS: 3131 South 156th Street, Omaha, NE 68130

DESCRIPT: I serve as a board member

9. ARCHDIOCESE OF OMAHA

POSITION: Advisory NATURE: Church INVESTMENT RELATED: No HOURS: 1 SEC TRADING HRS: 0 START DATE: 8/20/2013

ADDRESS: 100 North 62nd Street, Omaha, NE 68132

DESCRIPTION: Quarterly review of the investment reports for the Omaha Archdiocese Education Foundation

10. ELITE BUSINESS STRATEGIES LLC

POS: Managing Member NATURE: LLC INVESTMENT RELATED: No NUMBER OF HRS: 8 SECURITIES TRADING HOURS: 8 START DATE: 5/30/25

ADDRESS: 10040 Regency Circle, Suite 150, Omaha NE 68114, United States

DESCRIPTION: I will provide tax advice to business owners and successful executives. We will model income tax consequences when selling a business, farm or other assets. We will counsel on the effect of taking lump sum from deferred compensation or other similar scenarios. We will show ideas that can legally reduce the income tax consequences. We will counsel on the importance of understanding topics like cost depreciation and cost segregation. We will also counsel on the use of trusts in income tax and estate planning, how to have beneficiaries structured. We will show the use of qualified opportunity zones, section 664 trusts, and the use of section 453. The firm will also prepare the necessary tax returns for trusts including 1041, 5227 and K1.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 2

Reporting Source:	Individual
Regulatory Action Initiated By:	NEBRASKA DEPARTMENT OF INSURANCE
Sanction(s) Sought:	Civil and Administrative Penalty(ies)/Fine(s)
Date Initiated:	12/17/2013
Docket/Case Number:	A-1969
Employing firm when activity occurred which led to the regulatory action:	GIRARD SECURITIES
Product Type:	No Product
Allegations:	LATE REPORTING OF DISCIPLINARY ACTION OF ANOTHER REGULATORY AUTHORITY
Current Status:	Final
Resolution:	Consent
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	12/17/2013
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s)

Monetary Sanction 1 of 1



Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$500.00

Portion Levied against individual: \$500.00

Payment Plan:

Is Payment Plan Current:

Date Paid by individual: 12/17/2013

Was any portion of penalty waived? No

Amount Waived:

Disclosure 2 of 2

Reporting Source: Individual

Regulatory Action Initiated By: STATE OF NEBRASKA DEPARTMENT OF BANKING AND FINANCE

Sanction(s) Sought: Civil and Administrative Penalty(ies)/Fine(s)

Date Initiated: 09/16/2010

Docket/Case Number: STRATEGIC LEGACY ADVISORS, INC.

Employing firm when activity occurred which led to the regulatory action: GIRARD SECURITIES, INC.

Product Type: No Product

Allegations: FILING A DOCUMENT WITH THE STATE OF NEBRASKA WHICH WAS FALSE OR FILING A DOCUMENT WITH THE DIRECTOR WHICH IS FALSE IN A MATERIAL RESPECT IN THAT STRATEGIC LEGACY ADVISORS, INC. FILED FORMS ADV ON FOUR SEPARATE OCCASIONS WHICH WERE MISLEADING IN A MATERIAL RESPECT, IN THAT STRATEGIC LEGACY ADVISORS, INC. FORM ADV DID NOT ACCURATELY STATE THE NUMBER OF ITS CLIENTS AND THE AMOUNT OF INVESTMENT ASSETS IT MANAGED.

Current Status: Final

Resolution: Consent

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? No

Resolution Date: 05/22/2012

Sanctions Ordered: Civil and Administrative Penalty(ies)/Fine(s)
Monetary Penalty other than Fines

Monetary Sanction 1 of 2

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$20,000.00



Portion Levied against individual: \$0.00

Payment Plan:

Is Payment Plan Current: Yes

Date Paid by individual: 05/22/2012

Was any portion of penalty waived? No

Amount Waived:

Monetary Sanction 2 of 2

Monetary Related Sanction: Monetary Penalty other than Fines

Total Amount: \$5,000.00

Portion Levied against individual: \$5,000.00

Payment Plan:

Is Payment Plan Current: Yes

Date Paid by individual: 05/22/2012

Was any portion of penalty waived? No

Amount Waived:

Broker Statement

I RELIED ON THE COUNSEL OF AN ATTORNEY IN A LAW FIRM THAT MISLEAD ME TO BELIEVE THAT WE WERE TO REPORT ALL OF THE CLIENTS' ASSETS THAT WE WERE INVOLVED WITH BUT ESPECIALLY AND INCLUDING FIXED LIFE INSURANCE CASH VALUES, FIXED ANNUITY CASH VALUES, VARIABLE ANNUITY CASH VALUES AND MUTUAL FUND VALUES IN DETERMINING WHETHER WE SHOULD BE NATIONALLY REGISTERED OR STATE REGISTERED. WHEN I DID OBTAIN NEW COUNSEL, THAT PERSON ENCOURAGED ME TO CHANGE HOW WE WERE REPORTING OUR ASSETS AND YET WE DID NOT DO THE CHANGES ALL IN ONE YEAR BUT DID IT OVER A REPORTING PERIOD OF A COUPLE OF YEARS AS WE STILL DID NOT FEEL LIKE WE WERE GETTING THE BEST ADVICE. THE COMPLIANCE COUNSEL THAT WE WERE USING ENCOURAGED EVENTUALLY TO APPLY FOR STATE REGISTRATION AS OPPOSED TO NATIONAL REGISTRATION, AND THAT IS WHY THE STATE BEGAN QUESTIONING OUR REPORTING. WE UNDERSTAND THEIR FINDINGS YET WE WERE THE VICTIM OF BAD ADVICE FROM LEGAL COUNSEL.



End of Report

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