



## IAPD Report

# STEPHEN GUY SHIPP JR

CRD# 1937641

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



### Report Summary

#### STEPHEN GUY SHIPP JR (CRD# 1937641)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/30/2026**.

#### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	GENEOS WEALTH MANAGEMENT, INC.	CRD# 120894	09/13/2017
IA	GENEOS WEALTH MANAGEMENT, INC.	CRD# 120894	09/13/2017

#### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

#### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	FOOTHILL SECURITIES, INC.	1027	HALF MOON BAY, CA	06/05/2008 - 06/02/2016
B	FOOTHILL SECURITIES, INC.	1027	HALF MOON BAY, CA	06/04/2008 - 06/02/2016
B	GENEOS WEALTH MANAGEMENT, INC.	120894	SAN RAMON, CA	12/13/2007 - 06/04/2008

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

#### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 2 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **GENEOS WEALTH MANAGEMENT, INC.**  
Main Address: 9635 MAROON CIRCLE  
SUITE 100  
ENGLEWOOD, CO 80112  
Firm ID#: 120894

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	09/13/2017
B FINRA	General Securities Representative	Approved	09/13/2017
B FINRA	Municipal Securities Principal	Approved	09/13/2017
B FINRA	Municipal Securities Representative	Approved	09/13/2017
B FINRA	Investment Banking Representative	Approved	09/27/2017
B FINRA	Operations Professional	Approved	09/27/2017
B FINRA	Investment Banking Principal	Approved	10/01/2018
B California	Agent	Approved	09/13/2017
IA California	Investment Adviser Representative	Approved	09/13/2017
B Washington	Agent	Approved	04/06/2026

### Branch Office Locations

**GENEOS WEALTH MANAGEMENT, INC.**  
San Mateo, CA





## Qualifications

### PASSED INDUSTRY EXAMS






This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 2 principal/supervisory exams, 5 general industry/product exams, and 2 state securities law exams.**



#### Principal/Supervisory Exams

Exam	Category	Date
 Municipal Securities Principal Examination (S53)	Series 53	05/18/2002
 General Securities Principal Examination (S24)	Series 24	01/05/2001

#### General Industry/Product Exams

Exam	Category	Date
 Investment Banking Registered Representative Examination (S79TO)	Series 79TO	01/02/2023
 Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
 Municipal Securities Representative Examination (S52TO)	Series 52TO	01/02/2023
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	05/20/1989

#### State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	11/03/2007
 Uniform Securities Agent State Law Examination (S63)	Series 63	10/16/1996



## PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



### Registration & Employment History

#### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	06/05/2008 - 06/02/2016	FOOTHILL SECURITIES, INC.	CRD# 1027	HALF MOON BAY, CA
B	06/04/2008 - 06/02/2016	FOOTHILL SECURITIES, INC.	CRD# 1027	HALF MOON BAY, CA
B	12/13/2007 - 06/04/2008	GENEOS WEALTH MANAGEMENT, INC.	CRD# 120894	SAN RAMON, CA
IA	12/13/2007 - 06/04/2008	GENEOS WEALTH MANAGEMENT, INC.	CRD# 120894	SAN RAMON, CA
B	12/09/2005 - 12/07/2007	CUE FINANCIAL GROUP, INC.	CRD# 21033	SAUSALITO, CA
IA	12/09/2005 - 12/07/2007	CUE FINANCIAL GROUP, INC.	CRD# 21033	SAUSALITO, CA
B	08/16/2005 - 12/05/2005	CHARLES SCHWAB & CO., INC.	CRD# 5393	WESTLAKE, TX
IA	06/04/2001 - 08/12/2005	FINANCIAL NETWORK INVESTMENT CORPORATION	CRD# 13572	PLEASANT HILL, CA
B	12/05/2000 - 08/12/2005	FINANCIAL NETWORK INVESTMENT CORPORATION	CRD# 13572	EL SEGUNDO, CA
B	10/01/1996 - 10/11/2000	A. G. EDWARDS & SONS, INC.	CRD# 4	ST. LOUIS, MO
B	01/22/1996 - 10/14/1996	PRUCO SECURITIES CORPORATION	CRD# 5685	NEWARK, NJ
B	03/14/1990 - 12/22/1995	IRM DISTRIBUTORS, INC.	CRD# 11869	CARPINTERIA, CA
B	05/23/1989 - 06/21/1989	FIRST EAGLE, INC.	CRD# 16509	

#### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2017 - Present	Geneos Wealth Management Inc	Transaction Supervisor	Y	San Mateo, CA, United States



## Registration & Employment History

### EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2008 - 09/2017	STEVE SHIPP	RECIPIENT OF BOOK ROYALTIES	N	SAN MATEO, CA, United States
06/2001 - 09/2017	STEVE SHIPP & HELEN SHIPP	RENTAL PROPERTY OWNER	N	SAN MATEO, CA, United States
06/2015 - 04/2017	PARSONS FINANCIAL ADVISORS	EMPLOYEE	Y	HALF MOON BAY, CA, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) STEVE SHIPP; BOOK ROYALTIES; RECEIVE BOOK ROYALTIES FROM TWO PUBLISHERS FOR BOOKS WRITTEN BY MY DECEASED FATHER; NON INVESTMENT-RELATED; START DATE 2/1/2008; 0 HOURS PER MONTH; NO DUTIES OR RESPONSIBILITIES. 2) STEVE SHIPP AND HELEN SHIPP - RENTAL PROPERTY OWNER. 3) STEVE SHIPP CONSULTING SERVICES-OWNER.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2

### Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

#### Disclosure 1 of 2

<b>Reporting Source:</b>	Individual
<b>Regulatory Action Initiated By:</b>	California Department of Insurance
<b>Sanction(s) Sought:</b>	Suspension
<b>Date Initiated:</b>	02/13/2018
<b>Docket/Case Number:</b>	OBS-2782-P
<b>Employing firm when activity occurred which led to the regulatory action:</b>	Foothill Securities Inc
<b>Product Type:</b>	No Product
<b>Allegations:</b>	Failure to notify the State Insurance Department to changes in background information within the required 30 days.
<b>Current Status:</b>	Final
<b>Resolution:</b>	Order
<b>Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?</b>	No
<b>Resolution Date:</b>	02/13/2018
<b>Sanctions Ordered:</b>	Suspension
<b>Sanction 1 of 1</b>	



**Sanction Type:** Suspension  
**Capacities Affected:** None  
**Duration:** 14 days  
**Start Date:** 03/19/2018  
**End Date:** 04/01/2018

### Disclosure 2 of 2

**Reporting Source:** Regulator  
**Regulatory Action Initiated By:** FINRA

### Sanction(s) Sought:

**Date Initiated:** 12/30/2014

**Docket/Case Number:** [2012030670401](#)

**Employing firm when activity occurred which led to the regulatory action:** FOOTHILL SECURITIES, INC.

**Product Type:** No Product

**Allegations:** WITHOUT ADMITTING OR DENYING THE FINDINGS, SHIPP CONSENTED TO THE SANCTIONS AND TO THE ENTRY OF FINDINGS THAT HIS MEMBER FIRM DID NOT HAVE AN ADEQUATE SUPERVISORY SYSTEM AND WRITTEN SUPERVISORY PROCEDURES (WSPS) TO MONITOR ITS SECURITIES BUSINESS, FAILED TO FOLLOW THE SUPERVISORY SYSTEM AND WSPS THAT IT HAD IN PLACE, AND FAILED TO ESTABLISH AND ENFORCE POLICIES AND PROCEDURES REASONABLY DESIGNED TO SUPERVISE THE FIRM'S SECURITIES BUSINESS. THE FINDINGS STATED THAT THE FIRM, ACTING THROUGH SHIPP, ITS CHIEF COMPLIANCE OFFICER, HEAVILY RELIED UPON A PROPRIETARY DATA SYSTEM (STRIPES) FOR THE SUPERVISION OF ITS REGISTERED REPRESENTATIVES AND THEIR SECURITIES ACTIVITIES AND TRANSACTIONS THAT WAS INADEQUATE IN THAT THE TRADING INFORMATION CAPTURED BY THE PROPRIETARY SYSTEM WAS NOT CONSISTENTLY ACCURATE OR COMPLETE. THE FIRM WAS AWARE OF THESE SHORTCOMINGS, BUT NONETHELESS PRIMARILY RELIED ON STRIPES TO PERFORM ITS TRADING ACTIVITY REVIEWS, AMONG OTHER REVIEWS, OF ITS REGISTERED REPRESENTATIVES. THE FIRM ALLOWED NINE OF ITS "DUAL OFFICE OF SUPERVISORY JURISDICTION (OSJ)" OR "OSJ-TO-OSJ" BRANCH OFFICES TO HAVE TWO PRODUCING MANAGERS SUPERVISE EACH OTHER'S ACTIVITIES, EVEN THOUGH SUCH A SUPERVISORY STRUCTURE IS PROHIBITED UNDER NASD RULE 3012. THE FIRM HAD AN INADEQUATE SUPERVISORY SYSTEM AND WSPS RELATING TO THE HEIGHTENED SUPERVISION OF ITS PRODUCING MANAGERS; FAILED TO COMPLETE THE "LOOK BACKS" FOR SIX OF ITS SEVEN REGISTERED REPRESENTATIVES WHO HAD PREVIOUSLY-APPROVED OUTSIDE BUSINESS ACTIVITIES (OBAS) BEFORE THE DEADLINE IMPOSED IN NOTICE TO MEMBERS 10-49; FAILED TO ADEQUATELY AND ACCURATELY DISCLOSE THE REQUIRED DETAILS OF THE OBAS OF ITS REGISTERED REPRESENTATIVES IN SAMPLED FORM U4 DISCLOSURES; FAILED TO TIMELY UPDATE ITS REGISTERED REPRESENTATIVES FORM U4S; FAILED TO TIMELY FILE CUSTOMER COMPLAINTS, AND OTHER CUSTOMER RELATED DISCLOSURES WITH FINRA; FAILED TO EVIDENCE THE DAILY REVIEW AND APPROVAL OF THE



DAILY REPORTS OF THE APPROVED PRIVATE SECURITIES TRANSACTIONS (PSTS) OF ONE OF ITS REGISTERED REPRESENTATIVES; FAILED TO SUPERVISE A REGISTERED REPRESENTATIVE'S PUBLIC APPEARANCES ON DAILY RADIO SHOWS AND/OR TELEVISION SHOWS; AND FAILED TO MAINTAIN CUSTOMER ACCOUNT RECORDS FOR ACCOUNTS SAMPLED. ALTHOUGH THE FIRM APPEARED TO ADEQUATELY CONDUCT ITS BRANCH INSPECTIONS, IT FAILED TO CREATE ANY SYSTEM TO FOLLOW-UP ON FINDINGS NOTED IN THE BRANCH INSPECTIONS. THE FIRM, THROUGH SHIPP, FAILED TO SUPERVISE PSTS, FAILED TO ADDRESS AND CORRECT CERTAIN REPEAT DEFICIENCY FINDINGS IN ANNUAL BRANCH INSPECTION REPORTS AND DID NOT PERFORM ADEQUATE INDIVIDUAL BRANCH RISK ASSESSMENTS DURING ITS ANNUAL PLANNING PROCESS. THE FINDINGS ALSO STATED THAT THE FIRM, ACTING THROUGH SHIPP, FAILED TO ADEQUATELY REVIEW SECURITIES TRANSACTIONS OF ITS REGISTERED REPRESENTATIVES, FAILED TO ADEQUATELY EVIDENCE THE REVIEWS THAT THE FIRM DID CONDUCT, AND FAILED TO FOLLOW ITS SUPERVISORY SYSTEM AND WSPS IN REGARDS TO HEIGHTENED SUPERVISION OF PRODUCING MANAGERS BY ALLOWING AT LEAST ONE OF ITS PRODUCING MANAGERS TO SIGN OFF AS THE REGISTERED REPRESENTATIVE ON HIS OWN TRANSACTIONS AND TO PROVIDE THE PRINCIPAL APPROVAL FOR THOSE SAME TRANSACTIONS IN STRIPES. THE FINDINGS ALSO INCLUDED THAT THE FIRM, ACTING THROUGH SHIPP, APPROVED THE PARTICIPATION OF A REGISTERED REPRESENTATIVE AS A SUB-ADVISOR TO AN EXCHANGE TRADED FUND (ETF) AS AN OBA, INSTEAD OF AS PSTS. AS A RESULT, THE FIRM DID NOT SUPERVISE THE SECURITIES TRANSACTIONS AND FAILED TO RECORD THE TRANSACTIONS EXECUTED BY THE REGISTERED REPRESENTATIVE ON ITS BOOKS AND RECORDS.

<b>Current Status:</b>	Final
<b>Resolution:</b>	Acceptance, Waiver & Consent(AWC)
<b>Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?</b>	No
<b>Resolution Date:</b>	12/30/2014
<b>Sanctions Ordered:</b>	Civil and Administrative Penalty(ies)/Fine(s) Suspension
<b>If the regulator is the SEC, CFTC, or an SRO, did the action result in a finding of a willful violation or failure to supervise?</b>	No



**(1) willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board, or to have been unable to comply with any provision of such Act, rule or regulation?**

**(2) willfully aided, abetted, counseled, commanded, induced, or procured the violation by any person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board? or**

**(3) failed reasonably to supervise another person subject to your supervision, with a view to preventing the violation by such person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any such Acts, or any of the rules of the Municipal Securities Rulemaking Board?**

**Sanction 1 of 1**

<b>Sanction Type:</b>	Suspension
<b>Capacities Affected:</b>	PRINCIPAL CAPACITY
<b>Duration:</b>	THREE MONTHS
<b>Start Date:</b>	01/20/2015



**End Date:** 04/19/2015

**Monetary Sanction 1 of 1**

**Monetary Related Sanction:** Civil and Administrative Penalty(ies)/Fine(s)

**Total Amount:** \$25,000.00

**Portion Levied against individual:** \$25,000.00

**Payment Plan:** JOINT AND SEVERAL

**Is Payment Plan Current:** Yes

**Date Paid by individual:** 01/09/2015

**Was any portion of penalty waived?** No

**Amount Waived:**

**Regulator Statement** FINE PAID IN FULL ON JANUARY 9, 2015.

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**Reporting Source:** Individual

**Regulatory Action Initiated By:** FINRA

**Sanction(s) Sought:** Civil and Administrative Penalty(ies)/Fine(s)  
Suspension

**Date Initiated:** 12/30/2014

**Docket/Case Number:** 20122030670401

**Employing firm when activity occurred which led to the regulatory action:** FOOTHILL SECURITIES, INC.

**Product Type:** No Product

**Allegations:** STEPHEN SHIPP ENTERED INTO AN AWC, CONSENTING TO THE SANCTIONS AND TO THE ENTRY OF FINDINGS THAT FOOTHILL SECURITIES, ACTING THROUGH HIM, DID NOT HAVE AN ADEQUATE SUPERVISORY SYSTEM AND WRITTEN SUPERVISORY PROCEDURES (WSPS) TO MONITOR ITS SECURITIES BUSINESS, FAILED TO FOLLOW THE SUPERVISORY SYSTEM AND WSPS THAT IT HAD IN PLACE, AND FAILED TO ESTABLISH AND ENFORCE POLICIES AND PROCEDURES REASONABLY DESIGNED TO SUPERVISE THE FIRM'S SECURITIES BUSINESS.

**Current Status:** Final

**Resolution:** Acceptance, Waiver & Consent(AWC)

**Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?** No

**Resolution Date:** 12/30/2014

**Sanctions Ordered:** Suspension



**Sanction 1 of 1**

**Sanction Type:** Suspension

**Capacities Affected:** SUSPENSION IN ANY PRINCIPAL CAPACITY TO BEGIN ON JANUARY 20, 2015 THROUGH APRIL 19, 2015.

**Duration:** JANUARY 20, 2015 THROUGH APRIL 19, 2015.

**Start Date:** 01/20/2015

**End Date:** 04/19/2015

**Monetary Sanction 1 of 1**

**Monetary Related Sanction:** Civil and Administrative Penalty(ies)/Fine(s)

**Total Amount:** \$25,000.00

**Portion Levied against individual:** \$0.00

**Payment Plan:**

**Is Payment Plan Current:** Yes

**Date Paid by individual:**

**Was any portion of penalty waived?** No

**Amount Waived:**



## End of Report

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