



IAPD Report

TIMOTHY EDWARD O'DONNELL

CRD# 1944448

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

TIMOTHY EDWARD O'DONNELL (CRD# 1944448)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/12/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LPL FINANCIAL LLC	CRD# 6413	09/17/2013
IA	SEQUOIA WEALTH MANAGEMENT, LLC	CRD# 170543	01/16/2015

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **7** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	LPL FINANCIAL LLC	6413	HOFFMAN ESTATES, IL	09/17/2013 - 10/28/2015
B	SECURIAN FINANCIAL SERVICES, INC.	15296	SCHAUMBURG, IL	06/18/2007 - 09/18/2013
IA	SECURIAN FINANCIAL SERVICES, INC.	15296	SCHAUMBURG, IL	06/18/2007 - 09/18/2013

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 7 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **LPL FINANCIAL LLC**
Main Address: 1055 LPL WAY
FORT MILL, SC 29715
Firm ID#: 6413

	Regulator	Registration	Status	Date
	FINRA	General Securities Representative	Approved	09/17/2013
	Arkansas	Agent	Approved	04/15/2024
	Florida	Agent	Approved	09/14/2023
	Illinois	Agent	Approved	09/17/2013
	Indiana	Agent	Approved	08/27/2020
	North Carolina	Agent	Approved	01/19/2016
	Ohio	Agent	Approved	01/03/2019
	Wisconsin	Agent	Approved	09/17/2013

Branch Office Locations

LPL FINANCIAL LLC
1000 HART ROAD, SUITE 300
BARRINGTON, IL 60010

Employment 2 of 2

Firm Name: **SEQUOIA WEALTH MANAGEMENT, LLC**
Main Address: 200 APPLEBEE ST
SUITE 208
BARRINGTON, IL 60010
Firm ID#: 170543



Qualifications

Regulator		Registration	Status	Date
IA	Illinois	Investment Adviser Representative	Approved	01/16/2015

Branch Office Locations

SEQUOIA WEALTH MANAGEMENT, LLC
1000 HART RD
SUITE 300
BARRINGTON, IL 60010



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	05/04/2006

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	05/18/2006



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	09/17/2013 - 10/28/2015	LPL FINANCIAL LLC	CRD# 6413	HOFFMAN ESTATES, IL
B	06/18/2007 - 09/18/2013	SECURIAN FINANCIAL SERVICES, INC.	CRD# 15296	SCHAUMBURG, IL
IA	06/18/2007 - 09/18/2013	SECURIAN FINANCIAL SERVICES, INC.	CRD# 15296	SCHAUMBURG, IL
IA	01/17/2007 - 06/01/2007	SIGNATOR INVESTORS, INC.	CRD# 468	ROSEMONT, IL
B	05/05/2006 - 06/01/2007	SIGNATOR INVESTORS, INC.	CRD# 468	ROSEMONT, IL
B	09/09/1998 - 09/21/1999	FLEET SECURITIES, INC.	CRD# 13071	DALLAS, TX
B	07/05/1994 - 04/26/1995	GELDERMANN SECURITIES, INC.	CRD# 6731	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2015 - Present	SEQUIOA WEALTH MANAGEMENT, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	BARRINGTON, IL, United States
09/2013 - Present	LPL FINANCIAL LLC	REGISTERED REPRESENTATIVE	Y	BARRINGTON, IL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 09/17/2013: ODE FINANCIAL SERVICES - DBA FOR LPL BUSINESS (ENTITY FOR LPL BUSINESS) - INV REL - 2500 W. HIGGINS RD., STE #360, HOFFMAN ESTATES, IL 60169-7207 - 100% OF TIME SPENT
- 01/13/2015: NO BUSINESS NAME - INV REL - 1200 ROOSEVELT RD, GLEN ELLYN, ILLINOIS - INSURANCE TRAILS ONLY - START 01/01/2015 - NO TIME SPENT - HEALTH INSURANCE PLAN COMMISSIONS
- 3/6/2018 - Sequoia Wealth Management - Investment Related - At Reported Business Location(s) - Registered Investment Advisor Hybrid - IAR - Start Date:2/1/2015 - 160 Hours per Month/130 Hours During Securities Trading - I provide investment



Registration & Employment History



OTHER BUSINESS ACTIVITIES

advisory services through Sequoia Wealth Management, an independent investment advisor firm. I started this business activity in 02/2015. I expect to spend approximately 160 hours per month on this activity. Please see the advisory firm's Form ADV for more information about its address, the nature of its business, its owners, and its services at <http://www.adviserinfo.sec.gov/IAPD>. The firm is separate from and independent of LPL Financial.

4. 1/24/2019 - Ode Financial LLC - DBA: Ode Financial - Investment Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business) - Started 01/11/2019 - 1 Hour Per Month/0 Hours During Securities Trading.

5. 11/12/2019 - H Group - Investment related - 610 Anthony Trail, Northbrook, Ill, 60062 - Non-Variable Insurance - start date:7/9/2019 - 1 hr/mo 0 hrs during trading.

6. 05/24/2023 - Barrington Township Republican Organization - Not Investment Related - Barrington, Illinois - Non-Profit Board Member - Vice President / Chair of Election Operations Committee - Start Date 05/15/2023 - 4 Hours Per Month/ 0 Hours During Securities Trading



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Court Details:	THE THIRD CIRCUIT COURT OF COOK COUNTY, ILLINOIS NOT PROVIDED
Charge Date:	02/17/1984
Charge Details:	POSSESION OF A CONTROLLED SUBSTANCE
Felony?	Yes
Current Status:	Final
Status Date:	02/17/1984
Disposition Details:	THE CHARGE WAS DROPPED- 8/1984 CHARGE WAS DISMISSED FOR LACK OF EVIDENCE
Broker Statement	ON FEB 17, 1984 I ACCEPT A RIDE IN THE VEHICLE OF AN ACQUAINTANCE. DURING THE RIDE, HE WAS STOPPED FOR A TRAFFIC VIOLATION. DURING A SEARCH OF THE VEHICLE A CONTROLLED SUBSTANCE WAS FOUND. I WAS CHARGED ALONG WITH HIM EVEN THOUGH I WAS ONLY A PASSENGER. AFTER NUMBVEROUS CONTINUANCES MY ATTORNEY CONVINCED THE STATE ATTORNEY TO DROP THE CASE AGAINST ME BECAUSE AS A PASSENGER I WAS NEVER IN POSSESION. THE STATE'S ATT DROPPED THE CHARGE AGAINST ME. THE FILE WAS PURGED FROM THE RECORDS OF THE THIRD CIRCUIT COURT AFTER TEN YEARS. I HAVE NO FURTHER RECORDS.



End of Report

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