



IAPD Report

ERIC DALE GRANT

CRD# 1960689

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page
<http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ERIC DALE GRANT (CRD# 1960689)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/11/2025**.

CURRENT EMPLOYERS

| | Firm | CRD# | Registered Since |
|----|--------------------------------|-------------|------------------|
| IA | CSENGE ADVISORY GROUP, LLC | CRD# 131167 | 01/16/2018 |
| IA | POLARIS WEALTH MANAGEMENT, LLC | CRD# 305777 | 06/24/2020 |
| B | INTEGRITY ALLIANCE, LLC. | CRD# 139627 | 10/14/2025 |

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **8** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

| | FIRM | CRD# | LOCATION | REGISTRATION DATES |
|----|----------------------------|--------|---------------|-------------------------|
| IA | INTEGRITY ALLIANCE, LLC | 139627 | Flossmoor, IL | 10/27/2025 - 12/11/2025 |
| B | LION STREET FINANCIAL, LLC | 165828 | Flossmoor, IL | 07/27/2018 - 11/06/2025 |
| IA | LION STREET ADVISORS, LLC | 167610 | Flossmoor, IL | 07/30/2018 - 12/01/2020 |

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

| Type | Count |
|-------------|-------|
| Termination | 1 |



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **8** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 3

Firm Name: **INTEGRITY ALLIANCE, LLC**

Main Address: 4135 NW URBANDALE DR
URBANDALE, IA 50322

Firm ID#: 139627

| Regulator | Registration | Status | Date |
|--|--|----------|------------|
|  FINRA | General Securities Principal | Approved | 10/14/2025 |
|  FINRA | General Securities Representative | Approved | 10/14/2025 |
|  FINRA | Invest. Co and Variable Contracts | Approved | 10/14/2025 |
|  FINRA | Investment Co./Variable Contracts Prin | Approved | 10/14/2025 |
|  District of Columbia | Agent | Approved | 11/12/2025 |
|  Illinois | Agent | Approved | 11/10/2025 |
|  Indiana | Agent | Approved | 11/12/2025 |
|  Maryland | Agent | Approved | 11/13/2025 |
|  New Jersey | Agent | Approved | 11/13/2025 |
|  Virginia | Agent | Approved | 11/13/2025 |
|  Wisconsin | Agent | Approved | 10/15/2025 |

Branch Office Locations

INTEGRITY ALLIANCE, LLC
1020 Park Dr.
#213



Qualifications

Flossmoor, IL 60422

INTEGRITY ALLIANCE, LLC
1020 Park Dr. #213
Flossmoor, IL 60422

Employment 2 of 3

Firm Name: **POLARIS WEALTH MANAGEMENT, LLC**

Main Address: 1020 PARK DR
#213
FLOSSMOOR, IL 60422

Firm ID#: 305777

| Regulator | Registration | Status | Date |
|-------------|-----------------------------------|---------------------|------------|
| IA Illinois | Investment Adviser Representative | Approved | 06/24/2020 |
| IA Texas | Investment Adviser Representative | Restricted Approval | 02/11/2021 |

Branch Office Locations

POLARIS WEALTH MANAGEMENT, LLC

1020 PARK DR
#213
FLOSSMOOR, IL 60422

Employment 3 of 3

Firm Name: **CSENGE ADVISORY GROUP, LLC**

Main Address: 4755 EAST BAY DRIVE
CLEARWATER, FL 33764

Firm ID#: 131167

| Regulator | Registration | Status | Date |
|-------------|-----------------------------------|----------|------------|
| IA Illinois | Investment Adviser Representative | Approved | 01/16/2018 |

Branch Office Locations

CSENGE ADVISORY GROUP, LLC

19511 Governors Highway
Flossmoor, IL 60422



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

| Exam | Category | Date |
|--|-----------|------------|
|  General Securities Principal Examination (S24) | Series 24 | 12/13/1999 |
|  Investment Company Products/Variable Contracts Principal Examination (S26) | Series 26 | 07/07/1997 |

General Industry/Product Exams

| Exam | Category | Date |
|--|----------|------------|
|  Securities Industry Essentials Examination (SIE) | SIE | 10/01/2018 |
|  General Securities Representative Examination (S7) | Series 7 | 09/30/1999 |
|  Investment Company Products/Variable Contracts Representative Examination (S6) | Series 6 | 06/28/1989 |

State Securities Law Exams

| Exam | Category | Date |
|--|-----------|------------|
|  Uniform Investment Adviser Law Examination (S65) | Series 65 | 05/04/1999 |
|  Uniform Securities Agent State Law Examination (S63) | Series 63 | 06/22/1991 |

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

| | Registration Dates | Firm Name | ID# | Branch Location |
|----|-------------------------|---|-------------|-----------------|
| IA | 10/27/2025 - 12/11/2025 | INTEGRITY ALLIANCE, LLC | CRD# 139627 | Flossmoor, IL |
| B | 07/27/2018 - 11/06/2025 | LION STREET FINANCIAL, LLC | CRD# 165828 | Flossmoor, IL |
| IA | 07/30/2018 - 12/01/2020 | LION STREET ADVISORS, LLC | CRD# 167610 | Flossmoor, IL |
| IA | 05/04/2010 - 07/31/2018 | FSC SECURITIES CORPORATION | CRD# 7461 | FLOSSMOOR, IL |
| B | 04/20/2010 - 07/31/2018 | FSC SECURITIES CORPORATION | CRD# 7461 | FLOSSMOOR, IL |
| IA | 12/15/2006 - 04/20/2010 | ING FINANCIAL PARTNERS, INC | CRD# 2882 | HOMEWOOD, IL |
| B | 11/03/2006 - 04/20/2010 | ING FINANCIAL PARTNERS, INC. | CRD# 2882 | HOMEWOOD, IL |
| IA | 05/03/2004 - 11/21/2006 | MML INVESTORS SERVICES, INC. | CRD# 10409 | CHICAGO, IL |
| B | 04/30/2004 - 11/21/2006 | MML INVESTORS SERVICES, INC. | CRD# 10409 | CHICAGO, IL |
| IA | 02/20/2003 - 05/06/2004 | METLIFE SECURITIES INC. | CRD# 14251 | CHICAGO, IL |
| B | 12/20/2002 - 05/06/2004 | METLIFE SECURITIES INC. | CRD# 14251 | SPRINGFIELD, MA |
| B | 12/20/2002 - 05/06/2004 | METROPOLITAN LIFE INSURANCE COMPANY | CRD# 4095 | NEW YORK, NY |
| IA | 04/30/2004 - 04/30/2004 | MML INVESTORS SERVICES, INC. | CRD# 10409 | CHICAGO, IL |
| IA | 11/24/1999 - 01/02/2003 | AXA ADVISORS, LLC | CRD# 6627 | CHICAGO, IL |
| B | 06/29/1989 - 01/02/2003 | AXA ADVISORS, LLC | CRD# 6627 | NEW YORK, NY |
| B | 06/29/1989 - 01/05/2000 | THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES | CRD# 4039 | NEW YORK, NY |



Registration & Employment History

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

| Employment Dates | Employer Name | Position | Investment Related | Employer Location |
|-------------------|---|--|--------------------|-------------------------------|
| 10/2025 - Present | Integrity Alliance, LLC | RR/IAR | Y | Flossmoor, IL, United States |
| 03/2020 - Present | Polaris Wealth Management, LLC | Managing Member & Chief Compliance Officer | Y | Flossmoor, IL, United States |
| 07/2018 - Present | CSENGE ADVISORY GROUP d/b/a Polaris Wealth Management | IAR | Y | CLEARWATER, FL, United States |
| 07/2018 - 11/2025 | Lion Street Financial | Registered Representative | Y | Flossmoor, IL, United States |
| 07/2018 - 12/2020 | Lion Street Advisors | Investment Advisor Representative | Y | Flossmoor, IL, United States |
| 04/2010 - 07/2018 | FSC SECURITIES CORPORATION | FINANCIAL ADVISOR | Y | HOMEWOOD, IL, United States |

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1.INSURANCE: POLARIS, INVESTMENT RELATED, 19150 S. Kedzie Avenue, Suite 204, Flossmoor, IL 60422 OWNER/AGENT, STARTED 12/1/2002, 40 HRS/MONTH, 30 HRS DURING TRADING HRS, NORMAL INSURANCE AND ANNUITY PROSPECTING & SALES ACTIVITY.

2.THE FAMILY MEETING RADIO SHOW

POSITION: Employee NATURE: Radio talk show INVESTMENT RELATED: No NUMBER OF HOURS: 16 SECURITIES TRADING HOURS: 2 START DATE: 05/02/2011

ADDRESS: 1020 Park Dr., #213, Flossmoor IL 60422, United States

DESCRIPTION: Host weekly current news talk show. Does not include financial service related business

3.CSENGE ADVISORY GROUP

POSITION: IAR NATURE: I am an IAR with this firm INVESTMENT RELATED: Yes NUMBER OF HOURS: 20 SECURITIES TRADING HOURS: 20 START DATE: 01/11/2018

ADDRESS: 4755 E Bay Dr, Clearwater FL 33764, United States

DESCRIPTION: Normal IAR duties

4.COSEBOC

POSITION: Member board of directors NATURE: Coalition of Schools Educating Boys of Color provides thought leadership training and support to public and private schools who want to improve their educational outcomes with boys of color.

INVESTMENT RELATED: No Hours per month devoted to business during trading hours: 3; Hours per month devoted to business outside trading hours: 2; START DATE: 2022-04-25

ADDRESS: 255 Main St, 8th Floor, Cambridge MA 02142, United States

DESCRIPTION: Normal board activities

5.POLARIS INSURANCE AGENCY

POSITION: Managing Partner NATURE: Life Accident and Health Insurance Agency INVESTMENT RELATED: Yes Hours per month devoted to business during trading hours: 15 Hours per month devoted to business outside trading hours: 2; Percentage of



Registration & Employment History

OTHER BUSINESS ACTIVITIES

total yearly compensation expected to be derived from the business: 30; START DATE: 2022-04-29

ADDRESS: 1117 Leavitt Ave, Ste 205, Flossmoor IL 60422, United States

6.POLARIS WEALTH MANAGEMENT

POSITION: Owner NATURE: RIA

Please include the DBA "Save and Plan" INVESTMENT RELATED: Yes NUMBER OF HOURS: 60 SECURITIES TRADING

HOURS: 40 START DATE: 03/01/2020

ADDRESS: 2620 Central Dr, Suite GS, Flossmoor IL 60422, United States

DESCRIPTION: Normal RIA leadership management and supervision, as well as client work.

7.THE MECCA SOCIETY

POSITION: Board Member NATURE: Fundraising 501c3 for the benefit of Howard University INVESTMENT RELATED: No

NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 1 START DATE: 11/01/2022

ADDRESS: 2620 Central Dr, Suite GS, Flossmoor IL 60422, United States

DESCRIPTION: Founding member and board member with governance responsibilities

8.1867 BISON HOLDINGS LLC

POSITION: Owner NATURE: Real estate investments INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES

TRADING HOURS: 1 START DATE: 07/03/2023

ADDRESS: 2912 Kathleen Lane, Flossmoor IL 60422, United States

DESCRIPTION: Normal real estate flipping and landlord activities

9. INTEGRITY ALLIANCE LLC;

INVESTMENT RELATED; 1020 Park Dr. #213 Flossmoor, IL 60422; REGISTERED REPRESENTATIVE AND INVESTMENT ADVISERREPRESENTATIVE; START DATE; 10/2025;



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

| Type | Count |
|-------------|-------|
| Termination | 1 |

Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

| | |
|--------------------------|---|
| Reporting Source: | Firm |
| Firm Name: | ING FINANCIAL PARTNERS, INC. |
| Termination Type: | Permitted to Resign |
| Termination Date: | 04/19/2010 |
| Allegations: | VIOLATED FIRM POLICY BY USE OF AN UNAPPROVED ADVERTISEMENT. |
| Product Type: | No Product |

| | |
|--------------------------|---|
| Reporting Source: | Individual |
| Firm Name: | ING FINANCIAL PARTNERS, INC. |
| Termination Type: | Permitted to Resign |
| Termination Date: | 04/19/2010 |
| Allegations: | VIOLATED FIRM POLICY BY USE OF AN UNAPPROVED ADVERTISEMENT. |
| Product Type: | No Product |



End of Report

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