



IAPD Report

LINDA ANN MALIN

CRD# 1995462

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page
<http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

LINDA ANN MALIN (CRD# 1995462)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/22/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	ELEVATION POINT WEALTH PARTNERS, LLC	CRD# 137068	06/19/2025

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	UBS FINANCIAL SERVICES INC.	8174	LAFAYETTE, LA	02/17/2005 - 06/30/2025
B	UBS FINANCIAL SERVICES INC.	8174	LAFAYETTE, LA	12/10/2004 - 06/30/2025
B	LEGG MASON WOOD WALKER, INCORPORATED	6555	BALTIMORE, MD	08/06/1990 - 12/16/2004

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **ELEVATION POINT WEALTH PARTNERS, LLC**

Main Address: 1580 LINCOLN STREET
SUITE 680
DENVER, CO 80203

Firm ID#: 137068

Regulator	Registration	Status	Date
IA Louisiana	Investment Adviser Representative	Approved	06/30/2025
IA Texas	Investment Adviser Representative	Restricted Approval	06/19/2025

Branch Office Locations

ELEVATION POINT WEALTH PARTNERS, LLC

2000 Kaliste Saloom Road, Suite 100
Lafayette, LA 70508



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	10/21/1989

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	12/17/1997
B Uniform Securities Agent State Law Examination (S63)	Series 63	11/03/1989

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/17/2005 - 06/30/2025	UBS FINANCIAL SERVICES INC.	CRD# 8174	LAFAYETTE, LA
B	12/10/2004 - 06/30/2025	UBS FINANCIAL SERVICES INC.	CRD# 8174	LAFAYETTE, LA
B	08/06/1990 - 12/16/2004	LEGG MASON WOOD WALKER, INCORPORATED	CRD# 6555	BALTIMORE, MD
B	10/25/1989 - 08/21/1990	PRUDENTIAL-BACHE SECURITIES INC.	CRD# 7471	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2025 - Present	Elevation Point Wealth Partners, LLC	Partner, Managing Director, Director of Planning (Family Office Partners, DBA of Elevation Point Wealth Partners, LLC)	Y	Lafayette, LA, United States
12/2004 - 06/2025	UBS FINANCIAL SERVICES INC.	FINANCIAL ADVISOR	Y	LAFAYETTE, LA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Linmar LLC

Ms. Malin is a Partner in Linmar LLC, located at 307 Beverly Road, Lafayette, LA 70503. This activity began in March 2001. The LLC holds a condo for liability purposes, and this activity is considered investment-related. Her duties involve filing an annual report and paying a renewal fee. She devotes less than 1 hour per month to this activity, with 0 hours spent during securities trading.

Carlin America - Royalty Recipient

Ms. Malin passively receives royalty checks from Carlin America, a music publishing company located at 126 East 38th Street, New York, NY 10016. This activity began in September 2016 and is not considered investment-related. She has no active duties and devotes 0 hours per month to this activity.

Alfred Music - Royalty Recipient



Registration & Employment History

OTHER BUSINESS ACTIVITIES

Ms. Malin passively receives royalty checks from Alfred Music, a music publishing company located at P.O. Box 10003, Van Nuys, CA 91410, from her deceased grandfather's music royalties. This activity began in September 2016 and is not considered investment-related. She has no active duties and devotes 0 hours per month to this activity.

Interior Design Activity

This is a non-investment-related interior design activity located at 307 Beverly Road, Lafayette, LA 70503. The activity began in January 2001. Ms. Malin has no duties, receives no compensation, and devotes 0 hours per month to this activity.

International Women's Forum - Louisiana Chapter

Ms. Malin serves as a board member for the Louisiana Chapter of the International Women's Forum, a civic organization located at 801 1st Avenue, New York, NY 10017. This non-investment-related activity began in January 2023. Her duties involve developing activities to foster women's leadership. She devotes approximately one hour per month during securities trading hours and is not compensated for this role.

Connections for Women

Ms. Malin is a member of Connections for Women, a business networking group located at P.O. Box 51463, Lafayette, LA 70505. She joined in 2023. This activity is not investment-related. The group meets monthly for a networking luncheon, which does not require time away from the office during trading hours. She has no specific responsibilities and receives no compensation.

Healing House of Lafayette - Charitable Fundraising

Ms. Malin participates in a non-investment-related charitable fundraising activity for the Healing House of Lafayette, located at 160 S. Beadle Road, Lafayette, LA 70508. Each year during the Christmas season, she sells hot chocolate to raise money for the organization. The activity involves no time during trading hours and she receives no compensation.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	LEGG MASON WOOD WALKER, INC.
Allegations:	[CUSTOMER] ALLEGEDS THAT LEGG MASON AND HIS FINANCIAL ADVISOR, LINDA MALIN, RECOMMENDED TO HIM A UTILITY BOND THAT WAS "A SURE THING WITH 10% REVENUE" THAT SUBSEQUENTLY WENT BANKRUPT CAUSING HIM TO LOSE \$40,000.00.
Product Type:	Other
Other Product Type(s):	CORPORATE BOND
Alleged Damages:	\$40,000.00

Customer Complaint Information

Date Complaint Received: 09/26/2005

Complaint Pending? Yes

Settlement Amount:

Individual Contribution Amount:

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: LEGG MASON WOOD WALKER, INC.



Allegations:	[CUSTOMER] ALLEGEDS THAT LEGG MASON AND HIS FINANCIAL ADVISOR, LINDA MALIN, RECOMMENDED TO HIM A UTILITY BOND THAT WAS "A SURE THING WITH 10% REVENUE" THAT SUBSEQUENTLY WENT BANKRUPT CAUSING HIM TO LOSE \$40,000.00.
Product Type:	Other: BOND
Alleged Damages:	\$40,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	09/26/2005
Complaint Pending?	No
Status:	Denied
Status Date:	11/01/2005
Settlement Amount:	\$0.00
Individual Contribution Amount:	\$0.00
Broker Statement	I MAINTAIN THAT THE INVESTMENT ADVICE I OFFERED WAS MADE IN GOOD FAITH AND WITH CLIENT INVESTMENT OBJECTIVES OF LONG TERM GROWTH IN MIND. THE CLIENT ACCEPTED MY ADVICE AND AUTHORIZED THE TRANSACTION. I DENY THAT I REPRESENTED THE CHS BOND AS A UTILITY COMPANY BOND, OR THAT IT WAS "A SURE THING WITH 10% RETURN." ADDITIONALLY, AFTER REVIEW OF THE MATTER, MY EMPLOYER AT THE TIME, LEGG MASON, INFORMED THE CLIENT IN WRITING THAT THEY FOUND NO WRONGDOING ON MY PART AND DECLINED TO OFFER THE CLIENT THE RELIEF THAT HE WAS SEEKING.

Disclosure 2 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	LEGG MASON WOOD WALKER, INC.
Allegations:	CLIENT MADE A VERBAL COMPLAINT THAT THEY HAD NOT BEEN MADE AWARE OF THE INVESTMENT RISKS ASSOCIATED WITH THE PURCHASE OF A CHS CORPORATE BOND PURCHASED IN [CUSTOMER] IRA ACCOUNT IN AUGUST 1998 AND THE PURCHASE OF A MACSAVER FINANCIAL CORP. BOND IN THEIR L & L PARTNERSHIP ACCOUNT IN JANUARY 1999. THE [CUSTOMERS] ALSO CLAIMED THAT THE MACSAVER PURCHASES WAS MADE WITHOUT THEIR AUTHORIZATION.
Product Type:	Debt-Corporate
Alleged Damages:	\$176,988.00
Is this an oral complaint?	No
Is this a written complaint?	Yes



**Is this an arbitration/CFTC
reparation or civil litigation?** No

Customer Complaint Information

Date Complaint Received: 03/06/2001

Complaint Pending? No

Status: Settled

Status Date: 12/11/2001

Settlement Amount: \$105,000.00

**Individual Contribution
Amount:** \$52,500.00

Broker Statement THE MATTER WAS SETTLED FOR EXPEDIENCY PURPOSES TO AVOID THE UNCERTAINTIES AND EXPENSE OF A POSSIBLE ARBITRATION. ALTHOUGH I DID CONTRIBUTE TOWARDS THE SETTLEMENT, I MAINTAIN THAT I DID NOT ACT WITHOUT AUTHORIZATION NOR DID I FAIL TO EXPLAIN THE INVESTMENT RISKS TO THE CLIENT. IN FACT, THE INVESTMENT WAS MADE WITH FULL KNOWLEDGE AND CONSENT OF THE CLIENT. I CONTRIBUTED BECAUSE THAT IS WHAT WAS EXPECTED OF ME BY THE FIRM AT THAT TIME.



End of Report

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