



IAPD Report

MARK ALAN REEVES

CRD# 1999932

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4 - 5
Disclosure Information	6

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

MARK ALAN REEVES (CRD# 1999932)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **08/13/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	BOX FINANCIAL ADVISORS, LLC	CRD# 155623	08/15/2025

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	INDEPENDENT FINANCIAL GROUP, LLC	7717	DALLAS, TX	03/25/2022 - 03/26/2024
IA	INDEPENDENT FINANCIAL GROUP, LLC	7717	DALLAS, TX	03/25/2022 - 03/26/2024
IA	CORNERSTONE FINANCIAL SERVICES, INC.	112278	DALLAS, TX	09/26/2022 - 12/31/2023

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Financial	3



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **BOX FINANCIAL ADVISORS, LLC**
Main Address: 1600 W NORTHWEST HWY
SUITE 300
GRAPEVINE, TX 76051
Firm ID#: 155623

Regulator	Registration	Status	Date
IA Texas	Investment Adviser Representative	Approved	08/15/2025

Branch Office Locations

BOX FINANCIAL ADVISORS, LLC
1600 W NORTHWEST HWY
SUITE 300
GRAPEVINE, TX 76051




Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.


Principal/Supervisory Exams

Exam	Category	Date
 Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	10/04/1996

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	02/09/1990

State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	04/11/2001
 Uniform Securities Agent State Law Examination (S63)	Series 63	01/08/1990

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	03/25/2022 - 03/26/2024	INDEPENDENT FINANCIAL GROUP, LLC	CRD# 7717	DALLAS, TX
IA	03/25/2022 - 03/26/2024	INDEPENDENT FINANCIAL GROUP, LLC	CRD# 7717	DALLAS, TX
IA	09/26/2022 - 12/31/2023	CORNERSTONE FINANCIAL SERVICES, INC.	CRD# 112278	DALLAS, TX
IA	04/19/2016 - 03/25/2022	KESTRA ADVISORY SERVICES, LLC	CRD# 283330	HURST, TX
B	10/18/2006 - 03/25/2022	KESTRA INVESTMENT SERVICES, LLC	CRD# 42046	HURST, TX
IA	10/18/2006 - 09/22/2016	NFP ADVISOR SERVICES, LLC	CRD# 42046	HURST, TX
IA	06/03/2002 - 09/25/2007	SUMMIT FINANCIAL GROUP	CRD# 115142	AMARILLO, TX
B	10/31/2005 - 10/30/2006	AIG FINANCIAL ADVISORS, INC.	CRD# 133763	AMARILLO, TX
B	11/01/1995 - 10/31/2005	SUNAMERICA SECURITIES, INC.	CRD# 20068	PHOENIX, AZ
B	02/12/1990 - 11/03/1995	ALLMERICA INVESTMENTS, INC.	CRD# 3960	WORCESTER, MA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2025 - Present	BOX FINANCIAL ADVISORS, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	GRAPEVINE, TX, United States
12/2012 - Present	COVENANT CHRISTIAN ACADEMY	CROSS-COUNTRY COACH	N	COLLEYVILLE, TX, United States
04/2022 - 04/2024	CORNERSTONE FINANCIAL SERVICES, INC.	INVESTMENT ADVISER REPRESENTATIVE	Y	DALLAS, TX, United States
04/2022 - 04/2024	INDEPENDENT FINANCIAL GROUP, LLC	REGISTERED REPRESENTATIVE	Y	DALLAS, TX, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2016 - 03/2022	KESTRA FINANCIAL SERVICES, INC.	REGISTERED REPRESENTATIVE	Y	AUSTIN, TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) RENTAL PROPERTY

POSITION: Employee NATURE: Real Estate Sales/Rental Properties/Property Management INVESTMENT RELATED: Yes
 NUMBER OF HOURS: 30 SECURITIES TRADING HOURS: 6 START DATE: 10/01/2019
 ADDRESS: 6 Rodilo Ln., Hot Springs Village AR 71909, United States
 DESCRIPTION: Property manager.

(2) RENTAL PROPERTY

POSITION: Co-Owner NATURE: Real Estate Sales/Rental Properties/Property Management INVESTMENT RELATED: Yes
 NUMBER OF HOURS: 15 SECURITIES TRADING HOURS: 4 START DATE: 10/01/2019
 ADDRESS: 22 Segovia Dr, Hot Springs Village AR 71909, United States
 DESCRIPTION: Property manager.

(3) COVENANT CHRISTIAN ACADEMY

POSITION: Agent/Representative NATURE: Teaching/Coaching INVESTMENT RELATED: No NUMBER OF HOURS: 16
 SECURITIES TRADING HOURS: 4 START DATE: 12/01/2012
 ADDRESS: 901 Cheek Sparger Rd., Colleyville TX 76034, United States
 DESCRIPTION: Coaching track and cross-country.

(4) OUTSIDE INSURANCE

POSITION: Agent/Representative NATURE: Insurance outside of IFG INVESTMENT RELATED: Yes NUMBER OF HOURS: 160
 SECURITIES TRADING HOURS: 160 START DATE: 01/01/1990
 ADDRESS: 4851 Lbj Fwy, Suite 1175, Dallas TX 75244, United States
 DESCRIPTION: Insurance sales for Life, Health & Fixed Annuities.

(5) MR REEVES, LLC

POSITION: Owner NATURE: Mortgage Lending Services INVESTMENT RELATED: Yes NUMBER OF HOURS: 0 SECURITIES
 TRADING HOURS: 0 START DATE: 01/01/2014
 ADDRESS: 425 Montreal Ct., Hurst TX 76054, United States
 DESCRIPTION: Limited partner on wife realtor business.

(6) USATF CERTIFIED TRACK OFFICIAL

POSITION: Independent Contractor NATURE: Other: INVESTMENT RELATED: No NUMBER OF HOURS: 16 SECURITIES
 TRADING HOURS: 0 START DATE: 04/01/2017
 ADDRESS: 425 Montreal Ct., Hurst TX 76054, United States
 DESCRIPTION: Officiating track & field meets.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Financial	3

Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

Disclosure 1 of 3

Reporting Source: Individual

Action Type: Compromise

Action Date: 02/10/2022

Organization Investment-Related?

Action Pending? No

Disposition: Satisfied/Released

Disposition Date: 02/10/2022

If a compromise with creditor, provide:

Name of Creditor: Barclays Bank

Original Amount Owed: \$3,709.06

Terms Reached with Creditor: Settlement Offer accepted - \$1,483.62

Disclosure 2 of 3

Reporting Source: Individual

Action Type: Compromise

Action Date: 01/11/2022

Organization Investment-Related?

Action Pending? No



Disposition: Satisfied/Released
Disposition Date: 01/11/2022
If a compromise with creditor, provide:
Name of Creditor: Capital One
Original Amount Owed: \$11,287.72
Terms Reached with Creditor: Settled account for a payment of \$7,900 on 01/11/2022.

Disclosure 3 of 3

Reporting Source: Individual
Action Type: Compromise
Action Date: 01/19/2022
Organization Investment-Related?
Action Pending? No
Disposition: Satisfied/Released
Disposition Date: 01/20/2022
If a compromise with creditor, provide:
Name of Creditor: Elan Financial
Original Amount Owed: \$5,870.25
Terms Reached with Creditor: Settlement Offer \$2,348.10 accepted.



End of Report

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