User Guidance

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IAPD Report

Timothy William Sackett

CRD# 2010125

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

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Report Summary

Timothy William Sackett (CRD# 2010125)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/25/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LPL FINANCIAL LLC	CRD# 6413	05/01/2025
IA	LPL FINANCIAL LLC	CRD# 6413	05/06/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **3** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	GROVE POINT ADVISORS, LLC	313171	Davenport, FL	04/01/2021 - 05/02/2025
B	GROVE POINT INVESTMENTS, LLC	1763	Davenport, FL	12/03/2020 - 05/01/2025
IA	H. BECK, INC.	1763	Davenport, FL	01/08/2021 - 04/01/2021

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	1
Judgment/Lien	3

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **3** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **LPL FINANCIAL LLC**

Main Address: 1055 LPL WAY
FORT MILL, SC 29715

Firm ID#: 6413

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	05/01/2025
B	FINRA	General Securities Representative	Approved	05/01/2025
B	FINRA	Invest. Co and Variable Contracts	Approved	05/01/2025
B	FINRA	Investment Co./Variable Contracts Prin	Approved	05/01/2025
B	FINRA	Municipal Securities Principal	Approved	05/01/2025
B	FINRA	Municipal Securities Representative	Approved	05/01/2025
B	Arizona	Agent	Approved	05/01/2025
B	Florida	Agent	Approved	05/05/2025
IA	Florida	Investment Adviser Representative	Approved	05/06/2025
B	Wisconsin	Agent	Approved	05/01/2025

Branch Office Locations

LPL FINANCIAL LLC
DAVENPORT, FL

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 3 principal/supervisory exams, 4 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
B	Municipal Securities Principal Examination (S53)	Series 53	09/30/2008
B	General Securities Principal Examination (S24)	Series 24	05/10/2007
B	Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	09/14/1992

General Industry/Product Exams

	Exam	Category	Date
B	Municipal Securities Representative Examination (S52TO)	Series 52TO	01/02/2023
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	12/21/2006
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	10/18/1990

State Securities Law Exams

	Exam	Category	Date
B	Uniform Securities Agent State Law Examination (S63)	Series 63	12/05/1989

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/01/2021 - 05/02/2025	GROVE POINT ADVISORS, LLC	CRD# 313171	Davenport, FL
B	12/03/2020 - 05/01/2025	GROVE POINT INVESTMENTS, LLC	CRD# 1763	Davenport, FL
IA	01/08/2021 - 04/01/2021	H. BECK, INC.	CRD# 1763	Davenport, FL
IA	07/05/2018 - 12/04/2020	OPPENHEIMER & CO. INC.	CRD# 249	FORT LAUDERDALE, FL
B	07/02/2018 - 12/04/2020	OPPENHEIMER & CO. INC.	CRD# 249	FORT LAUDERDALE, FL
IA	06/20/2017 - 06/30/2018	HORACE MANN INVESTORS, INC.	CRD# 11643	Waukesha, WI
B	06/09/2017 - 06/30/2018	HORACE MANN INVESTORS, INC.	CRD# 11643	Waukesha, WI
B	03/25/2017 - 06/01/2017	MML INVESTORS SERVICES, LLC	CRD# 10409	Milwaukee, WI
IA	03/25/2017 - 06/01/2017	MML INVESTORS SERVICES, LLC	CRD# 10409	Milwaukee, WI
IA	01/16/2007 - 03/25/2017	MSI FINANCIAL SERVICES, INC.	CRD# 14251	Milwaukee, WI
B	11/25/1998 - 03/25/2017	MSI FINANCIAL SERVICES, INC.	CRD# 14251	Milwaukee, WI
B	11/25/1998 - 07/09/2007	METROPOLITAN LIFE INSURANCE COMPANY	CRD# 4095	MILWAUKEE, WI
B	10/22/1990 - 12/08/1998	SECURITY FIRST FINANCIAL, INC.	CRD# 6695	NEWPORT BEACH, CA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2025 - Present	LPL FINANCIAL LLC	Financial Advisor	Y	Davenport, FL, United States
04/2021 - 05/2025	GROVE POINT ADVISORS, LLC	Mass Transfer-INVESTMENT ADVISOR	Y	ROCKVILLE, MD, United States

Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
		ADVISOR REPRESENTATIVE		
01/2021 - 05/2025	TIMOTHY W. SACKETT HBECK INC.	Wealth Manager	Y	Weston, FL, United States
12/2020 - 05/2025	GROVE POINT INVESTMENTS, LLC	Registered Representative	Y	Rockville, MD, United States
06/2018 - 12/2020	OPPENHEIMER & CO. INC	DIRECTOR INVESTMENTS	Y	fort lauderdale, FL, United States
06/2017 - 06/2018	Horace Mann Companies	Exclusive Agent	Y	Springfield, IL, United States
06/2017 - 06/2018	Horace Mann Investors, Inc	Registered Representative	Y	Springfield, IL, United States
03/2017 - 05/2017	MML INVESTORS SERVICES, LLC	Mass Transfer	Y	Milwaukee, WI, United States
11/1998 - 05/2017	METROPOLITAN LIFE INSURANCE COMPANY	NOT PROVIDED	Y	MINNETONKA, MN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) 05/01/2025 - Uber - Not Investment Related - Rideshare - DAVENPORT FL - Start Date:01/13/2020 - 40 Hrs/Mth - 0 Hrs During Trading.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	1
Judgment/Lien	3

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Individual
Regulatory Action Initiated By:	WISCONSIN DEPARTMENT OF INSURANCE
Sanction(s) Sought:	Civil and Administrative Penalty(ies)/Fine(s)
Date Initiated:	07/10/2020
Docket/Case Number:	19-C43346
Employing firm when activity occurred which led to the regulatory action:	OPPENHEIMER & CO. INC.
Product Type:	Annuity-Variable
Allegations:	WISCONSIN INSURANCE ADMINISTRATIVE FINE FOR AN UNSUITABLE ANNUITY TRANSACTION
Current Status:	Final
Resolution:	Order
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	07/10/2020

Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s)
Monetary Sanction 1 of 1	
Monetary Related Sanction:	Civil and Administrative Penalty(ies)/Fine(s)
Total Amount:	$500.00
Portion Levied against individual:	$500.00
Payment Plan:	
Is Payment Plan Current:	No
Date Paid by individual:	07/10/2020
Was any portion of penalty waived?	No
Amount Waived:	

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	MetLife Securities
Allegations:	Policy purchased 02/02/2012 met with [Redacted] and his wife multiple times over the last 6 years especially when they withdrew money to take their vacation to Australia each time we discussed how the withdrawal would effect the GMIB value and account value.
Product Type:	Annuity-Variable
Alleged Damages:	$70,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	08/03/2017
Complaint Pending?	No
Status:	Closed/No Action
Status Date:	06/11/2018
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	Date I first became aware 04/24/2018. MetLife called m. Met with [Redacted] and his wife multiple times after he purchased he product to review and he actually took withdrawals for his trip to Australia each time we discussed how it would affect both the LMIB balance and account balance.

Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 3

Reporting Source:	Individual
Judgment/Lien Holder:	Oppenheimer & Co., Inc.
Judgment/Lien Amount:	$112,716.59
Judgment/Lien Type:	Civil
Date Filed with Court:	02/23/2024
Date Individual Learned:	04/29/2024
Type of Court:	Federal Court
Name of Court:	Circuit Court of the 15th Judicial Circuit
Location of Court:	Palm Beach County, FL
Docket/Case #:	50-2024-CA-001747-XXA-MB
Judgment/Lien Outstanding?	Yes

Disclosure 2 of 3

Reporting Source:	Individual
Judgment/Lien Holder:	IRS
Judgment/Lien Amount:	$52,278.00
Judgment/Lien Type:	Tax
Date Filed with Court:	11/07/2016
Date Individual Learned:	01/10/2017
Type of Court:	State Court
Name of Court:	Washington County Court
Location of Court:	Washington County, WI
Docket/Case #:	4243893
Judgment/Lien Outstanding?	Yes

Disclosure 3 of 3

Reporting Source:	Individual
Judgment/Lien Holder:	IRS
Judgment/Lien Amount:	$79,358.00
Judgment/Lien Type:	Tax
Date Filed with Court:	03/28/2016
Date Individual Learned:	01/10/2017
Type of Court:	State Court
Name of Court:	Washington County Court

Location of Court:	West Bend, WI
Docket/Case #:	1401557
Judgment/Lien Outstanding?	Yes

End of Report

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