



## IAPD Report

# MICHAEL IVAN SAVIN

CRD# 2015265

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**i** Please be aware that fraudsters may link to Investment Adviser Public Disclosure from phishing and similar scam websites, trying to steal your personal information or your money. Make sure you know who you're dealing with when investing, and contact FINRA with any concerns.  
For more information read our [investor alert](#) on imposters.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### MICHAEL IVAN SAVIN (CRD# 2015265)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/06/2023**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	PRUCO SECURITIES, LLC.	CRD# 5685	03/24/2014
<b>IA</b>	PRUDENTIAL FINANCIAL PLANNING SERVICES	CRD# 5685	06/13/2014

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **9** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	METLIFE SECURITIES INC.	14251	LAKWOOD, NJ	01/31/2007 - 03/25/2014
<b>B</b>	METLIFE SECURITIES INC.	14251	LAKWOOD, NJ	02/28/2005 - 03/25/2014
<b>B</b>	METROPOLITAN LIFE INSURANCE COMPANY 4095		ELMWOOD PARK, NJ	02/28/2005 - 07/09/2007

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**No**



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 9 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

#### Employment 1 of 1

Firm Name: **PRUDENTIAL FINANCIAL PLANNING SERVICES**  
Main Address: 751 BROAD STREET  
NEWARK, NJ 07102-3777  
Firm ID#: 5685

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	03/24/2014
B	FINRA	General Securities Representative	Approved	03/24/2014
B	California	Agent	Approved	07/23/2021
B	Connecticut	Agent	Approved	03/31/2014
B	Delaware	Agent	Approved	12/01/2021
B	Florida	Agent	Approved	03/31/2014
IA	Florida	Investment Adviser Representative	Approved	09/07/2022
B	Iowa	Agent	Approved	01/06/2023
B	New Jersey	Agent	Approved	03/24/2014
IA	New Jersey	Investment Adviser Representative	Approved	06/13/2014
B	New York	Agent	Approved	03/31/2014
B	North Carolina	Agent	Approved	12/18/2017
B	Pennsylvania	Agent	Approved	01/13/2021



## Qualifications

### Branch Office Locations

#### **PRUDENTIAL FINANCIAL PLANNING SERVICES**

2500 Quantum Lakes Drive  
Suite 203  
Boynton Beach, FL 33426



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
Registered Options Principal Examination (S4)	Series 4	08/29/2000
General Securities Principal Examination (S24)	Series 24	06/02/1999

#### General Industry/Product Exams

Exam	Category	Date
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
Limited Representative-Equity Trader Exam (S55)	Series 55	02/28/2001
General Securities Representative Examination (S7)	Series 7	03/01/1997

#### State Securities Law Exams

Exam	Category	Date
Uniform Combined State Law Examination (S66)	Series 66	09/17/2005
Uniform Securities Agent State Law Examination (S63)	Series 63	03/10/1997

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported 1 professional designation(s).

Chartered Financial Consultant

This representative holds or did hold 1 professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The



contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



### Registration & Employment History

#### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/31/2007 - 03/25/2014	METLIFE SECURITIES INC.	CRD# 14251	LAKWOOD, NJ
B	02/28/2005 - 03/25/2014	METLIFE SECURITIES INC.	CRD# 14251	LAKWOOD, NJ
B	02/28/2005 - 07/09/2007	METROPOLITAN LIFE INSURANCE COMPANY	CRD# 4095	ELMWOOD PARK, NJ
B	08/12/1997 - 03/04/2005	HARRISDIRECT LLC	CRD# 42159	JERSEY CITY, NJ
B	03/03/1997 - 08/20/1997	OPPENHEIMER & CO., INC.	CRD# 630	NEW YORK, NY

#### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2014 - Present	Pruco Securities, LLC.	REGISTERED REPRESENTATIVE	Y	MANASQUAN, NJ, United States
03/2014 - Present	Prudential Insurance Company of America	FINANCIAL PROFESSIONAL	Y	MANASQUAN, NJ, United States

#### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Aetna|Amerihealth|Bankers Fidelity Life Ins Co|Cigna|Clover Health|Fidelity & Guaranty Ins Co|Guardian|Health Republic of NY|Healthpass of NY|Horizon BCBS of NJ|Ohio Nat'l Life Ins Co|Oscar|Peterson Int'l|Philadelphia American|Prinicpal|Standard Life Ins Co Of New York|Transamerica|united healthcare|unum|Mutual of Omaha

##### SAVIN INSURANCE SOLUTIONS

POSITION: Michael Savin

President / Owner NATURE: Health Insurance INVESTMENT RELATED: No NUMBER OF HOURS: 80 SECURITIES TRADING HOURS: 40 START DATE: 04/22/2019

ADDRESS: 155 Grande Woodlands Way, Toms River NJ 08755, United States

DESCRIPTION: I will be selling Group Health, Group DI, Group Life, Group Dental and Group Vision. Individual Health, Dental and

Vision. Also, will be selling Medicare Supplements and Medicare Advantage Plans. Ancillary benefits such as Group Cancer, Heart Attack and Stroke as well as individual.





## End of Report

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