



IAPD Report

ERIC ROMAN JORDAN

CRD# 2017260

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page
<http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ERIC ROMAN JORDAN (CRD# 2017260)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/17/2025**.

CURRENT EMPLOYERS

This individual is not currently registered as an Investment Adviser Representative.

QUALIFICATIONS

This individual is not currently registered as an Investment Adviser Representative.

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
IA GOLD COAST SECURITIES, INC.	110925	San Bernadino, CA	07/02/2008 - 12/31/2025
IA LINSCO/PRIVATE LEDGER CORP.	6413	SAN BERNARDINO, CA	12/31/1997 - 06/03/2003

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Judgment/Lien	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is not currently registered as an Investment Adviser Representative.



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 0 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
No information reported.		

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	07/01/2008

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	07/02/2008 - 12/31/2025	GOLD COAST SECURITIES, INC.	CRD# 110925	San Bernadino, CA
IA	12/31/1997 - 06/03/2003	LINSCO/PRIVATE LEDGER CORP.	CRD# 6413	SAN BERNARDINO, CA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2004 - Present	GOLD COAST SECURITIES, INC.	REGISTERED REPRESENTATIVE	Y	SAN BERNADINO, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

UNITED WEALTH CONCEPTS, 1860 82ND AVE., STE 206, VERO BEACH, FL 32966, NOT INVESTMENT RELATED, INDEPENDENT SOLICITOR OF TRUST, ESTATE PLANNING, AND ASSET PROTECTION SERVICES, STARTED IN MAY 2015, I DEVOTE APPROXIMATELY 2 HOURS A MONTH TO THIS ACTIVITY. SELF EMPLOYED INSURANCE AGENT OPERATING OUT OF MY HOME. THIS BUSINESS IS INVESTMENT RELATED AND INVOLVES THE SALE OF FIXED LIFE AND HEALTH INSURANCE. THIS BUSINESS STARTED WAS STARTED IN MAY 2015. I DEVOTE APPROXIMATELY 50 HOURS PER MONTH TO THIS ACTIVITY.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Judgment/Lien	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Court Details:	ARRESTED BY THE POLICE DEPARTMENT OF NEW YORK. NO FORMAL CHARGES WERE BROUGHT.
Charge Date:	08/31/1976
Charge Details:	CHARGED WITH 1 COUNT OF GRAND LARCENY (NON-VIOLENT FELONY), 1 COUNT OF CAUGHT POSSESSING STOLEN PROPERTY (NON-VIOLENT FELONY)AND 1 COUNT OF UNAUTHORIZED USE OF A VEHICLE (MISDEMEANOR).
Felony?	Yes
Current Status:	Final
Status Date:	08/31/1976
Disposition Details:	PER PREVIOUS DISCLOSURES TO THE NASD, THE CRIMINAL COURT OF THE CITY OF NEW YORK STATED THAT THERE WAS NO DOCKETING OF ANY CASE AGAINST ERIC JORDAN.
Broker Statement	ON OR ABOUT 08/1976 AN INDIVIDUAL APPROACHED MYSELF AND A FRIEND OFFERING US AN OPPORTUNITY TO EARN SOME QUICK MONEY. HE INFORMED US THAT HE WOULD GIVE US KEYS TO HIS VEHICLE AND THAT AT SOME POINT IN THE EVENING WANTED IT STRIPPED OR DESTROYED. HE SAID HE WOULD MEET US BACK AT THE SAME LOCATION WHERE HE ORIGINALLY APPROACHED US AND WOULD COMPENSATE US FOR COMPLYING WITH HIS REQUEST. AFTER SOME HESITANCY ON OUR PART AND HIS ASSURING THE TWO OF US THAT HE WAS NOT AN UNDERCOVER OFFICER AND ALSO THAT HE SIMPLY WANTED TO GET RID OF THE VEHICLE, WE AGREED TO DO AS HE REQUESTED. WE HAD ALREADY AGREED TO JUST ABANDON THE VEHICLE AND COLLECT THE MONEY. HAVING JUST TURNED SIXTEEN WE WERE NOT IN THE HABIT, OR DID WE HAVE THE KNOWLEDGE OR DESIRE TO TORCH, DESTROY OR OTHERWISE DISPOSE OF A VEHICLE. WE WENT BACK TO THE



PREARRANGED MEETING PLACE,BUT WERE ABOUT TWENTY MINUTES LATE AND WERE TOLD THAT A MAN FITTING THE DESCRIPTION OF THE PERSON WE WERE TO MEET HAD BEEN THERE, SEEMED EXTREMELY ANXIOUS AND LEFT IN ANOTHER VEHICLE.WE DECIDED TO CALL IT A NIGHT AND GO HOME.SINCE WE STILL HAD THE KEYS TO THE VEHICLE,WE ALSO DECIDED TO USE IT ONE LAST TIME,AS IT WOULD BE MUCH QUICKER THAN WAITING FOR PUBLIC TRANSPORTATION, MUCH LESS WALKING. AS WE PULLED IN FRONT OF OUR BUILDING,THE POLICE PULLED UP BEHIND US AND AFTER RUNNING THE PLATES AND SEEING IT COME BACK AS A STOLEN VEHICLE,WE WERE ARRESTED AND TAKEN TO THE POLICE STATION. WE WERE SEPARATED THE ENTIRE TIME AND TOLD DETECTIVES EXACTLY WHAT HAPPENED,INCLUDING BEING APPROACHED AND OFFERED MONEY TO "TOTAL" THE VEHICLE.THE DETECTIVES THEN HAD THE INDIVIDUAL COME DOWN TO THE STATION WHERE HE IMMEDIATELY CONFESSED TO ATTEMPTING TO"DEFRAUD"THE INSURANCE COMPANY.ANY RECORDS OF THIS EVENT WERE TO BE SEALED SINCE WE WERE MINORS AND NO CRIME WAS COMMITTED.



Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 1

Reporting Source: Individual
Judgment/Lien Holder: INTERNAL REVENUE SERVICE
Judgment/Lien Amount: \$5,242.00
Judgment/Lien Type: Tax
Date Filed with Court: 02/26/2007
Date Individual Learned: 02/26/2007
Type of Court: COUNTY
Name of Court: SAN BERNARDINO COUNTY RECORDER
Location of Court: SAN BERNARDINO, CA
Docket/Case #: 20070121019
Judgment/Lien Outstanding? Yes
Broker Statement LAST OF THE YEARS THAT NEEDED REFILING AND AMOUNTS ADDED UP. FAILURE ON MY PART TO MAKE PAYMENT ARRANGEMENTS RESULTED IN THE LIEN. A PAYMENT PLAN IS IN PLACE AND DEBT HAS BEEN REDUCED.



End of Report

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