IAPD Report

MARK ELLIOTT BUSHKIN

CRD# 2051696

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

MARK ELLIOTT BUSHKIN (CRD# 2051696)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/16/2026**.

CURRENT EMPLOYERS

This individual is not currently registered as an Investment Adviser Representative.

QUALIFICATIONS

This individual is not currently registered as an Investment Adviser Representative.

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	CETERA INVESTMENT ADVISERS LLC	105644	Cooper City, FL	08/08/2025 - 03/16/2026
IA	AVANTAX ADVISORY SERVICES	104556	Cooper City, FL	03/31/1993 - 09/05/2025

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.

This individual is not currently registered as an Investment Adviser Representative.

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 0 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
No information reported.		

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	01/04/2012

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	08/08/2025 - 03/16/2026	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	Cooper City, FL
IA	03/31/1993 - 09/05/2025	AVANTAX ADVISORY SERVICES	CRD# 104556	Cooper City, FL

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2025 - Present	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	Cooper City, FL, United States
09/2025 - Present	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	COOPER CITY, FL, United States
08/2025 - Present	CETERA INVESTMENT ADVISERS LLC	REGISTERED INVESTMENT ADVISOR	Y	SCHAUMBURG, IL, United States
02/2024 - Present	Anderman Wealth Partners	Co Advisor	Y	Deerfield Beach, FL, United States
01/2011 - Present	AARP United Health Care	Insurance agent	Y	Deerfield Beach, FL, United States
04/2008 - Present	BUSHKIN FINANCIAL SERVICES INC	PRESIDENT	Y	DEERFIELD BEACH, FL, United States
02/2008 - Present	Bushkin Financial Services Inc	Insurance Agent	Y	Deerfield Beach, TX, United States
04/2011 - 09/2025	AVANTAX INSURANCE AGENCY, LLC	INSURANCE AGENT	Y	DEERFIELD BEACH, FL, United States
03/1993 - 09/2025	AVANTAX ADVISORY SERVICES	INVESTMENT ADVISER REPRESENTATIVE	Y	DEERFIELD BEACH, FL, United States
04/1990 - 09/2025	AVANTAX INVESTMENT SERVICES, INC.	REGISTERED REPRESENTATIVE	Y	DEERFIELD BEACH, FL, United States

Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) Bushkin Financial Services Inc~'NIR'~368 South Military Trl~Deerfield Beach~FL~33442~Insurance Agent~Agent~2/1/2008~10hrs~10hrs~Meet, discuss, email with clients on Medicare supplements, Medicare Advantage plans and long term care plans

2)ANDERMAN WEALTH PARTNERS
POSITION: Co Advisor NATURE: Wayne Anderman and I, currently have a mutual rep number, #49953 and W37, we currently "share" some mutual clients (split commission) with Wayne as "lead advisor". We currently maintain our own book of business as we have been (my rep #3624 and A7N). On Dec. 1, 2024, I will be "moving" all my clients under our mutual rep number, 49953 & W37 and under Anderman Wealth Partners (AWP). My name will be listed with Wayne's on AWP materials, thus the reason for OBA (although I will maintain Bushkin Financial Services to receive my split commission and for tax reporting purposes). I will still be fully "active", registered with FINRA and Avantax and will continue to be up-to-date on all reg. rep/advisor requirements. Wayne will be the contact person for all my clients and I will be available for all my clients if and when needed. Wayne and I have in place an Avantax approved commission schedule for splitting commissions, when the transition (Dec 1, 2024) takes place (not before then, again, I am maintaining all my current clients under my rep number #3624 and A7N till Dec 1, 2024). INVESTMENT RELATED: Yes NUMBER OF HOURS: 84 SECURITIES TRADING HOURS: 84 START DATE: 02/27/2024
ADDRESS: 2151 West Hillsboro Blvd, Suite 202, Deerfield Beach FL 33442, United States
DESCRIPTION: I will continue to be a active reg. rep/advisor just as I am now. We are combining our two individual practices under Anderman Wealth Partners (AWP) as a form of succession planning on my part. With Wayne (and his assistant/spouse, Sheryl) taking over the bulk of advisor duties, it will allow me to lessen my "load" yet have my clients well taken care of, allow me to provide continued quality services for my client, have a form of succession planning and continue to get compensated. I feel this is a good plan instead of selling my business out right.I will get compensated directly for my half from Avantax, will be an active advisor (don't consider me an employee but an equal partner for our shared clients)We will be establishing a Strategic Partnership

3) AARP United Health Care (1); Insurance agent; I have sold Medicare Supplemental & Medicare Advantage plans for yrs. I may sell a few plans (1-3) a yr, don't believe I have sold any plans the last few yrs.; NIR; 0; 01/01/2011; 2151 West Hillsboro Blvd Deerfield Beach FL 33442; Sell Medicare Supplement and Medicare Advantage plans (and Medicare Part D-meds) as an insurance agent for United Health Care-a 1099 NOT an employee

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - o A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - o A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - o A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - o An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - o A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - o A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	AVANTAX INVESTMENT SERVICES, INC.
Allegations:	Customers alleged their investments were not suitable, commission rates were high and they experienced 1099 capital basis issues.
Product Type:	Debt-Municipal Equity Listed (Common & Preferred Stock) Mutual Fund
Alleged Damages:	$60,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	05/06/2023
Complaint Pending?	No
Status:	Denied
Status Date:	02/02/2024
Settlement Amount:	
Individual Contribution Amount:	

Disclosure 2 of 2

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: AVANTAX INVESTMENT SERVICES, INC.

Allegations: Customers alleged the representative determined in what to invest and did not obtain their approval prior to investing.

Product Type: Mutual Fund

Alleged Damages: $100,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 01/31/2022

Complaint Pending? No

Status: Settled

Status Date: 03/07/2022

Settlement Amount: $53,561.73

Individual Contribution Amount: $0.00

Broker Statement The settlement amount was originally reported as $24,725.20. Additional settlement information was received which changed the settlement amount to $53,561.73.

End of Report

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