User Guidance

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IAPD Report

CHRISTOPHER WILLIAM HASIRCOGLU

CRD# 2052507

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

CHRISTOPHER WILLIAM HASIRCOGLU (CRD# 2052507)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/10/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	LANCASTER COUNTY FINANCIAL GROUP, LLC	CRD# 149693	05/24/2010
B	OSAIC WEALTH, INC.	CRD# 23131	06/14/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **16** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	SECURITIES AMERICA, INC.	10205	LANCASTER, PA	09/18/2020 - 06/14/2024
B	SECURITIES SERVICE NETWORK, LLC	13318	LANCASTER, PA	11/18/1992 - 09/18/2020
B	A. CH. SECURITIES, INC.	44630	DAYTON, OH	01/22/2003 - 02/25/2003

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	2

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **16** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **OSAIC WEALTH, INC.**

Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255

Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	06/14/2024
B	FINRA	General Securities Representative	Approved	06/14/2024
B	Arizona	Agent	Approved	06/14/2024
B	Arkansas	Agent	Approved	06/14/2024
B	California	Agent	Approved	06/14/2024
B	Delaware	Agent	Approved	06/14/2024
B	Florida	Agent	Approved	06/14/2024
B	Kansas	Agent	Approved	06/14/2024
B	Maryland	Agent	Approved	06/14/2024
B	Massachusetts	Agent	Approved	06/14/2024
B	New Jersey	Agent	Approved	06/14/2024
B	New York	Agent	Approved	06/14/2024
B	North Carolina	Agent	Approved	06/14/2024

Qualifications

	Regulator	Registration	Status	Date
B	Oregon	Agent	Approved	06/14/2024
B	Pennsylvania	Agent	Approved	06/14/2024
B	South Carolina	Agent	Approved	06/14/2024
B	Virginia	Agent	Approved	06/14/2024
B	Wyoming	Agent	Approved	01/13/2025

Branch Office Locations

OSAIC WEALTH, INC.
247 North Shippen St
Suite 10
LANCASTER, PA 17602

Employment 2 of 2

Firm Name:	**LANCASTER COUNTY FINANCIAL GROUP, LLC**
Main Address:	247 N. SHIPPEN ST. SUITE 10 LANCASTER, PA 17602
Firm ID#:	149693

	Regulator	Registration	Status	Date
IA	Pennsylvania	Investment Adviser Representative	Approved	05/24/2010

Branch Office Locations

LANCASTER COUNTY FINANCIAL GROUP, LLC
247 N. SHIPPEN ST.
SUITE 10
LANCASTER, PA 17602

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	07/15/1994

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	07/03/1990

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	04/13/2009
B	Uniform Securities Agent State Law Examination (S63)	Series 63	07/11/1990

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	09/18/2020 - 06/14/2024	SECURITIES AMERICA, INC.	CRD# 10205	LANCASTER, PA
B	11/18/1992 - 09/18/2020	SECURITIES SERVICE NETWORK, LLC	CRD# 13318	LANCASTER, PA
B	01/22/2003 - 02/25/2003	A. CH. SECURITIES, INC.	CRD# 44630	DAYTON, OH
B	05/18/1991 - 10/01/1992	NORTH AMERICAN FINANCIAL GROUP, INC.	CRD# 22273	WARREN, MI
B	07/27/1990 - 04/15/1991	KEOGLER, MORGAN & COMPANY, INC.	CRD# 16546	ATLANTA, GA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2024 - Present	OSAIC WEALTH, INC.	REGISTERED REPRESENTATIVE	Y	LANCASTER, PA, United States
05/2010 - Present	LANCASTER COUNTY FINANCIAL GROUP, LLC	Investment Advisor	Y	Lancaster, PA, United States
09/2020 - 06/2024	SECURITIES AMERICA, INC.	REGISTERED REPRESENTATIVE	Y	LANCASTER, PA, United States
11/1992 - 09/2020	SECURITIES SERVICE NETWORK, INC.	REGISTERED REPRESENTATIVE	Y	LANCASTER, PA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

CHRISTOPHER HASIRCOGLU
POSITION: to provide insurance products to fulfill client needs. NATURE: Independent life insurance and health insurance sales. An occasional SPIA. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 02/01/1990 ADDRESS: 247 N Shippen St, Suite 10, Lancaster PA 17602 DESCRIPTION: I sell an occasional term life insurance policy to clients as needed. I sell short term medical health insurance as a service to my clients. For completeness sake I will disclose that my business name is also registered in PA as an insurance agency even though I never took an application in the name of Lancaster County Financial Group. This was a result of a PA Insurance Dept inquiry into my health insurance sales activities.

Registration & Employment History

OTHER BUSINESS ACTIVITIES

LANCASTER COUNTY FINANCIAL GROUP, LLC
POSITION: Manager of client funds NATURE: Lancaster County Financial Group, LLC as my RIA name. INVESTMENT RELATED: Yes NUMBER OF HOURS: 80 SECURITIES TRADING HOURS: 80 START DATE: 05/01/2010 ADDRESS: 247 N Shippen St, Suite 10, Lancaster PA 17602 DESCRIPTION: I manage client portfolios for a fee. As shown above, I am also a registered representative through Osaic Wealth, Inc.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	2

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
If charge(s) were brought against an organization over which individual exercised control:	
Organization Name:	
Investment Related Business:	No
Position:	
Formal Charges were brought in:	State Court
Name of Court:	THE COMMONWEALTH OF PA.
Location of Court:	(IN LANCASTER COUNTY COURT)
Docket/Case #:	N/A
Charge Date:	11/20/1981
Charge(s) 1 of 1	
Formal Charge(s)/Description:	RECEIVING STOLEN PROPERTY
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	Guilty
Disposition of charge:	Convicted
Current Status:	Final

Status Date:	08/27/1982
Disposition Date:	08/27/1982
Sentence/Penalty:	$200 FINE, COURT COSTS, AND RESTITUTION AND ONE YEAR OF PROBATION.
Broker Statement	During college I got into some trouble but that was long ago. In the many years since this happened I have built a reputation with my clients as someone you can trust to work hard for your best interests. This mistake made in the past has no bearing on my dedication to my profession or to my clients.

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	Securities Service Network, Inc.
Allegations:	The client responded to Mr. Hasircoglu's request for an exit interview to determine why she was switching financial advisors. She sent an email in which she indicated displeasure with the expense ratios, and poor performance of her investments. She also expressed displeasure about the level of risk in her portfolio.
Product Type:	Mutual Fund
Alleged Damages:	$5,000.00
Alleged Damages Amount Explanation (if amount not exact):	[customer] does not allege a specific damage amount related to any sales practice violations, but she does note that her 403b balance has dropped between $14,000 and $16,000.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	08/17/2016
Complaint Pending?	No
Status:	Closed/No Action
Status Date:	08/18/2016
Settlement Amount:	
Individual Contribution Amount:	

Disclosure 2 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	SECURITIES SERVICE NETWORK, INC.
Allegations:	EXECUTRIX FOR CLIENT'S ESTATE ALLEGES "DID NOT GET FULL DISCLOSURE OF THE PRODUCTS TERMS... [AND] PRODUCT WAS UNSUITABLE..." APRIL 2005 - JULY 2009.
Product Type:	Annuity-Variable Direct Investment-DPP & LP Interests

	Real Estate Security
Alleged Damages:	$10,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	01/29/2010
Complaint Pending?	No
Status:	Settled
Status Date:	03/02/2010
Settlement Amount:	$7,500.00
Individual Contribution Amount:	$0.00
Broker Statement	REVIEW OF DOCUMENTATION EVIDENCES FULL DISCLOSURE AND SUITABILITY OF INVESTMENTS. THE FIRM DECIDED TO SETTLE THIS MATTER FOR ITS OWN BUSINESS REASONS. MR. HASIRCOGLU WAS NOT ASKED TO CONTRIBUTE TO THE SETTLEMENT AND THE FIRM FOUND NO WRONGDOING ON HIS PART.

End of Report

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