



IAPD Report

NICHOLAS ANDREW TREVINO

CRD# 2056957

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

NICHOLAS ANDREW TREVINO (CRD# 2056957)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **09/28/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	GLOBAL VIEW CAPITAL MANAGEMENT LLC	CRD# 158292	07/20/2026

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	GLOBAL VIEW CAPITAL MANAGEMENT LLC	158292	GRAPEVINE, TX	10/02/2025 - 12/09/2025
IA	STRATEGIC ADVISERS LLC	104555	BOSTON, MA	03/31/2025 - 05/19/2025
B	FIDELITY BROKERAGE SERVICES LLC	7784	WESTLAKE, TX	03/02/2022 - 05/19/2025

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Criminal	4
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **GLOBAL VIEW CAPITAL MANAGEMENT LLC**
Main Address: N14 W23833 STONE RIDGE DR - SUITE 350
WAUKESHA, WI 53188
Firm ID#: 158292

	Regulator	Registration	Status	Date
IA	Texas	Investment Adviser Representative	Approved	07/20/2026

Branch Office Locations

GLOBAL VIEW CAPITAL MANAGEMENT LLC
1701 WEST NW HIGHWAY
GRAPEVINE, TX 76051



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination (S7TO)	Series 7TO	03/02/2022
B Securities Industry Essentials Examination (SIE)	SIE	02/23/2022

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	05/05/2022



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/02/2025 - 12/09/2025	GLOBAL VIEW CAPITAL MANAGEMENT LLC	CRD# 158292	GRAPEVINE, TX
IA	03/31/2025 - 05/19/2025	STRATEGIC ADVISERS LLC	CRD# 104555	BOSTON, MA
B	03/02/2022 - 05/19/2025	FIDELITY BROKERAGE SERVICES LLC	CRD# 7784	WESTLAKE, TX
IA	05/24/2022 - 03/31/2025	FIDELITY PERSONAL AND WORKPLACE ADVISORS	CRD# 288590	WESTLAKE, TX

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2026 - Present	HARBOR POINT FINANCIAL DFW LLC	MANAGING MEMBER, OWNER-FOUNDER AND SALES CONSULTANT	Y	GRAPEVINE, TX, United States
07/2026 - Present	GLOBAL VIEW CAPITAL MANAGEMENT, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	WAUKESHA, WI, United States
06/2026 - Present	GLOBAL VIEW CAPITAL ADVISORS, LLC	INDEPENDENT CONTRACTOR	Y	WAUKESHA, WI, United States
03/2026 - Present	TRIDENT POWERBOAT ACADEMY LLC	MANAGING MEMBER, FOUNDER AND INSTRUCTOR	N	GRAPEVINE, TX, United States
08/2021 - Present	TRIDENT SAILING ACADEMY LLC	MANAGING MEMEBER, FOUNDER AND LEAD INSTRUCTOR	N	GRAPEVINE, TX, United States
09/2025 - 12/2025	GLOBAL VIEW CAPITAL MANAGEMENT, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	WAUKESHA, WI, United States
08/2025 - 12/2025	GLOBAL VIEW CAPITAL ADVISORS., LLC	INDEPENDENT CONTRACTOR	Y	WAUKESHA, WI, United States
08/2025 - 11/2025	EXPERIOR FINANCIAL GROUP, INC.	INSURANCE AGENT	Y	CHEEKTOWAGA, NY, United States



Registration & Employment History



EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2025 - 05/2025	STRATEGIC ADVISERS LLC	REGISTERED REPRESENTATIVE AND INVESTMENT ADVISER REPRESENTATIVE	Y	BOSTON, MA, United States
09/2021 - 04/2025	FIDELITY INVESTMENTS/FIDELITY BROKERAGE SERVICES, LLC	REGISTERED REPRESENTATIVE AND INVESTMENT ADVISER REPRESENTATIVE- WORKED FROM WESTLAKE, TX 09.15.2021 TILL 07.01.2025, THEN FROM GRAPEVINE, TX 07.01.2025 - 04.17.2025	Y	SMITHFIELD, RI, United States
05/2021 - 09/2021	LYFT	DRIVER	N	SAN FRANCISCO, CA, United States
05/2018 - 09/2021	ACCENT GRAPHICS INC.	OUTSIDE SALES	N	GRAND PRAIRIE, TX, United States
02/2017 - 04/2018	HARBINGER	OUTSIDE SALES	N	JACKSONVILLE, FL, United States
07/2015 - 02/2017	TRUE NORTH SIGN SOLUTIONS	OUTSIDE SALES	N	EULESS, TX, United States
09/2008 - 12/2016	SIGNTRONIX	DISTRICT MANAGER	N	DALLAS, TX, United States



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

[1] TRIDENT SAILING ACEDEMY LLC; NOT INVESTMENT RELATED; ADDRESS: 732 NORTH DOVE ROAD, GRAPEVINE, TX 76051; NATURE: SAILING SERVICES; POSITION: MANAGING MEMBER, OWNER, FOUNDER AND LEAD INSTRUCTOR; STARTED: 08.20.2021; APPROX. ZERO HRS/MO DURING TRADING HOURS; APPROX. 30 HRS/MO OUTSIDE TRADING; RESPONSIBILITIES: SALES AND MARKETING FOR SAILING SERVICES AND PROVIDE SAILING INSTRUCTIONS TO CUSTOMERS.

[2] TRIDENT POWERBOAT ACADEMY LLC; NOT INVESTMENT RELEATED; ADDRESS: 732 NORTH DOVE ROAD, GRAPEVINE, TX 76051; NATURE: BOATING INSTRUCTING SERVICES; POSITION: MANAGING MEMBER, OWNER, FOUNDER AND BOATING INSTRUCTOR; STARTED: 03.03.2026; APPROX. ZERO HRS/MO DURING TRADING HOURS; APPROX. 20 HRS/MO OUTSIDE TRADING HOURS; RESPONSIBILITIES: SALES AND MARKETING FOR BOATING INSTRUCTIONS AND INSTRUCT CUSTOMERS HOW TO OPERATE A BOAT.



Registration & Employment History



OTHER BUSINESS ACTIVITIES

[3] BLUE CROSS BLUE SHIELD; NOT INVESTMENT RELATED; ADDRESS: 1001 E. LOOKOUT DRIVE, RICHARDSON, TX 75082; NATURE: INSURANCE; POSITION: AGENT; STARTED: 04.14.2026; APPROX. 20 HRS/MO DURING TRADING HOURS; APPROX. 20 HRS/MO OUTSIDE TRADING HOURS; RESPONSIBILITIES: MARKET, EDUCATE AND SELL MEDICARE AND HEALTH INSURANCE.

[4] AETNA HEALTH & LIFE INSURANCE COMPANY; NOT INVESTMENT RELATED: ADDRESS: 151 FARMINGTON AVENUE, HARTFORD, CT 06156; NATURE: INSURANCE; POSITION: AGENT; STARTED: 06.17.2026; APPROX. 4 HRS./MO DURING TRADING HOURS; APPROX. 4 HRS/MO OUTSIDE TRADING HOURS; RESPONSIBILITIES: MARKET, EDUCATE AND SELL LIFE AND HEALTH INSURANCE.

[5] GLOBAL VIEW CAPITAL ADVISORS, LLC, AN AFFILIATE OF GLOBAL VIEW CAPITAL MANAGEMENT, LLC; YES-INVESTMENT RELATED; HEADQUARTERS ADDRESS: N14W23833 STONE RIDGE DRIVE, SUITE 350, WAUKESHA, WI 53188; NATURE: MARKETING FIRM FOR INSURANCE AND ADVISORY SERVICES; POSITION: INDEPENDENT CONTRACTOR; AFFILIATION DATE: 06.17.2026; APPROX. 160 ADVISORY HRS/MONTH DURING TRADING HOURS; APPROX. 40 ADVISORY HRS/MONTH OUTSIDE TRADING HOURS; RESPONSIBILITIES: MARKETING, EDUCATION AND SALES OF GVCM ADVISORY SERVICES AND GVCi INSURANCE SERVICES. GVCi HOURS LISTED SEPARATELY IF APPLICABLE.

[6] ASH BROKERAGE; YES INVESTMENT RELATED; ADDRESS: 888 S. HARRISON STREET, SUITE 900, FORT WAYNE, IN 46802; NATURE: INSURANCE; POSITION: INDEPENDENT INSURANCE AGENT; STARTED: JULY 2026; APPROX. 20 HRS/MO DURING TRADING HOURS; APPROX. 20 HRS/MO OUTSIDE TRADING HOURS; RESPONSIBILITIES: MARKET, EDUCATE AND SELL ANNUITIES, DISABILITY INSURANCE AND VARIOUS OTHER INSURANCE PRODUCTS.

[7] UNITED HEALTHCARE, NOT INVESTMENT RELATED; ADDRESS: 28 E 2100 S, SALT LAKE CITY, UT 84115; NATURE: INSURANCE; POSITION: AGENT; STARTED: 07.08.2026; APPROX. 20 HRS/MO DURING TRADING HOURS; APPROX. 20 HRS/MO OUTSIDE TRADING HOURS; RESPONSIBILITIES: MARKET, EDUCATE AND SELL MEDICARE INSURANCE COVERAGE (CONTRACTING).

[8] HARBOR POINT FINANCIAL DFW LLC; YES INVESTMENT RELATED; ADDRESS: 732 NORTH DOVE ROAD, GRAPEVINE, TX 76051; NATURE: FINANCIAL SERVICES; POSITION: MANAGING MEMBER-OWNER-FOUNDER AND SALES CONSULTANT; STARTED: 08.24.2026; APPROX. 140 HRS/MO DURING TRADING HOURS; APPROX. 80 HRS/MO OUTSIDE TRADING HOURS; RESPONSIBILITIES: MARKET AND SELL FINANCIAL SERVICES AND SERVICE CLIENTS UTILIZING GLOBAL VIEW CAPITAL MANAGEMENT FOR THE INVESTMENT MODELS AND STRATEGIES, AND MANAGE INCOME AND EXPENDITURES OF THIS OBA.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	4
Termination	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 4

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	Okaloosa County Court
Location of Court:	Crestview, FL
Docket/Case #:	1990MM000259
Charge Date:	01/08/1990
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Worthless Check
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	No Plea Entered
Disposition of charge:	Dismissed
Current Status:	Final
Status Date:	08/11/1993
Disposition Date:	08/11/1993
Sentence/Penalty:	Dismissed (Administrative order)

Disclosure 2 of 4

Reporting Source:	Individual
Formal Charges were	State Court

**brought in:**

Name of Court:	Bexar County District Court
Location of Court:	San Antonio, TX
Docket/Case #:	01CC695854
Charge Date:	05/29/1998
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Theft \$50-\$500
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	No Contest
Disposition of charge:	Dismissed
Current Status:	Final
Status Date:	05/25/1999
Disposition Date:	05/29/1998
Sentence/Penalty:	Deferred adjudication, pay \$100.00 fine, \$237.00 court costs, \$200.00 restitution, 24 hours community service, 4 months probation. Charge dismissed after deferred adjudication terms completed successfully.

Disclosure 3 of 4

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	Bexar County District Court
Location of Court:	San Antonio, TX
Docket/Case #:	98CR1418
Charge Date:	03/18/1998
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Forgery by Possession
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	No Plea Entered
Disposition of charge:	Dismissed
Current Status:	Final
Status Date:	06/22/1998
Disposition Date:	06/22/1998
Sentence/Penalty:	Charge dismissed after being refiled as Theft \$50-\$500 (Misdemeanor), Case # 01CC695854.

**Disclosure 4 of 4**

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	Okaloosa County Court
Location of Court:	Crestview, FL
Docket/Case #:	1989MM005603
Charge Date:	09/07/1989
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Fraud - Insufficient Funds Check
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	No plea entered
Disposition of charge:	Deferred Adjudication
Current Status:	Final
Status Date:	09/15/1989
Disposition Date:	09/15/1989
Sentence/Penalty:	Adjudication withheld.
Broker Statement	This event took place approximately 33 years ago about a bounced check but i cannot remember the exact details of the case.



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Firm
Firm Name:	FIDELITY BROKERAGE SERVICES LLC
Termination Type:	Discharged
Termination Date:	04/17/2025
Allegations:	Allegation that employee marked certain trades as unsolicited when they were not.
Product Type:	No Product



End of Report

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