



IAPD Report

AHMET KILDIS

CRD# 2058173

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5 - 6
Disclosure Information	7



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page
<http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

AHMET KILDIS (CRD# 2058173)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/25/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	FIFTH THIRD SECURITIES, INC.	CRD# 628	05/04/2018
IA	FIFTH THIRD SECURITIES, INC.	CRD# 628	07/17/2018

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **11** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SUNTRUST ADVISORY SERVICES, INC.	283390	SPRING HILL, FL	08/16/2016 - 04/12/2018
B	SUNTRUST INVESTMENT SERVICES, INC.	17499	HUDSON, FL	09/28/2011 - 04/12/2018
IA	SUNTRUST INVESTMENT SERVICES, INC.	17499	HUDSON, FL	10/07/2011 - 12/31/2016

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 11 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **FIFTH THIRD SECURITIES, INC.**

Main Address: 34 FOUNTAIN SQUARE PLAZA
MD 1090AM
CINCINNATI, OH 45263

Firm ID#: 628

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	05/04/2018
B California	Agent	Approved	04/05/2023
B Florida	Agent	Approved	07/17/2018
IA Florida	Investment Adviser Representative	Approved	07/17/2018
B Georgia	Agent	Approved	07/25/2018
B Indiana	Agent	Approved	08/09/2018
B Michigan	Agent	Approved	11/23/2020
B New York	Agent	Approved	04/27/2025
B North Carolina	Agent	Approved	07/26/2018
B Ohio	Agent	Approved	07/25/2018
B Pennsylvania	Agent	Approved	07/26/2018
B Texas	Agent	Approved	04/11/2025
B West Virginia	Agent	Approved	08/07/2018



Qualifications

Branch Office Locations

FIFTH THIRD SECURITIES, INC.

2022 BRUCE B. DOWNS BOULEVARD
WESLEY CHAPEL, FL 33544

FIFTH THIRD SECURITIES, INC.

4714 MILE STRETCH DRIVE
HOLIDAY, FL 34690

FIFTH THIRD SECURITIES, INC.

22850 STATE ROAD 54
LUTZ, FL 33549

FIFTH THIRD SECURITIES, INC.

18710 STATE ROAD 54
LUTZ, FL 33558

FIFTH THIRD SECURITIES, INC.

5425 STATE ROUTE 54
NEW PORT RICHEY, FL 34652

FIFTH THIRD SECURITIES, INC.

12231 US HWY 19
BAYONET POINT, FL 34667

FIFTH THIRD SECURITIES, INC.

13474 PINE GAP SPUR
ODESSA, FL 33556



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	12/17/2007
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	01/09/2003

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	03/29/2008
B Uniform Securities Agent State Law Examination (S63)	Series 63	03/03/2003

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	08/16/2016 - 04/12/2018	SUNTRUST ADVISORY SERVICES, INC.	CRD# 283390	SPRING HILL, FL
B	09/28/2011 - 04/12/2018	SUNTRUST INVESTMENT SERVICES, INC.	CRD# 17499	HUDSON, FL
IA	10/07/2011 - 12/31/2016	SUNTRUST INVESTMENT SERVICES, INC.	CRD# 17499	HUDSON, FL
IA	04/02/2008 - 09/23/2011	FIFTH THIRD SECURITIES, INC.	CRD# 628	CLEARWATER, FL
B	05/01/2006 - 09/23/2011	FIFTH THIRD SECURITIES, INC.	CRD# 628	CLEARWATER, FL
B	06/11/2003 - 01/25/2005	COLONIAL BROKERAGE, INC.	CRD# 111668	MONTGOMERY, AL
B	01/10/2003 - 03/10/2003	AMSOUTH INVESTMENT SERVICES, INC.	CRD# 15692	BIRMINGHAM, AL
B	07/22/1992 - 02/23/1993	NAPEX FINANCIAL CORP.	CRD# 17609	
B	11/08/1991 - 02/10/1992	CHATFIELD DEAN & CO., INC.	CRD# 14714	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2018 - Present	FIFTH THIRD BANK	INVESTMENT EXECUTIVE	Y	WESLEY CHAPEL, FL, United States
04/2018 - Present	Fifth Third Securities, Inc	REGISTERED REPRESENTATIVE	Y	Wesley Chapel, FL, United States
03/2018 - 04/2018	UNEMPLOYED	UNEMPLOYED	N	TARPON SPRINGS, FL, United States
08/2016 - 03/2018	SunTrust Advisory Services	Investment Advisory Representative	Y	Atlanta, GA, United States
09/2011 - 03/2018	SUNTRUST INVESTMENT SERVICES, INC.	FINANCIAL ADVISOR	N	ATLANTA, GA, United States



Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3
Termination	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Regulator
Employing firm when activities occurred which led to the complaint:	SunTrust Investment Services Inc.
Allegations:	Kildis was named in a customer complaint that asserted the following causes of action: negligence; breach of fiduciary duty; breach of contract; breach of oral agreement; and respondeat superior.

Product Type:	No Product
----------------------	------------

Alleged Damages:	
-------------------------	--

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	FINRA - CASE #20-02359
---	--

Date Notice/Process Served:	07/27/2020
------------------------------------	------------

Arbitration Pending?	No
-----------------------------	----

Disposition:	Award
---------------------	-------

Disposition Date:	10/23/2021
--------------------------	------------

Disposition Detail:	Kildis is jointly and severally liable for and shall pay to Claimants the sum of \$18,207.45 in costs and is jointly and severally liable for and shall pay to Claimants the sum of \$375.00 in costs as reimbursement for the non-refundable portion of the filing fee.
----------------------------	--



Reporting Source: Firm
Employing firm when activities occurred which led to the complaint: SunTrust Investment Services, Inc.
Allegations: Claimants as attorneys-in-fact allege that representative failed to properly process beneficiary documentation.
Product Type: No Product
Alleged Damages: \$0.00
Alleged Damages Amount Explanation (if amount not exact): unable to determine

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA

Docket/Case #: [20-02359](#)

Date Notice/Process Served: 08/10/2020

Arbitration Pending? No

Disposition: Award to Customer

Disposition Date: 10/22/2021

Monetary Compensation Amount: \$18,582.45

Individual Contribution Amount: \$0.00

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: SunTrust Investment Services, Inc.

Allegations: Claimants, as attorneys-in-fact, allege that representative failed to properly process beneficiary documentation.

Product Type: No Product

Alleged Damages: \$0.00

Alleged Damages Amount Explanation (if amount not exact): Unable to determine

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA

Docket/Case #: [20-02359](#)



Date Notice/Process Served: 08/10/2020
Arbitration Pending? No
Disposition: Award to Customer
Disposition Date: 10/23/2021
Monetary Compensation Amount: \$18,582.45
Individual Contribution Amount: \$0.00

Disclosure 2 of 3

Reporting Source: Firm
Employing firm when activities occurred which led to the complaint: FIFTH THIRD SECURITIES
Allegations: CUSTOMER ALLEGES THAT THE REGISTERED REPRESENTATIVE MADE A POOR RECOMMENDATION WHICH LED TO THE DISTRIBUTION FROM A QUALIFIED ACCOUNT IN JULY 2011 AND PURCHASE OF A STRUCTURED CD IN AUGUST 2011.
Product Type: Other: STRUCTURED CD
Alleged Damages: \$13,158.00
Is this an oral complaint? No
Is this a written complaint? Yes
Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 08/22/2012
Complaint Pending? No
Status: Denied
Status Date: 09/07/2012
Settlement Amount: \$0.00
Individual Contribution Amount: \$0.00

Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: FIFTH THIRD SECURITIES
Allegations: CUSTOMER ALLEGES THAT THE REGISTERED REPRESENTATIVE MADE A POOR RECOMMENDATION WHICH LED TO A DISTRIBUTION FROM A QUALIFIED ACCOUNT IN JULY 2011.
Product Type: Other: STRUCTURED CD
Alleged Damages: \$13,158.00
Is this an oral complaint? No



Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 08/22/2012

Complaint Pending? No

Status: Denied

Status Date: 09/07/2012

Settlement Amount: \$0.00

Individual Contribution Amount: \$0.00

Disclosure 3 of 3

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: FIFTH THIRD SECURITIES

Allegations: CUSTOMER ALLEGES REPRESENTATIVE MISREPRESENTED STRUCTURED PRODUCT, WHICH LED TO A PURCHASE IN MARCH 2010.

Product Type: Other: STRUCTURED PRODUCT

Alleged Damages: \$6,500.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 05/12/2010

Complaint Pending? No

Status: Denied

Status Date: 05/20/2010

Settlement Amount: \$0.00

Individual Contribution Amount: \$0.00



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source: Firm
Firm Name: SUNTRUST INVESTMENT SERVICES, INC.
Termination Type: Discharged
Termination Date: 03/13/2018
Allegations: MODIFIED A CLIENT SIGNED FORM.
Product Type: No Product

Reporting Source: Individual
Firm Name: Suntrust Investment Services, Inc.
Termination Type: Discharged
Termination Date: 03/13/2018
Allegations: Modified a client signed form
Product Type: No Product
Broker Statement
When assisting a client in order to add as a Power of Attorney to existing 2 brokerage accounts, I made a photocopy of an original signed internal Power of Attorney of Affidavit form in order to use for the second account without any harm or bad intentions. I was informed by my compliance officer that I will need a second original signed form rather than a photocopy. I contacted the client immediately and corrected the matter. I was told that I may receive a corrective counseling for this mistake by my Manager. However, four months later I was fired by a reason of modifying a client signed form.



End of Report

This page is intentionally left blank.