



IAPD Report

LUANA MOBLEY CORRAL

CRD# 2074285

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

LUANA MOBLEY CORRAL (CRD# 2074285)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/26/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	ASSOCIATED INVESTOR SERVICES, INC.	CRD# 133356	01/05/2006
B	OSAIC WEALTH, INC.	CRD# 23131	06/14/2024
IA	OSAIC WEALTH, INC.	CRD# 23131	06/14/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **13** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SECURITIES AMERICA ADVISORS, INC.	110518	FORT LAUDERDALE, FL	07/17/2020 - 06/14/2024
B	SECURITIES AMERICA, INC.	10205	FT. LAUDERDALE, FL	07/17/2020 - 06/14/2024
IA	INVESTACORP ADVISORY SERVICES INC	109011	FT. LAUDERDALE, FL	05/19/1997 - 07/17/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **13** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	06/14/2024
B	FINRA	Invest. Co and Variable Contracts	Approved	06/14/2024
B	FINRA	Investment Banking Representative	Approved	06/14/2024
B	Arizona	Agent	Approved	06/14/2024
B	Arkansas	Agent	Approved	11/26/2024
B	California	Agent	Approved	06/14/2024
B	Colorado	Agent	Approved	06/14/2024
B	Florida	Agent	Approved	06/14/2024
IA	Florida	Investment Adviser Representative	Approved	06/14/2024
B	Georgia	Agent	Approved	06/14/2024
B	Illinois	Agent	Approved	06/14/2024
B	Massachusetts	Agent	Approved	06/14/2024
B	Minnesota	Agent	Approved	06/14/2024



Qualifications

Regulator	Registration	Status	Date
B Nevada	Agent	Approved	02/12/2026
B New York	Agent	Approved	06/14/2024
B North Carolina	Agent	Approved	06/14/2024
B Virginia	Agent	Approved	06/14/2024

Branch Office Locations

OSAIC WEALTH, INC.
 2699 STIRLING ROAD
 SUITE A-200
 FT. LAUDERDALE, FL 33312

OSAIC WEALTH, INC.
 Hallandale Beach, FL

Employment 2 of 2

Firm Name: **ASSOCIATED INVESTOR SERVICES, INC.**
 Main Address: 2699 STIRLING ROAD
 SUITE A200
 FT. LAUDERDALE, FL 33312
 Firm ID#: 133356

Regulator	Registration	Status	Date
IA Florida	Investment Adviser Representative	Approved	01/05/2006

Branch Office Locations

ASSOCIATED INVESTOR SERVICES, INC.
 2699 STIRLINGROAD
 SUITE A200
 FT. LAUDERDALE, FL 33312



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Investment Banking Registered Representative Examination (S79TO)	Series 79TO	01/02/2023
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	03/04/1996
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	08/07/1990

State Securities Law Exams

Exam	Category	Date
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Uniform Investment Adviser Law Examination (S65)	Series 65	12/27/1999
Uniform Securities Agent State Law Examination (S63)	Series 63	03/11/1996

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities



Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	07/17/2020 - 06/14/2024	SECURITIES AMERICA ADVISORS, INC.	CRD# 110518	FORT LAUDERDALE, FL
B	07/17/2020 - 06/14/2024	SECURITIES AMERICA, INC.	CRD# 10205	FT. LAUDERDALE, FL
IA	05/19/1997 - 07/17/2020	INVESTACORP ADVISORY SERVICES INC	CRD# 109011	FT. LAUDERDALE, FL
B	09/13/1995 - 07/17/2020	INVESTACORP, INC.	CRD# 7684	FT. LAUDERDALE, FL
B	09/13/1990 - 09/20/1995	MML INVESTORS SERVICES, INC.	CRD# 10409	SPRINGFIELD, MA
B	08/08/1990 - 09/18/1990	EVENSKY, BROWN INVESTMENTS, INC.	CRD# 17461	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2024 - Present	OSAIC WEALTH, INC.	REGISTERED REPRESENTATIVE	Y	FT. LAUDERDALE, FL, United States
01/2023 - Present	Eternity Begins Now Corp	Board Member	N	Miami, FL, United States
10/1995 - Present	ASSOCIATED FINANCIAL CONSULTANTS, INC	PRODUCER	N	FT. LAUDERDALE, FL, United States
10/1995 - Present	ASSOCIATED INVESTOR SERVICES, INC.	RIA	Y	FT. LAUDERDALE, FL, United States
01/2001 - 12/2025	CROSSROADS CONSULTANTS OF SOUTH FLORIDA, INC.	PRESIDENT	N	FT LAUDERDALE, FL, United States
07/2020 - 06/2024	SECURITIES AMERICA ADVISORS, INC.	IAR	Y	FORT LAUDERDALE, FL, United States
07/2020 - 06/2024	SECURITIES AMERICA, INC.	REGISTERED REP	Y	FT. LAUDERDALE, FL, United States
04/1997 - 07/2020	INVESTACORP ADVISORY SERVICES, INC.	INVESTMENT ADVISOR REGISTERED REPRESENTATIVE	Y	MIAMI, FL, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/1995 - 07/2020	INVESTACORP, INC.	REGISTERED REPRESENTATIVE	Y	MIAMI, FL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. NFLPA REGISTERED PLAYER FINANCIAL ADVISOR

POSITION: Financial Advisor NATURE: NFLPA Registered Player Financial Advisor as part of the NFLPA Registered Player Financial Advisor Program, 1133 20th Street NW, Washington, DC 20036 INVESTMENT RELATED: Yes NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 5 START DATE: 06/29/2018

ADDRESS: 2699 Stirling Road Ste A200, Ft. Lauderdale FL 33312, United States

DESCRIPTION: After undergoing a thorough background check, the NFLPA Registered Financial Advisors Program permits financial advisors who hold a CFP or CFA designation to be listed in the NFLPA directory as an advisor to NFL players. A connection with an NFL player would provide an opportunity to provide Osaic Wealth, Inc. approved services.

2. ASSOCIATED INVESTOR SERVICES, INC

POSITION: Independent Registered Advisor NATURE: Independent Registered Investment Advisor INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 10 START DATE: 09/11/1995

ADDRESS: 2699 Stirling Road, Suite A200, Ft. Lauderdale FL 33312, United States

DESCRIPTION: Financial Planning Services and Financial Consulting Services

3. ASSOCIATED FINANCIAL CONSULTANTS, INC.

POSITION: Advisor/Ins Agent NATURE: Insurance #HRS 20 SEC TRADE HRS 15 START DATE: 10/1/95

ADDRESS: 2699 Stirling Rd Ste A200, Ft. Lauderdale FL 33312

DESCRIPTION: Advisor; licensed ins salesperson for commission-based products: life, health, LTC, disability & fixed annuities.

4. INSURANCE SALES

POSITION: Licensed Insurance Agent NATURE: commission-based products INVEST RELATED Yes START DATE: 9/24/90

ADDRESS: 2699 Stirling Rd Ste A200, Ft. Lauderdale FL 33312

DESCRIPTION: Securities Related only to extent that any Variable Life/Annuities sold thru Securities America.

5. ETERNITY BEGINS NOW CORP

POSITION: Board Member #HRS 1 START DATE: 1/1/23

ADDRESS: c/o Dan & Sandra Kinder, 1800 NE 114th St #705, Miami FL 33181

DESCRIPTION: Initial project create YouTube videos that share word of God. My duties as unpaid board member to support objectives of Mission/Vision/Purpose w/prayer, feedback, & strategic planning. Other board members support based on personal gifts of music, social media mgmt, video production, etc. Board meetings scheduled at least annually but expected qtrly after church on Sunday.

6. ASSOCIATED FINANCIAL CONSULTANTS, INC.

POSITION: Advisor/Insurance Agent NATURE: Non-variable insurance products and services; Advisor; licensed insurance sales person for commission based products: life, health,LTC, disability and fixed annuities. INVESTMENT RELATED: No NUMBER OF HOURS: 20 SECURITIES TRADING HOURS: 15 START DATE: 10/01/1995

ADDRESS: 2699 Stirling Road, Suite A200, Ft. Lauderdale FL 33312, United States

DESCRIPTION: Nonvariable insurance products and services; Advisor; licensed insurance sales person for commission based products: life, health,LTC, disability and fixed annuities.



Registration & Employment History



OTHER BUSINESS ACTIVITIES

7. INSURANCE SALES

POSITION: Licensed Insurance Agent NATURE: licensed insurance sales person for commission based products: life, health, LTC, disability and fixed annuities. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0

START DATE: 09/24/1990

ADDRESS: 2699 Stirling Road Ste A200, Ft. Lauderdale FL 33312, United States

DESCRIPTION: Licensed insurance sales person for fixed commission based products: life, health, LTC, disability and fixed annuities.

All Variable Life, Fixed-Indexed Life, Variable Annuities, and Fixed Indexed Annuities would be sold through Osaic Wealth, Inc..



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	INVESTACORP, INC.
Allegations:	THE CLIENT ALLEGES THAT THE FUNDS WENT TO A BENEFICIARY ON A TOD ACCOUNT THAT IS NOT STATED IN THE WILL.
Product Type:	No Product
Alleged Damages:	\$21,471.22
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	01/05/2015
Complaint Pending?	No
Status:	Closed/No Action
Status Date:	02/18/2015
Settlement Amount:	
Individual Contribution Amount:	



End of Report

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