



## IAPD Report

# CHRISTIAN MYERS WAGNER

CRD# 2095048

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**i** When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.  
Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### CHRISTIAN MYERS WAGNER (CRD# 2095048)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/17/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	EQUITABLE ADVISORS, LLC	CRD# 6627	10/03/2022
<b>IA</b>	EQUITABLE ADVISORS, LLC	CRD# 6627	10/03/2022

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **12** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	PENN INVESTMENT ADVISORS, INC.	151715	BALA CYNWYD, PA	07/19/2016 - 03/31/2023
<b>B</b>	PURSHE KAPLAN STERLING INVESTMENTS	35747	Doylestown, PA	05/11/2021 - 10/03/2022
<b>B</b>	PTS BROKERAGE, LLC	119307	Yardley, PA	05/30/2018 - 04/30/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Termination	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **12** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **EQUITABLE ADVISORS, LLC**  
Main Address: 1345 AVENUE OF THE AMERICAS  
NEW YORK, NY 10105  
Firm ID#: 6627

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	General Securities Principal	Approved	10/03/2022
<b>B</b>	FINRA	General Securities Representative	Approved	10/03/2022
<b>B</b>	Colorado	Agent	Approved	04/06/2023
<b>B</b>	Delaware	Agent	Approved	10/03/2022
<b>IA</b>	Delaware	Investment Adviser Representative	Approved	10/03/2022
<b>B</b>	Florida	Agent	Approved	10/14/2022
<b>B</b>	Iowa	Agent	Approved	10/04/2022
<b>B</b>	New Jersey	Agent	Approved	10/03/2022
<b>B</b>	New York	Agent	Approved	02/06/2023
<b>B</b>	North Carolina	Agent	Approved	09/20/2024
<b>B</b>	Ohio	Agent	Approved	10/03/2022
<b>B</b>	Pennsylvania	Agent	Approved	10/03/2022
<b>IA</b>	Pennsylvania	Investment Adviser Representative	Approved	10/03/2022



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> South Carolina	Agent	Approved	10/04/2022
<b>B</b> Texas	Agent	Approved	10/04/2022
<b>IA</b> Texas	Investment Adviser Representative	Restricted Approval	10/03/2022
<b>B</b> Virginia	Agent	Approved	05/23/2025

### Branch Office Locations

**EQUITABLE ADVISORS, LLC**  
 732 STONY HILL ROAD  
 BUILDING #3  
 LOWER MAKEFIELD, PA 19067

**EQUITABLE ADVISORS, LLC**  
 426 N. MAIN STREET  
 DOYLESTOWN, PA 18901

**EQUITABLE ADVISORS, LLC**  
 118 MILL STREET  
 BRISTOL, PA 19007

**EQUITABLE ADVISORS, LLC**  
 1129 N. 5TH STREET  
 PERKASIE, PA 18944

**EQUITABLE ADVISORS, LLC**  
 1651 THE FAIRWAY  
 JENKINTOWN, PA 19046

**EQUITABLE ADVISORS, LLC**  
 275 W. BRIDGE STREET  
 NEW HOPE, PA 18938

**EQUITABLE ADVISORS, LLC**  
 601 LOUIS DRIVE  
 WARMINSTER, PA 18974

**EQUITABLE ADVISORS, LLC**  
 530 SECOND STREET PIKE  
 SOUTHAMPTON, PA 18966

**EQUITABLE ADVISORS, LLC**  
 3 MILL ROAD  
 SUITE 306  
 WILMINGTON, DE 19806

**EQUITABLE ADVISORS, LLC**  
 380 W BUTLER AVE  
 NEW BRITAIN, PA 18901

**EQUITABLE ADVISORS, LLC**  
 4037 HULMEVILLE ROAD  
 BENSLEM, PA 19020

**EQUITABLE ADVISORS, LLC**  
 295 N SYCAMORE ST  
 NEWTOWN, PA 18940

**EQUITABLE ADVISORS, LLC**  
 208 LEVITTOWN PARKWAY  
 LEVITTOWN PARKWAY, PA 19054

**EQUITABLE ADVISORS, LLC**  
 1315 EASTON RD  
 RIEGELSVILLE, PA 18077

**EQUITABLE ADVISORS, LLC**  
 600 W MARKET ST  
 PERKASIE, PA 18944

**EQUITABLE ADVISORS, LLC**  
 1051 S WEST END BLVD  
 QUAKERTOWN, PA 18951

**EQUITABLE ADVISORS, LLC**  
 490 FORTY FOOT RD  
 LANSDALE, PA 19446

**EQUITABLE ADVISORS, LLC**  
 519 RICHLANDTOWN PIKE  
 RICHLANDTOWN, PA 18955

**EQUITABLE ADVISORS, LLC**  
 6999 EASTON RD



## Qualifications

PIPERSVILLE, PA 18947

**EQUITABLE ADVISORS, LLC**  
16 HIGHLAND PARK WAY  
LEVITTOWN, PA 19056

**EQUITABLE ADVISORS, LLC**  
142 N MAIN ST  
DUBLIN, PA 18917




## Qualifications

### PASSED INDUSTRY EXAMS





This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 1 principal/supervisory exam, 4 general industry/product exams, and 2 state securities law exams.**




#### Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Principal Examination (S24)	Series 24	01/02/2023

#### General Industry/Product Exams

	Exam	Category	Date
	General Securities Representative Examination (S7TO)	Series 7TO	01/02/2023
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	02/20/2002
	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	09/24/1990

#### State Securities Law Exams

	Exam	Category	Date
	 Uniform Combined State Law Examination (S66)	Series 66	10/14/2002
	Uniform Securities Agent State Law Examination (S63)	Series 63	10/22/1990

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	07/19/2016 - 03/31/2023	PENN INVESTMENT ADVISORS, INC.	CRD# 151715	BALA CYNWYD, PA
B	05/11/2021 - 10/03/2022	PURSHE KAPLAN STERLING INVESTMENTS	CRD# 35747	Doylestown, PA
B	05/30/2018 - 04/30/2019	PTS BROKERAGE, LLC	CRD# 119307	Yardley, PA
IA	05/06/2016 - 07/19/2016	PENN INVESTMENT ADVISORS, INC.	CRD# 151715	DOYLESTOWN, PA
B	12/23/2014 - 05/31/2016	EAGLEVIEW SECURITIES, INC	CRD# 170008	Doylestown, PA
IA	06/25/2008 - 03/30/2016	LONGVIEW CAPITAL MANAGEMENT LLC	CRD# 147235	WILMINGTON, DE
B	07/30/2014 - 01/14/2015	FIRST SAVINGS SECURITIES, INC.	CRD# 132016	DOYLESTOWN, PA
B	07/25/2011 - 08/07/2012	UNIFIED FINANCIAL SECURITIES, INC.	CRD# 7868	INDIANAPOLIS, IN
B	05/28/2008 - 07/27/2009	EVOLVE SECURITIES, INC.	CRD# 127474	WILMINGTON, DE
IA	11/23/2005 - 05/14/2008	ING FINANCIAL PARTNERS, INC	CRD# 2882	MT LAUREL, NJ
B	10/05/2005 - 05/14/2008	ING FINANCIAL PARTNERS, INC.	CRD# 2882	MT LAUREL, NJ
IA	10/15/2002 - 10/05/2005	COMMERCE CAPITAL MARKETS, INC.	CRD# 6940	PHILADELPHIA, PA
B	03/22/2002 - 10/05/2005	COMMERCE CAPITAL MARKETS, INC.	CRD# 6940	PHILADELPHIA, PA
B	10/08/1990 - 07/29/1992	PRUCO SECURITIES CORPORATION	CRD# 5685	
B	10/08/1990 - 07/29/1992	THE PRUDENTIAL INSURANCE COMPANY OF AMERICA	CRD# 680	



## Registration & Employment History

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2022 - Present	Equitable Advisors LLC	Registered Representative	Y	New York, NY, United States
06/2014 - 03/2024	Penn Investment Advisors, Inc.	President&#47;IAR	Y	Doylestown, PA, United States
04/2021 - 09/2022	Purshe Kaplan Sterling	Registered Rep	Y	Albany, NY, United States
05/2014 - 09/2022	Penn Community Bank	Trust Officer/Financial Advisor	Y	Perkasie, PA, United States
05/2018 - 04/2019	PTS Brokerage, LLC	Registered Representative	Y	Yardley, PA, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) ADMIRAL FARRAGUT ACADEMY, EMERITUS BOARD POSITION, SERVE ON THE BOARD OF DIRECTORS AS AN NON VOTING EMERITUS MEMBER. THE ADMIRAL FARRAGUT FOUNDATION RAISES FUNDS AND SERVES AS AN OVERSIGHT MANAGER FOR THE INVESTMENTS OF THE FOUNDATION. ALL INVESTMENTS EXCEPT FOR ADJACENT REAL ESTATE PURCHASES ARE OUTSOURCED TO OUTSIDE MONEY MANAGERS, START DATE: 11/17/22, 1 HR PER MO; 2) ADMINISTRATOR OR EXECUTOR OF AN ESTATE OR TRUSTEE OF A TRUST FOR A NON-FAMILY OR FAMILY MEMBER (REVISED 10/10/2015); 3) FACECAKE MARKETING TECHNOLOGIES, MEMBER OF ADVISORY BOARD, AUGMENTED REALITY TECHNOLOGY COMPANY, CALABASAS, CA, START DATE: 11/28/22, 1 HR PER MO, NOT INVESTMENT RELATED; 4) LONGVIEW CAPITAL MANAGEMENT LLC DELAWARE, PARTNER, DUTIES: IT IS INVESTMENTS FROM THE PROCEEDS OF THE SALE OF THE RIA THAT SEAN SCHMID AND CHRISTIAN WAGNER INVESTED IN CERTAIN SECURITIES. THERE IS NO BUSINESS CONDUCTED UNDER THIS ENTITY, IT'S JUST PERSONAL INVESTMENTS BETWEEN SEAN AND CHRISTIAN WHICH ALSO INCLUDES THEIR SPOUSES. THEY DO NOT SOLICIT OR RECRUIT PEOPLE TO INVEST IN THIS LLC, START DATE: 11/17/22, 4 HRS PER MO; 5) USING CWAGNER@PENNADVISORS.COM AND PENNADVISORS.COM TO CONDUCT EQUITABLE BUSINESS;



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Termination	1

### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 1

**Reporting Source:** Firm

**Employing firm when activities occurred which led to the complaint:** ING FINANCIAL PARTNERS, INC.

**Allegations:** FIRM RECEIVED CONSUMER INITIATED WRITTEN COMPLAINT CONCERNING THE SUPERVISORY ROLE MR. WAGNER PLAYED IN MANAGING THE REPRESENTATIVE HANDLING THEIR ACCOUNTS FROM MAY 2007 THROUGH MAY 2008. CUSTOMERS ALLEGE THAT MR. WAGNER'S LACK OF SUPERVISION DURING THIS TIMEFRAME RESULTED IN INAPPROPRIATE AND UNAUTHORIZED DISCRETIONARY TRANSACTIONS BEING EXECUTED IN THEIR ACCOUNTS WITHOUT THEIR UNDERSTANDING, CONSENT OR KNOWLEDGE BY THE REPRESENTATIVE HANDLING THEIR ACCOUNTS. ASIDE FROM THE FINANCIAL DAMAGE THIS REPRESENTATIVE'S ACTIONS ALLEGEDLY CAUSED, CUSTOMERS ALSO ALLEGE THIS MATTER HAS BROUGHT UP A SUBSTANTIAL AMOUNT OF EMOTIONAL DAMAGE. CUSTOMERS REQUEST REIMBURSEMENT FOR THE FULL AMOUNT INVESTED ALONG WITH SURRENDER CHARGES INCURRED AND ALL COMMISSIONS AND FEES EARNED IN CONNECTION WITH THESE INAPPROPRIATE, UNSUITABLE AND UNAUTHORIZED TRANSACTIONS.

**Product Type:** Direct Investment(s) - DPP & LP Interest(s)

**Other Product Type(s):** MUTUAL FUNDS, VARIABLE ANNUITIES

**Alleged Damages:** \$433,100.00

### Customer Complaint Information

**Date Complaint Received:** 05/15/2008



<b>Complaint Pending?</b>	No
<b>Status:</b>	Settled
<b>Status Date:</b>	04/01/2009
<b>Settlement Amount:</b>	\$417,976.61
<b>Individual Contribution Amount:</b>	\$0.00
<b>Firm Statement</b>	WITHOUT ADMITTING ANY LIABILITY OR WRONGDOING, THE FIRM ENTERED INTO A SETTLEMENT AGREEMENT WITH THE CLIENTS IN EXCHANGE FOR A RELEASE OF ALL CLAIMS.

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<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	ING FINANCIAL PARTNERS, INC.
<b>Allegations:</b>	FIRM RECEIVED CONSUMER INITIATED WRITTEN COMPLAINT CONCERNING THE SUPERVISORY ROLE MR. WAGNER PLAYED IN MANAGING THE REPRESENTATIVE HANDLING THEIR ACCOUNTS FROM MAY 2007 THROUGH MAY 2008. CUSTOMERS ALLEGE THAT MR. WAGNER'S LACK OF SUPERVISION DURING THIS TIMEFRAME RESULTED IN INAPPROPRIATE AND UNAUTHORIZED DISCRETIONARY TRANSACTIONS BEING EXECUTED IN THEIR ACCOUNTS WITHOUT THEIR UNDERSTANDING, CONSENT OR KNOWLEDGE BY THE REPRESENTATIVE HANDLING THEIR ACCOUNTS. ASIDE FROM THE FINANCIAL DAMAGE THIS REPRESENTATIVE'S ACTIONS ALLEGEDLY CAUSED, CUSTOMERS ALSO ALLEGE THIS MATTER HAS BROUGHT UP A SUBSTANTIAL AMOUNT OF EMOTIONAL DAMAGE. CUSTOMERS REQUEST REIMBURSEMENT FOR THE FULL AMOUNT INVESTED ALONG WITH SURRENDER CHARGES INCURRED AND ALL COMMISSIONS AND FEES EARNED IN CONNECTION WITH THESE INAPPROPRIATE, UNSUITBLE AND UNAUTHORIZED TRANSACTIONS.

<b>Product Type:</b>	Direct Investment-DPP & LP Interests Other: MUTUAL FUNDS, VARIABLE ANNUITIES
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<b>Alleged Damages:</b>	\$433,100.00
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<b>Is this an oral complaint?</b>	No
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<b>Is this a written complaint?</b>	Yes
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<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	No
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### Customer Complaint Information

<b>Date Complaint Received:</b>	05/15/2008
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<b>Complaint Pending?</b>	No
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<b>Status:</b>	Settled
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<b>Status Date:</b>	04/01/2009
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<b>Settlement Amount:</b>	\$417,976.61
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<b>Individual Contribution Amount:</b>	\$0.00
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<b>Broker Statement</b>	MR. WAGNER WAS NOT CONSULTED ABOUT AND DID NOT PARTICIPATE IN
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THE SETTLEMENT OF THIS MATTER. HE MADE NO MONETARY CONTRIBUTION TO THE SETTLEMENT. ALTHOUGH MR. WAGNER WAS THE SUPERVISOR OF THE REGISTERED REPRESENTATIVE ACCUSED OF WRONGDOING, TRADE REVIEW WAS DONE BY THE CORPORATION AT THE CORPORATE HEADQUARTERS AND NOT IN THE BRANCH.



## Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

### Disclosure 1 of 1

<b>Reporting Source:</b>	Individual
<b>Firm Name:</b>	ING FINANCIAL PARTNERS INC.
<b>Termination Type:</b>	Voluntary Resignation
<b>Termination Date:</b>	05/09/2008
<b>Allegations:</b>	SUSPENSION FOR FAILURE TO SUPERVISE ANNUITY SALES.
<b>Product Type:</b>	Annuity-Variable
<b>Broker Statement</b>	I WAS NOT LICENSED TO SUPERVISE VA TRADES AND DID NOT DO SO. ING FINANCIAL PARTNERS WAS RESPONSIBLE FOR ALL TRADE SUPERVISION.



## End of Report

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