



IAPD Report

DARRELL R BEAHON

CRD# 2107480

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

DARRELL R BEAHON (CRD# 2107480)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/10/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	MILLENNIAL ADVISERS, LLC.	CRD# 170847	01/27/2020

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	ALLIED MILLENNIAL PARTNERS, LLC	16569	Warren, PA	07/26/2018 - 05/19/2023
IA	MILLENNIAL ADVISERS, LLC.	170847	NEW YORK, NY	08/29/2018 - 12/31/2019
IA	INVESTMENT ADVISORS	15708	WARREN, PA	08/10/2017 - 07/24/2018

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3
Termination	1



Qualifications


REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.

This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **MILLENNIAL ADVISERS, LLC.**
Main Address: THREE WORLD FINANCIAL
200 VESEY STREET 24TH FLOOR
NEW YORK, NY 10281
Firm ID#: 170847

	Regulator	Registration	Status	Date
	Pennsylvania	Investment Adviser Representative	Approved	08/07/2020

Branch Office Locations

MILLENNIAL ADVISERS, LLC.
315 Second Ave
Warren, PA 16365



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	04/15/2003
B	General Securities Sales Supervisor - General Module Examination (S10)	Series 10	03/25/2003

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	11/26/1990

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	01/31/1991
B	Uniform Securities Agent State Law Examination (S63)	Series 63	12/04/1990



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	07/26/2018 - 05/19/2023	ALLIED MILLENNIAL PARTNERS, LLC	CRD# 16569	Warren, PA
IA	08/29/2018 - 12/31/2019	MILLENNIAL ADVISERS, LLC.	CRD# 170847	NEW YORK, NY
IA	08/10/2017 - 07/24/2018	INVESTMENT ADVISORS	CRD# 15708	WARREN, PA
B	08/10/2017 - 07/24/2018	PROEQUITIES, INC.	CRD# 15708	WARREN, PA
IA	09/30/2016 - 08/18/2017	STIFEL, NICOLAUS & COMPANY, INCORPORATED	CRD# 793	OLEAN, NY
B	03/10/2009 - 08/18/2017	STIFEL, NICOLAUS & COMPANY, INCORPORATED	CRD# 793	OLEAN, NY
B	11/30/2005 - 03/10/2009	BUTLER, WICK & CO., INC.	CRD# 120	WARREN, PA
B	01/17/2003 - 12/20/2005	ADVEST, INC.	CRD# 10	WARREN, PA
B	04/21/1999 - 01/21/2003	J.J.B. HILLIARD, W.L. LYONS, INC.	CRD# 453	LOUISVILLE, KY
B	12/23/1994 - 04/21/1999	PNC BROKERAGE CORP	CRD# 34671	PITTSBURGH, PA
B	01/17/1994 - 12/23/1994	PNC SECURITIES CORP	CRD# 15647	PITTSBURGH, PA
B	01/01/1991 - 04/14/1993	WHEAT, FIRST SECURITIES, INC.	CRD# 6124	CHARLOTTE, NC
B	11/27/1990 - 01/01/1991	BUTCHER & SINGER INC.	CRD# 6517	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2021 - Present	Beahon Financial, LLC	President/CFO	N	Warren, PA, United States
01/2020 - Present	Millennial Advisers, LLC	Investment Adviser Representative	Y	New York, NY, United States



Registration & Employment History



EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2017 - Present	The Financial Edge, LLC.-DBA	Financial Advisor/CEO	Y	Warren, PA, United States
07/2018 - 05/2023	Allied Millennial Partners, LLC	Registered Representative	Y	New York, NY, United States
08/2017 - 07/2018	PROEQUITIES, INC.	REGISTERED REPRESENTATIVE	Y	WARREN, PA, United States
03/2009 - 08/2017	STIFEL, NICOLAUS & COMPANY, INCORPORATED	FINANCIAL ADVISOR	Y	WARREN, PA, United States



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) DARRELL BEAHON; NOT INVESTMENT-RELATED; RENTAL PROPERTY; PA; RENTAL PROPERTIES; OWNER; TWO RENTAL PROPERTIES RESIDENTIAL.

2) DARRELL BEAHON; NOT INVESTMENT-RELATED; HORSE BOARDING AND LESSONS; OWNER; BOARDING AND LESSON FACILITY ON PROPERTY; MY WIFE HAS A HORSE BOARDING AND LESSON FACILITY ON OUR PROPERTY (ALLEGHENY RIVER RANCH).

3) THE FINANCIAL EDGE, LLC - DBA; INVESTMENT-RELATED; 315 2ND AVENUE, SUITE 203, WARREN, PA 16365; SECURITIES; FINANCIAL ADVISOR/CEO; START DATE: 08/2017; 160 HOURS PER MONTH; 160 HOURS PER MONTH DURING SECURITIES TRADING; STOCKS, BONDS, MUTUAL FUNDS, UIT, ETF, ANNUITIES, RETIREMENT PLANNING, AND INVESTMENT ADVISE.

4) BEAHON FINANCIAL, LLC; NOT INVESTMENT-RELATED; PRESIDENT/CFO; START DATE 06/2021; ZERO HOURS. FOR TAX PURPOSES.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3
Termination	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: ProEquities, Inc.

Allegations: Court-appointed personal representative for deceased client alleges that the registered representative took advantage of the client, who was not competent, in opening the account and conducted discretionary trading. Allegations also include unauthorized trading after the representative became aware of the client's death.

Product Type: Equity Listed (Common & Preferred Stock)

Alleged Damages: \$13,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 07/20/2018

Complaint Pending? No

Status: Settled

Status Date: 10/15/2018

Settlement Amount: \$12,525.00



Individual Contribution Amount: \$0.00

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Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: ProEquities, Inc

Allegations: A court-appointed personal representative for deceased client alleges that the registered representative took advantage of the client, who was not competent, in opening the account and conducted discretionary trading. Allegations also include unauthorized trading after the representative became aware of the client's death.

Product Type: Equity Listed (Common & Preferred Stock)

Alleged Damages: \$13,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 07/20/2018

Complaint Pending? No

Status: Settled

Status Date: 10/15/2018

Settlement Amount: \$12,525.00

Individual Contribution Amount: \$0.00

Broker Statement

The registered representative was not listed as a party to the complaint and had no opportunity to present evidence in his defense. The registered representative had served as the broker for the customer's father for many years. After the father passed away, the customer and his brother inherited their father's estate and both sought to open accounts with a registered representative. The customer and his brother met with a registered representative and discussed a plan of investment. The Customer was competent at the time of opening of his account and gave the registered representative price and time discretion to execute the trades proposed in the plan of investment. Both the customer and the registered representative's former employer signed off on the on the plan and its suitability for the customer, and the customer received confirmations of the trades as they took place and never raised an issue. After the customer's death, a state-appointed executor raised the issues of competence and unauthorized trading. The allegations of unauthorized trading in the customer account's after his death resulted from one trade that was accidentally placed in the customer's account instead of an account of a similar name. As soon as the registered representative became aware of the mistake he offered to rescind the trade.

Disclosure 2 of 3

Reporting Source: Individual



Employing firm when activities occurred which led to the complaint: ADVEST, INC.

Allegations: ALLEGED UNAUTHORIZED TRADING RECOMMENDED UNSUITABLE INVESTMENTS

Product Type: Other

Other Product Type(s): IRA ACCOUNT

Alleged Damages: \$5,000.00

Customer Complaint Information

Date Complaint Received: 03/12/2004

Complaint Pending? No

Status: Denied

Status Date: 04/01/2004

Settlement Amount: \$0.00

Individual Contribution Amount: \$0.00

Disclosure 3 of 3

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: PNC BROKERAGE CORP

Allegations: CUSTOMER EXPRESSED DISSATISFACTION WITH THE SALES PRACTICES OF AGENT BEAHON. SPECIFICALLY, CUSTOMER ALLEGED AGENT BEAHON MISREPRESENTED THE FEES AND INTEREST RATE OF A UNIT INVESTMENT TRUST.

Product Type: Unit Investment Trust(s)

Alleged Damages: \$5,107.00

Customer Complaint Information

Date Complaint Received: 08/20/2002

Complaint Pending? No

Status: Denied

Status Date: 09/13/2002

Settlement Amount: \$0.00

Individual Contribution Amount: \$0.00



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source: Firm

Firm Name: PROEQUITIES, INC.

Termination Type: Discharged

Termination Date: 07/23/2018

Allegations: Representative exercised discretion in client brokerage account and entered trade after client's date of death in violation of firm policy.

Product Type: Equity Listed (Common & Preferred Stock)
Mutual Fund

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Reporting Source: Individual

Firm Name: ProEquities, Inc.

Termination Type: Discharged

Termination Date: 07/23/2018

Allegations: Representative exercised discretion in client brokerage account and entered a trade after client's date of death in violation of firm policy.

Product Type: Equity Listed (Common & Preferred Stock)



End of Report

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