



## IAPD Report

# JERRY PAUL HURT

CRD# 2139646

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



### Report Summary

#### JERRY PAUL HURT (CRD# 2139646)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/27/2026**.

#### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	MUTUAL OF OMAHA INVESTOR SERVICES, INC.	CRD# 611	01/11/2018
IA	MUTUAL OF OMAHA INVESTOR SERVICES, INC.	CRD# 611	01/11/2018

#### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **47** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

#### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	AMERITAS INVESTMENT CORP	14869	OVERLAND PARK, KS	10/26/2015 - 06/20/2017
B	AMERITAS INVESTMENT CORP.	14869	OVERLAND PARK, KS	10/13/2015 - 06/20/2017
B	NEW ENGLAND SECURITIES	615	ST. LOUIS, MO	10/22/2012 - 02/19/2014

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

#### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Customer Dispute	1
Termination	1



## Report Summary



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **47** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **MUTUAL OF OMAHA INVESTOR SERVICES, INC.**  
Main Address: 3300 MUTUAL OF OMAHA PLAZA  
OMAHA, NE 68175-1020  
Firm ID#: 611

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	General Securities Principal	Approved	01/11/2018
<b>B</b>	FINRA	General Securities Representative	Approved	01/11/2018
<b>B</b>	FINRA	General Securities Sales Supervisor	Approved	01/11/2018
<b>B</b>	FINRA	Invest. Co and Variable Contracts	Approved	01/11/2018
<b>B</b>	Alabama	Agent	Approved	10/23/2023
<b>B</b>	Alaska	Agent	Approved	11/06/2019
<b>B</b>	Arizona	Agent	Approved	07/05/2019
<b>IA</b>	Arizona	Investment Adviser Representative	Approved	07/24/2019
<b>B</b>	Arkansas	Agent	Approved	06/06/2023
<b>B</b>	California	Agent	Approved	10/04/2019
<b>B</b>	Colorado	Agent	Approved	10/04/2019
<b>B</b>	Connecticut	Agent	Approved	10/25/2023
<b>B</b>	Florida	Agent	Approved	10/04/2019



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> Georgia	Agent	Approved	10/25/2023
<b>B</b> Hawaii	Agent	Approved	01/02/2024
<b>B</b> Idaho	Agent	Approved	10/08/2019
<b>B</b> Illinois	Agent	Approved	07/14/2021
<b>B</b> Indiana	Agent	Approved	01/28/2026
<b>B</b> Iowa	Agent	Approved	01/27/2026
<b>B</b> Kansas	Agent	Approved	01/22/2018
<b>B</b> Kentucky	Agent	Approved	01/30/2026
<b>B</b> Louisiana	Agent	Approved	01/27/2026
<b>B</b> Maine	Agent	Approved	10/25/2023
<b>B</b> Maryland	Agent	Approved	10/25/2023
<b>B</b> Michigan	Agent	Approved	10/07/2019
<b>B</b> Minnesota	Agent	Approved	10/23/2023
<b>B</b> Mississippi	Agent	Approved	02/02/2026
<b>B</b> Missouri	Agent	Approved	05/06/2021
<b>B</b> Montana	Agent	Approved	10/23/2023
<b>B</b> Nebraska	Agent	Approved	01/11/2018
<b>IA</b> Nebraska	Investment Adviser Representative	Approved	01/11/2018
<b>B</b> Nevada	Agent	Approved	10/23/2019



## Qualifications

Regulator	Registration	Status	Date
<b>B</b> New Hampshire	Agent	Approved	10/25/2023
<b>B</b> New Jersey	Agent	Approved	10/23/2023
<b>B</b> New Mexico	Agent	Approved	07/02/2019
<b>B</b> New York	Agent	Approved	07/20/2021
<b>B</b> North Carolina	Agent	Approved	10/04/2019
<b>B</b> North Dakota	Agent	Approved	10/30/2023
<b>B</b> Ohio	Agent	Approved	10/03/2019
<b>B</b> Oklahoma	Agent	Approved	10/04/2019
<b>B</b> Oregon	Agent	Approved	10/24/2019
<b>B</b> Pennsylvania	Agent	Approved	10/24/2023
<b>B</b> Puerto Rico	Agent	Approved	03/19/2026
<b>B</b> South Carolina	Agent	Approved	01/27/2022
<b>B</b> South Dakota	Agent	Approved	05/24/2021
<b>B</b> Tennessee	Agent	Approved	10/24/2023
<b>B</b> Texas	Agent	Approved	10/03/2019
<b>B</b> Utah	Agent	Approved	11/15/2023
<b>B</b> Virginia	Agent	Approved	05/12/2021
<b>B</b> Washington	Agent	Approved	10/03/2019
<b>B</b> West Virginia	Agent	Approved	02/03/2022



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> Wisconsin	Agent	Approved	10/24/2023
<b>B</b> Wyoming	Agent	Approved	10/30/2023

### Branch Office Locations

**MUTUAL OF OMAHA INVESTOR SERVICES, INC.**  
3200 EAST CAMELBACK ROAD  
SUITE 190  
PHOENIX, AZ 85018







## Qualifications

### PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 4 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.**



#### Principal/Supervisory Exams

Exam	Category	Date
 General Securities Sales Supervisor - General Module Examination (S10)	Series 10	01/02/2023
 General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	01/02/2023
 General Securities Principal Examination (S24)	Series 24	12/05/2001
 General Securities Sales Supervisor Examination (Options Module & General Module) (S8)	Series 8	09/01/1998

#### General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	03/11/1998
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	06/10/1991

#### State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	08/09/1996
 Uniform Securities Agent State Law Examination (S63)	Series 63	06/10/1991



## PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **2** professional designation(s).

Certified Financial Planner

Chartered Financial Consultant

This representative holds or did hold **2** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/26/2015 - 06/20/2017	AMERITAS INVESTMENT CORP	CRD# 14869	OVERLAND PARK, KS
B	10/13/2015 - 06/20/2017	AMERITAS INVESTMENT CORP.	CRD# 14869	OVERLAND PARK, KS
B	10/22/2012 - 02/19/2014	NEW ENGLAND SECURITIES	CRD# 615	ST. LOUIS, MO
IA	10/22/2012 - 02/19/2014	NEW ENGLAND SECURITIES CORPORATION	CRD# 615	ST. LOUIS, MO
IA	02/22/1999 - 02/19/2014	METLIFE SECURITIES INC.	CRD# 14251	ST. LOUIS, MO
B	06/12/1991 - 02/19/2014	METLIFE SECURITIES INC.	CRD# 14251	ST. LOUIS, MO
B	06/12/1991 - 07/09/2007	METROPOLITAN LIFE INSURANCE COMPANY	CRD# 4095	CHESTERFIELD, MO

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2019 - Present	Mutual of Omaha	Managing Director	Y	Pheonix, AZ, United States
05/2014 - Present	OZARK CHARTERS	CAPTAIN	N	SUNRISE BEACH, MO, United States
01/2018 - 07/2019	Mutual of Omaha	Regional Sales Director	Y	Omaha, NE, United States
09/2015 - 12/2017	CREATIVE ONE	BROKER	Y	LEAWOOD, KS, United States
04/2015 - 12/2017	AMERITAS LIFE INSURANCE CORP	AGENT	Y	LINCOLN, NE, United States
02/2014 - 12/2017	Business Consultant	Owner/SOLE PROPRIETOR	N	Stillwell, KS, United States
05/2016 - 11/2017	Foundational Financial Partners, LLC	President	Y	Overland Park, KS, United States
08/2015 - 11/2017	TAX FAVORED BENEFITS	SENIOR VICE PRESIDENT	Y	OVERLAND PARK, KS, United States



## Registration & Employment History

### EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2015 - 05/2017	AMERITAS INVESTMENT CORP	REGISTERED REPRESENTATIVE/IA R	Y	LINCOLN, NE, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Insurance Agent - Start Date: 07/2019- Non-investment Related - Insurance lines of business: Life, Health & Annuity - Hours/per week during trading hours: 10

Treasurer or Sunrise Condo Community in Scottsdale. Do not handle any money for the organization, just review the budget for approval by the board. 2/1/2023-Present

Mutual of Omaha Mortgage Lead Incentive Program; not investment related. Less than 1/hr per week. Submit qualified forward/reverse mortgage leads to Mutual of Omaha Mortgage when a customer indicates a potential need for these services.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Customer Dispute	1
Termination	1

### Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

#### Disclosure 1 of 2

<b>Reporting Source:</b>	Individual
<b>Regulatory Action Initiated By:</b>	COMMONWEALTH OF KENTUCKY DEPARTMENT OF INSURANCE
<b>Sanction(s) Sought:</b>	Revocation
<b>Date Initiated:</b>	06/19/2014
<b>Docket/Case Number:</b>	743812 FILE 2014-0116
<b>Employing firm when activity occurred which led to the regulatory action:</b>	METROPOLITAN LIFE INSURANCE COMPANY
<b>Product Type:</b>	No Product
<b>Allegations:</b>	RR DID NOT RESPOND TO KENTUCKY DEPARTMENT OF INSURANCE'S INQUIRY FROM APRIL 8,2014 AND MAY 8, 2014
<b>Current Status:</b>	Final
<b>Resolution:</b>	Order
<b>Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?</b>	Yes
<b>Resolution Date:</b>	06/19/2014



**Sanctions Ordered:** Revocation  
**Sanction 1 of 1**  
**Sanction Type:** Bar (Temporary/Time Limited)  
**Capacities Affected:** ALL CAPACITIES  
**Duration:** ONE YEAR  
**Start Date:** 06/19/2014  
**End Date:** 06/18/2015

**Disclosure 2 of 2**

**Reporting Source:** Individual  
**Regulatory Action Initiated By:** NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES  
**Sanction(s) Sought:** Other: STIPULATION - SURRENDERING LICENSE  
**Date Initiated:** 03/04/2015  
**Docket/Case Number:** 2015-0036-05  
**Employing firm when activity occurred which led to the regulatory action:** METROPOLITAN LIFE INSURANCE COMPANY  
**Product Type:** No Product  
**Allegations:** FAILED TO NOTIFY THE NEW YORK DEPARTMENT OF INSURANCE WITHIN 30 DAYS THAT HE WAS THE SUBJECT OF AN ADMINISTRATIVE ACTION BY THE KENTUCKY DEPARTMENT OF INSURANCE. FAILED TO DISCLOSE THAT HE WAS NAMED AS A PARTY TO AN A FINRA ARBITRATION PROCEEDING.  
**Current Status:** Final  
**Resolution:** Stipulation and Consent  
**Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?** No  
**Resolution Date:** 03/04/2015  
**Sanctions Ordered:** Other: STIPULATION SURRENDERING LICENSE



## Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

### Disclosure 1 of 1

<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	METLIFE
<b>Allegations:</b>	CUSTOMER ALLEGED THAT WHEN HE PURCHASED A VARIABLE ANNUITY IN JANUARY 2008 THE REPRESENTATIVE DID NOT EXPLAIN THE VALUE WAS RELATED TO STOCK MARKET PERFORMANCE AND HE WAS LED TO BELIEVE THERE WAS NO RISK INVOLVED. NO SPECIFIC COMPENSATORY DAMAGES WERE ALLEGED.
<b>Product Type:</b>	Annuity-Variable
<b>Alleged Damages:</b>	\$0.00
<b>Alleged Damages Amount Explanation (if amount not exact):</b>	NOT SPECIFIED
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	Yes
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	No

## Customer Complaint Information

<b>Date Complaint Received:</b>	02/09/2009
<b>Complaint Pending?</b>	No
<b>Status:</b>	Evolved into Arbitration/CFTC reparation (the individual is a named party)
<b>Status Date:</b>	04/13/2010
<b>Settlement Amount:</b>	

**Individual Contribution Amount:**

## Arbitration Information

<b>Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):</b>	FINRA
<b>Docket/Case #:</b>	10-01574
<b>Date Notice/Process Served:</b>	04/13/2010
<b>Arbitration Pending?</b>	No
<b>Disposition:</b>	Settled
<b>Disposition Date:</b>	09/12/2011



<b>Monetary Compensation Amount:</b>	\$14,999.00
<b>Individual Contribution Amount:</b>	\$7,499.50



## Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

### Disclosure 1 of 1

**Reporting Source:** Firm  
**Firm Name:** METLIFE  
**Termination Type:** Discharged  
**Termination Date:** 01/22/2014  
**Allegations:** REGISTERED REPRESENTATIVE DID NOT FOLLOW FIRM POLICY WITH RESPECT TO BUSINESS EXPENSE REIMBURSEMENTS AND SALES CONTESTS. (NON-SECURITIES RELATED)  
**Product Type:** No Product

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**Reporting Source:** Individual  
**Firm Name:** METLIFE SECURITIES  
**Termination Type:** Discharged  
**Termination Date:** 01/22/2014  
**Allegations:** FAILURE TO FOLLOW COMPANY POLICY RELATED TO EXPENSE REIMBURSEMENT AND SALES CONTESTS  
**Product Type:** No Product  
**Broker Statement** INVESTIGATED BY FINRA. NO FURTHER ACTION TAKEN



## End of Report

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