



IAPD Report

ROBERT WAYNE BRADLEY

CRD# 2153693

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ROBERT WAYNE BRADLEY (CRD# 2153693)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/09/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LPL FINANCIAL LLC	CRD# 6413	02/03/2004
B	VISIONS ANALYSIS LLC	CRD# 286678	10/13/2017
IA	LPL FINANCIAL LLC	CRD# 6413	08/03/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **27** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	LESKO SECURITIES INC.	10091	VESTAL, NY	07/27/1998 - 02/05/2004
B	THE CONCORD EQUITY GROUP, LLC	14569	ISELIN, NJ	12/20/1997 - 07/24/1998
B	LESKO SECURITIES INC.	10091	VESTAL, NY	06/20/1991 - 12/20/1997

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **27** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **LPL FINANCIAL LLC**
Main Address: 1055 LPL WAY
FORT MILL, SC 29715
Firm ID#: 6413

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	02/03/2004
B	FINRA	Investment Co./Variable Contracts Prin	Approved	02/03/2004
B	FINRA	General Securities Principal	Approved	03/30/2004
B	Alabama	Agent	Approved	09/22/2018
B	Arizona	Agent	Approved	02/09/2012
B	California	Agent	Approved	02/03/2004
B	Colorado	Agent	Approved	09/02/2020
B	Delaware	Agent	Approved	03/21/2012
B	Florida	Agent	Approved	02/03/2004
B	Georgia	Agent	Approved	05/03/2013
B	Indiana	Agent	Approved	09/02/2020
B	Maine	Agent	Approved	05/08/2020
B	Maryland	Agent	Approved	07/22/2021



Qualifications

Regulator	Registration	Status	Date
B Massachusetts	Agent	Approved	09/29/2004
B Michigan	Agent	Approved	07/21/2025
B Minnesota	Agent	Approved	02/23/2023
B New Hampshire	Agent	Approved	09/02/2020
B New Jersey	Agent	Approved	02/03/2012
B New York	Agent	Approved	02/03/2004
IA New York	Investment Adviser Representative	Approved	08/03/2021
B North Carolina	Agent	Approved	11/24/2008
B North Dakota	Agent	Approved	09/02/2020
B Ohio	Agent	Approved	10/26/2012
B Oregon	Agent	Approved	01/28/2026
B Pennsylvania	Agent	Approved	02/03/2004
B Rhode Island	Agent	Approved	05/17/2018
B South Carolina	Agent	Approved	09/04/2012
B Texas	Agent	Approved	05/01/2023
B Vermont	Agent	Approved	10/24/2023
B Virginia	Agent	Approved	11/10/2008
B Washington	Agent	Approved	12/11/2017

Branch Office Locations



Qualifications

LPL FINANCIAL LLC
24 MCKINLEY AVE
ENDICOTT, NY 13760

LPL FINANCIAL LLC
1630 COUNTY RD 64, UNIT G-6
VISIONS FEDERAL CREDIT UNION
HORSEHEADS, NY 13760

LPL FINANCIAL LLC
7198 STATE ROUTE 38
VISIONS FEDERAL CREDIT UNION
NEWARK VALLEY, NY 13760

LPL FINANCIAL LLC
93 ORCHARD STREET
SPENCER, NY 14883

LPL FINANCIAL LLC
VISIONS FEDERAL CREDIT UNION
5411 STATE HWY RTE 12
NORWICH, NY 13815

LPL FINANCIAL LLC
26 DAY HOLLOW RD
OWEGO, NY 13827

LPL FINANCIAL LLC
1801 STATE RTE 17C-BODLE HILL
OWEGO, NY 13827

LPL FINANCIAL LLC
8836 ROUTE 434
APALACHIN, NY 13732

LPL FINANCIAL LLC
803 N ELMIRA ST
VISIONS FEDERAL CREDIT UNION
SAYRE, PA 18840

LPL FINANCIAL LLC
BRADFORD TOWNE CENTER RR#6
VISIONS FEDERAL CREDIT UNION
TOWANDA, PA 18848

LPL FINANCIAL LLC
2531 VESTAL PARKWAY EAST
VESTAL, NY 13850

LPL FINANCIAL LLC
VISIONS FEDERAL CREDIT UNION
49 COURT ST
BINGHAMTON, NY 13901

LPL FINANCIAL LLC
500 ERIE BLVD. WEST
SYRACUSE, NY 13204

LPL FINANCIAL LLC
4700 VESTAL PARKWAY EAST
VESTAL, NY 13850

LPL FINANCIAL LLC
3301 COUNTRY CLUB RD
ENDWELL, NY 13760

Employment 2 of 2

Firm Name: **VISIONS ANALYSIS LLC**
Main Address: 24 MCKINLEY AVENUE
ENDICOTT, NY 13760
Firm ID#: 286678

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	10/13/2017
B FINRA	General Securities Representative	Approved	10/13/2017
B FINRA	Investment Co./Variable Contracts Prin	Approved	10/13/2017



Qualifications

Regulator	Registration	Status	Date
B New York	Agent	Approved	10/13/2017

Branch Office Locations

VISIONS ANALYSIS LLC
24 MCKINLEY AVENUE
ENDICOTT, NY 13760

VISIONS ANALYSIS LLC
24 MCKINLEY AVENUE
ENDICOTT, NY 13760





Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	03/29/2004
 Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	01/08/2001

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	03/05/1996
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	06/19/1991

State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	12/06/1999
 Uniform Securities Agent State Law Examination (S63)	Series 63	06/19/1991

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities



Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	07/27/1998 - 02/05/2004	LESKO SECURITIES INC.	CRD# 10091	VESTAL, NY
B	12/20/1997 - 07/24/1998	THE CONCORD EQUITY GROUP, LLC	CRD# 14569	ISELIN, NJ
B	06/20/1991 - 12/20/1997	LESKO SECURITIES INC.	CRD# 10091	VESTAL, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2017 - Present	Visions Analysis LLC	Registered Representative	Y	Endicott, NY, United States
04/2004 - Present	VISIONS FEDERAL CREDIT UNION	LPL FINANCIAL CONSULTANT	N	ENDICOTT, NY, United States
02/2004 - Present	LPL FINANCIAL LLC (f.k.a. LINSICO/PRIVATE LEDGER CORP)	REGISTERED REPRESENTATIVE	Y	ENDICOTT, NY, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 01/26/2004 - VISIONS INVESTMENT SERVICES - Investment Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business).
- 11/7/2017 - Visions Analysis - Not Investment Related - At Reported Business Location(s) - Business Entity For Tax/Investment Purposes Only - Started 09/01/2017 - 160 Hours Per Month During Securities Trading.
- 01/05/2024 - Visions Wealth Management - Inv Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business) - Started: 12/11/2023 - 0 Hrs/Mo.
- 07/31/2025 - Airport Inn LLC - Outside/W-2 Employment - Binghamton ny - Not Inv Rel - Start date: 08/01/2025 - 12Hrs/mnth



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	LPL FINANCIAL LLC
Allegations:	RECOMMENDED INVESTMENTS INCONSISTENT WITH INVESTOR'S "GROWTH AND INCOME" OBJECTIVE. ACTIVITY PERIOD: MARCH 2014 TO PRESENT.
Product Type:	Real Estate Security Other: BDCs
Alleged Damages:	\$200,000.00
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	20-03622
Filing date of arbitration/CFTC reparation or civil litigation:	10/23/2020

Customer Complaint Information

Date Complaint Received:	10/26/2020
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Complaint Pending?	No
Status:	Settled
Status Date:	05/24/2022
Settlement Amount:	\$27,500.00
Individual Contribution Amount:	\$0.00
Broker Statement	<p>The customer's claims were determined to be frivolous and meritless. An investigation determined that Robert Bradley, who has received no customer complaints (aside from this one) in his more than thirty years as a financial advisor, handled this customer and her investments exactly as he should have. Because he did nothing wrong, Mr. Bradley was not asked to make any contribution to the settlement reported above. It was paid entirely by LPL. LPL reluctantly decided to pay a small settlement solely to avoid significantly higher legal costs that it would have incurred to defend this baseless claim at trial.</p>



End of Report

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