



IAPD Report

Michael J Iacampo

CRD# 2166371

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4 - 5
Disclosure Information	6



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Michael J Iacampo (CRD# 2166371)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **09/15/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	NAPLES WEALTH PLANNING	CRD# 330316	07/01/2024

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	AMERICAN GLOBAL WEALTH MANAGEMENT, 7388 INC.		NAPLES, FL	10/13/2023 - 09/15/2025
IA	IMPRINT WEALTH LLC	322130	Naples, FL	07/01/2024 - 09/30/2024
IA	IMPRINT WEALTH LLC	322130	Naples, FL	09/07/2023 - 06/17/2024

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2
Termination	1
Financial	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.

This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **NAPLES WEALTH PLANNING**
Main Address: 3301 BONITA BEACH ROAD
SUITE 308
BONITA SPRINGS, FL 34103
Firm ID#: 330316

	Regulator	Registration	Status	Date
	Florida	Investment Adviser Representative	Approved - Pending IAR CE	01/01/2026

Branch Office Locations

NAPLES WEALTH PLANNING
3301 BONITA BEACH ROAD
SUITE 308
BONITA SPRINGS, FL 34103



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B National Commodity Futures Examination (S3)	Series 3	10/25/1991
B General Securities Representative Examination (S7)	Series 7	10/14/1991

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	08/04/1992
B Uniform Securities Agent State Law Examination (S63)	Series 63	12/10/1991



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	10/13/2023 - 09/15/2025	AMERICAN GLOBAL WEALTH MANAGEMENT, INC.	CRD# 7388	NAPLES, FL
IA	07/01/2024 - 09/30/2024	IMPRINT WEALTH LLC	CRD# 322130	Naples, FL
IA	09/07/2023 - 06/17/2024	IMPRINT WEALTH LLC	CRD# 322130	Naples, FL
IA	04/19/2021 - 08/04/2023	LAIDLAW WEALTH MANAGEMENT LLC	CRD# 150040	Naples, FL
B	01/19/2021 - 08/04/2023	LAIDLAW & COMPANY (UK) LTD.	CRD# 119037	LONDON, UNITED KING
B	09/30/2011 - 12/02/2020	WELLS FARGO CLEARING SERVICES, LLC	CRD# 19616	NAPLES, FL
IA	09/30/2011 - 12/02/2020	WELLS FARGO CLEARING SERVICES, LLC	CRD# 19616	NAPLES, FL
B	10/29/2008 - 10/03/2011	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	BONITA SPRINGS, FL
IA	10/29/2008 - 10/03/2011	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	BONITA SPRINGS, FL
B	04/02/2007 - 10/30/2008	MORGAN STANLEY & CO. INCORPORATED	CRD# 8209	SANTA MONICA, CA
IA	04/02/2007 - 10/30/2008	MORGAN STANLEY & CO. INCORPORATED	CRD# 8209	SANTA MONICA, CA
IA	07/03/2003 - 04/02/2007	MORGAN STANLEY	CRD# 7556	SANTA MONICA, CA
B	07/03/2003 - 04/02/2007	MORGAN STANLEY DW INC.	CRD# 7556	SANTA MONICA, CA
IA	01/17/2003 - 07/25/2003	CREDIT SUISSE FIRST BOSTON	CRD# 816	LOS ANGELES, CA
B	01/17/2003 - 07/25/2003	CREDIT SUISSE FIRST BOSTON LLC	CRD# 816	NEW YORK, NY
IA	12/01/1994 - 01/17/2003	DONALDSON LUFKIN & JENRETTE SECURITIES CORPORATION	CRD# 7560	LOS ANGELES, CA
B	12/01/1994 - 01/17/2003	DONALDSON, LUFKIN & JENRETTE SECURITIES CORPORATION	CRD# 7560	JERSEY CITY, NJ



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	10/16/1991 - 12/02/1994	MORGAN STANLEY & CO., INCORPORATED	CRD# 8209	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2023 - Present	AMERICAN GLOBAL WEALTH MANAGEMENT, INC.	REGISTERED REPRESENTATIVE	Y	MCDONOUGH, GA, United States
07/2023 - Present	IMPRINT WEALTH LLC DBA NAPLES WEALTH PLANNING	MANAGING DIRECTOR	Y	NAPLES, FL, United States
01/2021 - 07/2023	LAIDLAW & COMPANY (UK) LTD	Registered Representative	Y	Naples, FL, United States
01/2021 - 07/2023	Laidlaw Wealth Management DBA Naples Wealth Planning	Investment Advisor Representative	Y	Naples, FL, United States
11/2016 - 11/2020	WELLS FARGO CLEARING SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	NAPLES, FL, United States
09/2011 - 11/2016	WELLS FARGO ADVISORS	REGISTERED REPRESENTATIVE	Y	NAPLES, FL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Michael Iacampo is dually registered with Naples Wealth Planning and American Global Wealth Management, Inc.. Mr. Iacampo has served as an investment advisor representative for Naples Wealth Planning since 01/2021 and a registered representative for American Global Wealth Management since 10/2023. Business is conducted from 1016 Collier Center Way, 201, Naples, FL, 34110. Approximately 90% of Mr. Iacampo's time is for services as an investment advisor representative and the balance as a registered representative.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2
Termination	1
Financial	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: MORGAN STANLEY & CO. INCORPORATED

Allegations: IT IS CLAIMED THAT THE FINANCIAL ADVISOR FAILED TO FOLLOW CUSTOMER'S INSTRUCTIONS GIVEN IN ABOUT JULY/AUGUST 2007 TO INVEST IN LOW RISK INVESTMENTS. DAMAGES UNSPECIFIED, BUT CANNOT CONCLUDE DAMAGES WOULD BE UNDER \$5,000.

Product Type: Mutual Fund(s)

Other Product Type(s): UNIT INVESTMENT TRUSTS

Alleged Damages: \$0.00

Customer Complaint Information

Date Complaint Received: 07/07/2008

Complaint Pending? No

Status: Denied

Status Date: 08/19/2008

Settlement Amount: \$0.00

Individual Contribution Amount: \$0.00

**Disclosure 2 of 2**

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: MORGAN STANLEY DW IC.

Allegations: CLIENT ALLEGES, INTER ALIA, THAT CERTAIN FEES AND COMMISSIONS RELATED TO UNSOLICITED SALES OF MUTUAL FUND SHARES WERE EXCESSIVE. A GOOD FAITH ESTIMATE OF THE CLAIMED DAMAGES IS \$10,000.

Product Type: Mutual Fund(s)

Alleged Damages: \$10,000.00

Customer Complaint Information

Date Complaint Received: 08/30/2004

Complaint Pending? No

Status: Denied

Status Date: 10/27/2004

Settlement Amount:

Individual Contribution Amount:

Broker Statement MORGAN STANLEY AND MR. IACAMPO STRONGLY DENY THE CLIENT'S ALLEGATION. ACCORDING TO MR. IACAMPO, THE CUSTOMER WAS APPRISED OF THE FEES AND COMMISSIONS ASSOCIATED WITH HER INVESTMENTS.



Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source: Firm

Firm Name: Wells Fargo Clearing Services, LLC.

Termination Type: Discharged

Termination Date: 11/02/2020

Allegations: The Firm lost confidence in and discharged a Financial Advisor after, among other reasons, the Financial Advisor invested a client's Wells Fargo Bank, N.A. mortgage proceeds into a Firm brokerage account, sent outgoing communications to Firm clients without obtaining approval, and the Firm had concerns about an outside business activity.

Product Type: No Product

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Reporting Source: Individual

Firm Name: Wells Fargo Clearing Services, LLC.

Termination Type: Discharged

Termination Date: 11/02/2020

Allegations: The Firm lost confidence in and discharged a Financial Advisor after, among other reasons, the Financial Advisor invested a client's Wells Fargo Bank, N.A. mortgage proceeds into a Firm brokerage account, sent outgoing communications to Firm clients without obtaining approval, and the Firm had concerns about an outside business activity.

Product Type: No Product

Broker Statement Investment advisor disagrees with Wells Fargo's termination of him and denies the reasons listed by Wells Fargo.



Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

Disclosure 1 of 1

Reporting Source: Individual
Action Type: Bankruptcy
Bankruptcy: Chapter 7
Action Date: 09/20/2024

Organization Investment-Related?

Type of Court: Federal Court
Name of Court: THE U.S. BANKRUPTCY COURT
Location of Court: MIDDLE DISTRICT OF FLORIDA
Docket/Case #: #2:24-BK-01431-FMD
Action Pending? Yes

Broker Statement

I was forced to declare Chapter 7 bankruptcy after becoming insolvent due to my former firm filing a FINRA Arbitration claim against me, seeking repayment on five promissory notes after wrongfully terminating me and causing severe economic harm.



End of Report

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