



IAPD Report

CHARLES LOUIS OUIMETTE

CRD# 2170929

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

CHARLES LOUIS OUIMETTE (CRD# 2170929)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/06/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	PACKERLAND BROKERAGE SERVICES, INC.	CRD# 37031	01/18/2006
IA	PACKERLAND BROKERAGE SERVICES, INC.	CRD# 37031	01/18/2006

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **8** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	MARATHON ADVISORS, INC	113696	MINOCQUA, WI	10/15/2001 - 12/31/2007
B	CRI SECURITIES, LLC	22589	ST. PAUL, MN	08/01/2001 - 01/18/2006
B	SECURIAN FINANCIAL SERVICES, INC.	15296	ST. PAUL, MN	07/29/1992 - 01/18/2006

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 8 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **PACKERLAND BROKERAGE SERVICES, INC.**

Main Address: 432 SECURITY BLVD.
STE. 101
GREEN BAY, WI 54313-9709

Firm ID#: 37031

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	01/18/2006
B	FINRA	Invest. Co and Variable Contracts	Approved	01/18/2006
B	Florida	Agent	Approved	07/29/2008
B	Illinois	Agent	Approved	04/22/2019
B	Michigan	Agent	Approved	01/11/2017
B	Minnesota	Agent	Approved	02/01/2021
IA	Minnesota	Investment Adviser Representative	Approved	02/01/2021
IA	Montana	Investment Adviser Representative	Approved	01/05/2021
B	Utah	Agent	Approved	03/29/2019
IA	Utah	Investment Adviser Representative	Approved	04/22/2020
B	Virginia	Agent	Approved	02/20/2020
IA	Virginia	Investment Adviser Representative	Approved	02/20/2020
B	Wisconsin	Agent	Approved	01/18/2006



Qualifications

Regulator	Registration	Status	Date
IA Wisconsin	Investment Adviser Representative	Approved	01/18/2006

Branch Office Locations

PACKERLAND BROKERAGE SERVICES, INC.
MINOCQUA, WI



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	05/22/2001
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	09/20/1991

State Securities Law Exams

Exam	Category	Date
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Uniform Investment Adviser Law Examination (S65)	Series 65	05/31/1995
Uniform Securities Agent State Law Examination (S63)	Series 63	09/19/1991

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/15/2001 - 12/31/2007	MARATHON ADVISORS, INC	CRD# 113696	MINOCQUA, WI
B	08/01/2001 - 01/18/2006	CRI SECURITIES, LLC	CRD# 22589	ST. PAUL, MN
B	07/29/1992 - 01/18/2006	SECURIAN FINANCIAL SERVICES, INC.	CRD# 15296	ST. PAUL, MN
B	01/10/1995 - 04/21/1997	C.R.I. SECURITIES, INC.	CRD# 22589	ST. PAUL, MN
B	09/23/1991 - 07/29/1992	FORTIS INVESTORS, INC.	CRD# 421	OAKDALE, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2006 - Present	PACKERLAND BROKERAGE SERVICES, INC	REGISTERED REPRESENTATIVE	Y	GREEN BAY, WI, United States
06/1992 - Present	MINNESOTA LIFE INSURANCE COMPANY	AGENT	Y	ST. PAUL, MN, United States
04/1989 - Present	WISCONSIN BENEFIT PLANNING INC	OWNER	N	MINOCQUA, WI, United States
09/1980 - Present	MINOCQUA FIRE DEPARTMENT	VOLUNTEER FIREMAN	N	MINOCQUA, WI, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

WISCONSIN BENEFIT PLANNING, 315 E FRONT ST, MINOCQUA, WI. OWNER/PRESIDENT, INSURANCE SALES, LIFE, HEALTH, DI, LTC, DENTAL, VISION, FIXED & INDEXED ANNUITIES, P&C. INVESTMENT RELATED. 40% TIME DEVOTED, START DATE 5/1/89. APPT. WITH VARIOUS COMPANIES.

P&C AND HEALTH INSURANCE IS A FORMAL REFERRAL AGREEMENT WITH ROBERTSON, RYAN AND ASSOC.

INDIVIDUAL TAX PREPARATION, SEASONAL, DUTIES: SUPERVISORY ONLY. 1 HOUR A MONTH TIME DEVOTED. NON-INVESTMENT RELATED.



Registration & Employment History



OTHER BUSINESS ACTIVITIES

MINOCQUA FIRE DEPT-VOLUNTEER FIREMAN 1981-INVOLVED AS NEEDED, NON-INVEST. RELATED.

OUI1 RENTALS LLC
PO BOX 1089
MINOCQUA, WI 54548
RENTAL PROPERTIES WITH SPOUSE
START DATE: 9/14/23
NON-INVESTMENT RELATED

HURLEY HIGHLANDS GAS
510 2ND AVE N
HURLEY, WI 54534
GAS STATION OWNER
NON-INVESTMENT RELATED

HURLEY HIGHLANDS RENTALS LLC
RENTAL PROPERTIES OWNED WITH SPOUSE
PO BOX 1089
MINOCQUA, WI 54548
NON-INVESTMENT RELATED
100 % OWNERSHIP WITH SPOUSE

Legal Shield
One Pre-Paid Way
Ada, Oklahoma 74820
This is a prepaid legal plan, I do not give legal advice and only get paid a referral fee from Legal Shield when client signs up to use Legal Shield's services.
No ownership
Non-investment related



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Individual
Regulatory Action Initiated By:	WISCONSIN DEPT. OF FINANCIAL INSTITUTIONS, DIVISION OF SECURITIES
Sanction(s) Sought:	Suspension
Date Initiated:	02/04/2003
Docket/Case Number:	S-03022-LX
Employing firm when activity occurred which led to the regulatory action:	MARATHON ADVISORS
Product Type:	No Product
Allegations:	RIA FIRM, MARATHON ADVISORS, INC., DID NOT COMPLETE MANDATORY TRANSITION FILING FOR INVESTMENT ADVISOR REPRESENTATIVES IN THE TIME REQUIRED
Current Status:	Final
Resolution:	Order
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	02/06/2003
Sanctions Ordered:	Suspension
Sanction 1 of 1	



Sanction Type: Suspension
Capacities Affected: INVESTMENT ADVISOR REPRESENTATIVE
Duration: 3 DAYS
Start Date: 02/04/2003
End Date: 02/06/2003

Broker Statement REPRESENTATIVE WAS NEVER NOTIFIED OF SUSPENSION, ONLY THE FIRM. STATE OF WI PROVIDED LETTER AND PROOF OF DELIVERY OF ORDER TO THE FIRM ONLY. REPRESENTATIVE SUBMITTED COMPLETE INFORMATION BASED ON INFORMATION RECEIVED FROM THE STATE JUST RECENTLY. OUR FIRM WOULD ASK FOR FINRA TO WAIVE THE \$300 LATE FILING FEE BASED ON COMMUNICATION FROM THE STATE.



End of Report

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