



## IAPD Report

# STEPHEN J LANDA

CRD# 2172148

<b><u>Section Title</u></b>	<b><u>Page(s)</u></b>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4 - 5
Disclosure Information	6

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### STEPHEN J LANDA (CRD# 2172148)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/04/2024**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	MIGHTY OAK MANAGEMENT LLC	CRD# 127645	10/01/2009

### QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **5** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	INVEST FINANCIAL CORPORATION	12984	EASTON, CT	03/11/2005 - 08/28/2015
IA	INVEST FINANCIAL CORPORATION	12984	EASTON, CT	03/11/2005 - 08/28/2015
B	GREENWICH GLOBAL, LLC	37304	WILTON, CT	05/19/2004 - 03/10/2005

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	2
Termination	2
Judgment/Lien	2



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **5** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

#### Employment 1 of 1

Firm Name: **MIGHTY OAK MANAGEMENT LLC**  
Main Address: EASTON, CT  
Firm ID#: 127645

Regulator	Registration	Status	Date
<b>IA</b> Connecticut	Investment Adviser Representative	Approved	10/01/2009
<b>IA</b> Massachusetts	Investment Adviser Representative	Approved	08/16/2016
<b>IA</b> New Mexico	Investment Adviser Representative	Approved	07/18/2016
<b>IA</b> New York	Investment Adviser Representative	Approved	12/13/2022
<b>IA</b> Texas	Investment Adviser Representative	Restricted Approval	10/14/2016

#### Branch Office Locations

**MIGHTY OAK MANAGEMENT LLC**  
EASTON, CT



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

	Exam	Category	Date
	Municipal Fund Securities Principal Examination (S51)	Series 51	09/06/2005
	General Securities Principal Examination (S24)	Series 24	12/02/2001

#### General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	08/28/2015
	General Securities Representative Examination (S7)	Series 7	11/08/1991

#### State Securities Law Exams

	Exam	Category	Date
	Uniform Combined State Law Examination (S66)	Series 66	08/22/2001
	Uniform Securities Agent State Law Examination (S63)	Series 63	10/03/1991

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	03/11/2005 - 08/28/2015	INVEST FINANCIAL CORPORATION	CRD# 12984	EASTON, CT
IA	03/11/2005 - 08/28/2015	INVEST FINANCIAL CORPORATION	CRD# 12984	EASTON, CT
B	05/19/2004 - 03/10/2005	GREENWICH GLOBAL, LLC	CRD# 37304	WILTON, CT
B	12/14/2004 - 03/08/2005	SIG SECURITIES, L.L.C.	CRD# 45915	DALLAS, TX
IA	06/11/2003 - 12/31/2004	MIGHTY OAK MANAGEMENT LLC	CRD# 127645	STAMFORD, CT
IA	02/19/2002 - 05/03/2004	ROYAL ALLIANCE ASSOCIATES, INC.	CRD# 23131	STAMFORD, CT
B	08/24/2000 - 05/03/2004	ROYAL ALLIANCE ASSOCIATES, INC.	CRD# 23131	SCOTTSDALE, AZ
B	11/11/1991 - 09/05/2000	AMERICAN EXPRESS FINANCIAL ADVISORS INC.	CRD# 6363	MINNEAPOLIS, MN
B	11/11/1991 - 09/05/2000	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2014 - Present	Startech	Consulting, Sales	N	Easton, CT, United States
03/2005 - Present	MIGHTY OAK MANAGEMENT LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	STAMFORD, CT, United States



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

STARTECH LLC; NOT INVESTMENT RELATED; PRESIDENT/CEO; PLASMA CONVERTER TECHNOLOGY DEVELOPMENT & SALES; RESTART DATE 9/22/14; 16 HPM/4 HPM DURING TRADING HOURS. 2) MIGHTY OAK MANAGEMENT LLC. INVESTMENT RELATED. 849 BLACK ROCK TURNPIKE, EASTON, CT 06612. FINANCIAL PLANNING & CONSULTING SERVICES. OWNER. IAR REP. START 03/01/02 4 HPM/2 HPM DURING TRADING. Stephen Landa, insurance agent, life, annuity and long term care insurance sales, 1 hpm, 1hpm during trading hours,business address is 849 Black Rock Tpke, Easton, CT 06612



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	2
Termination	2
Judgment/Lien	2

### Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

#### Disclosure 1 of 1

**Reporting Source:** Regulator

**Regulatory Action Initiated By:** FINRA

**Sanction(s) Sought:**

**Date Initiated:** 05/17/2017

**Docket/Case Number:** [2016049485101](#)

**Employing firm when activity occurred which led to the regulatory action:** Invest Financial Corporation

**Product Type:** Mutual Fund

**Allegations:** Without admitting or denying the findings, Landa consented to the sanctions and to the entry of findings that he employed an unsuitable short-term mutual fund trading strategy in the individual account of a customer and the joint account of the customer and another customer. The findings stated that at the time, the customers were both 60 years of age or older, retired, and living on fixed incomes. Also, both of them had conservative investment objectives and moderate risk tolerances. Nevertheless, on occasions, Landa recommended that the customers purchase mutual fund shares and then, shortly thereafter, recommended that they sell the shares. Landa did not have a reasonable basis for believing that such transactions were suitable. Even though mutual funds are intended as longer-term investments, Landa recommended that the customers sell the mutual fund shares after an average holding period of fewer than six months. Also, all of these transactions involved Class A mutual fund shares, which included front-end sales



loads. As a result of these transactions, the customers suffered collective losses of approximately \$18,156.53.

**Current Status:**

Final

**Resolution:**

Acceptance, Waiver & Consent(AWC)

**Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?**

No

**Resolution Date:**

05/17/2017

**Sanctions Ordered:**

Civil and Administrative Penalty(ies)/Fine(s)  
Restitution  
Suspension

**If the regulator is the SEC, CFTC, or an SRO, did the action result in a finding of a willful violation or failure to supervise?**

No

**(1) willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board, or to have been unable to comply with any provision of such Act, rule or regulation?**

**(2) willfully aided, abetted, counseled, commanded, induced, or procured the violation by any person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board? or**



**(3) failed reasonably to supervise another person subject to your supervision, with a view to preventing the violation by such person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any such Acts, or any of the rules of the Municipal Securities Rulemaking Board?**

**Sanction 1 of 1**

<b>Sanction Type:</b>	Suspension
<b>Capacities Affected:</b>	All capacities
<b>Duration:</b>	two months
<b>Start Date:</b>	06/05/2017
<b>End Date:</b>	08/04/2017

**Monetary Sanction 1 of 2**

<b>Monetary Related Sanction:</b>	Restitution
<b>Total Amount:</b>	\$18,156.53
<b>Portion Levied against individual:</b>	\$18,156.53
<b>Payment Plan:</b>	deferred restitution, plus interest
<b>Is Payment Plan Current:</b>	
<b>Date Paid by individual:</b>	
<b>Was any portion of penalty waived?</b>	No

**Amount Waived:**

**Monetary Sanction 2 of 2**

<b>Monetary Related Sanction:</b>	Civil and Administrative Penalty(ies)/Fine(s)
<b>Total Amount:</b>	\$5,000.00
<b>Portion Levied against individual:</b>	\$5,000.00
<b>Payment Plan:</b>	deferred
<b>Is Payment Plan Current:</b>	
<b>Date Paid by individual:</b>	
<b>Was any portion of penalty waived?</b>	No



**Amount Waived:**



## Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

### Disclosure 1 of 2

<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	INVEST FINANCIAL CORPORATION
<b>Allegations:</b>	MR. LANDA IS NOT NAMED; HE IS PRINCIPAL OF THE "NAMED" FIRM; ALLEGATIONS OF BREACH OF FIDUCIARY DUTY, FRAUD, MISREPRESENTATION.
<b>Product Type:</b>	Other: TIC-1031 EXCHANGE
<b>Alleged Damages:</b>	\$1,000,000.00
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	No
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	Yes
<b>Arbitration/Reparation forum or court name and location:</b>	FINRA
<b>Docket/Case #:</b>	12-03003
<b>Filing date of arbitration/CFTC reparation or civil litigation:</b>	08/20/2012

### Customer Complaint Information

<b>Date Complaint Received:</b>	08/31/2012
<b>Complaint Pending?</b>	No
<b>Status:</b>	Settled
<b>Status Date:</b>	12/18/2013
<b>Settlement Amount:</b>	\$200,000.00
<b>Individual Contribution Amount:</b>	\$0.00

### Disclosure 2 of 2

<b>Reporting Source:</b>	Firm
<b>Employing firm when activities occurred which led to the complaint:</b>	AMERICAN EXPRESS FINANCIAL ADVISORS INC
<b>Allegations:</b>	[CUSTOMER] AND [OTHER CUSTOMER NAMED] CLAIM THAT MR. LANDA RECOMMENDED AND SOLICIED THEM TO INVEST IN AN OUTSIDE INVESTMENT FROM ABOUT SEPTEMBER 1999 THROUGH MARCH 2000.



[OTHER CUSTOMER NAMED], AN AMERICAN EXPRESS CLIENT, ALLEGES HE LOST \$100,000 AND [CUSTOMER], WHO IS NOT AND NEVER WAS AN AMERICAN EXPRESS CLIENT, ALLEGES HE LOST \$1,650,000.

**Product Type:** Other  
**Other Product Type(s):** OUTSIDE INVESTMENT  
**Alleged Damages:** \$1,750,000.00

**Customer Complaint Information**

**Date Complaint Received:** 10/13/2000  
**Complaint Pending?** No  
**Status:** Litigation  
**Status Date:** 10/13/2000

**Settlement Amount:**  
**Individual Contribution Amount:**

**Civil Litigation Information**

**Court Details:** SUPERIOR COURT JUDICIAL DISTRICT OF FAIRFIELD AT BRIDGE, CT  
NO CASE NO

**Date Notice/Process Served:** 10/13/2000  
**Litigation Pending?** No  
**Disposition:** Settled

**Disposition Date:** 06/30/2004  
**Monetary Compensation Amount:** \$25,000.00  
**Individual Contribution Amount:** \$25,000.00

**Firm Statement** SUPERIOR COURT JUDICIAL DISTRICT OF FAIRFIELD AT BRIDGEPORT, CT  
NO CASE NO. ASSIGNED YET (IN LITIGATION). WE DISCOVERED THAT THS  
THREATENED LAWSUIT WAS FILED WITH THE COURT AND IS A CIVIL  
LIGATION MATTER.

.....  
**Reporting Source:** Individual  
**Employing firm when activities occurred which led to the complaint:** AMERICAN EXPRESS FINANCIAL ADVISORS

**Allegations:** [CUSTOMER] AND [THIRD PARTY] CLAIM THAT I RECOMMENDED THAT THEY INVEST IN A NIGERIAN INVESTMENT FRAUD DURING THE PERID FROM SEPTEMBER 1999 TO MARCH 2000. WHILE [CUSTOMER] WAS A CLIENT OT THE BROKER DEALER FOR WHICH I WAS AN AGENT, [THIRD PARTY] NEVER WAS. [CUSTOMER] ALLEGES HE LOST \$100,000.00 AND [THIRD PARTY] ALLEGES HE LOST\$165,000.00. NONE OF THEIR ALLEGATIONS ABOUT MY INVOLVEMENT ARE TRUE.

**Product Type:** Other  
**Other Product Type(s):** UNKNOWN  
**Alleged Damages:** \$1,750,000.00



### Customer Complaint Information

**Date Complaint Received:** 10/13/2000

**Complaint Pending?** No

**Status:** Litigation

**Status Date:** 10/13/2000

**Settlement Amount:**

**Individual Contribution Amount:**

### Civil Litigation Information

**Court Details:** SUPERIOR COURT JUDICIAL DISTRICT OF FAIRFIELD AT BRIDGE, CT  
NO CASE NO. NOT ASSIGNED YET.

**Date Notice/Process Served:** 10/13/2000

**Litigation Pending?** No

**Disposition:** Settled

**Disposition Date:** 06/30/2004

**Monetary Compensation Amount:** \$25,000.00

**Individual Contribution Amount:** \$25,000.00



## Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

### Disclosure 1 of 2

**Reporting Source:** Firm

**Firm Name:** INVEST FINANCIAL CORPORATION

**Termination Type:** Voluntary Resignation

**Termination Date:** 08/05/2015

**Allegations:** AT THE TIME OF TERMINATION, A REVIEW OF THE REPRESENTATIVE'S CLIENT'S ACCOUNTS WAS BEING CONDUCTED FOR POSSIBLE VIOLATIONS CONCERNING MUTUAL FUNDS.

**Product Type:** Mutual Fund

**Firm Statement** This change was done in error originally.

### Disclosure 2 of 2

**Reporting Source:** Individual

**Firm Name:** AMERICAN EXPRESS FINANCIAL ADVISORS

**Termination Type:** Voluntary Resignation

**Termination Date:** 08/23/2000

**Allegations:** THE NASD HAS REVIEWED THIS CASE AND CLOSED IT FINDING NO EVIDENCE TO SUBSTANTIATE THE CLAIM THAT WAS MADE.

**Product Type:** Other

**Other Product Types:** NONE

**Broker Statement** AMER. EXP. DECIDED TO MARK ON MY U-5 THAT I HAD BEEN TERMINATED AFTER I HAD TRANSFERRED TO ANOTHER BROKERAGE FIRM. THEY STATED THAT A COMPLAINT TO THEM FROM A FORMER CLIENT WAS THE CAUSE. I TOLD AMER. EXP. THAT THE ALLEGATION WAS UNTRUE, THE NASD HAS REVIEWED THIS CASE AND CLOSED IT WITHOUT ANY ADVERSE FINDING TO ME.



## Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

### Disclosure 1 of 2

<b>Reporting Source:</b>	Individual
<b>Judgment/Lien Holder:</b>	IRS
<b>Judgment/Lien Amount:</b>	\$25,238.49
<b>Judgment/Lien Type:</b>	Tax
<b>Date Filed with Court:</b>	12/08/2015
<b>Date Individual Learned:</b>	12/28/2015
<b>Type of Court:</b>	IRS
<b>Name of Court:</b>	Secretary of State
<b>Location of Court:</b>	Hartford, CT
<b>Docket/Case #:</b>	01872LAN2457358
<b>Judgment/Lien Outstanding?</b>	Yes
<b>Broker Statement</b>	A payment plan is in place and in process of further negotiation to accelerate the payoff

### Disclosure 2 of 2

<b>Reporting Source:</b>	Individual
<b>Judgment/Lien Holder:</b>	ELIO AND ANTOINETTE TIBERI
<b>Judgment/Lien Amount:</b>	\$105,355.57
<b>Judgment/Lien Type:</b>	Civil
<b>Date Filed with Court:</b>	06/18/2013
<b>Type of Court:</b>	SUPERIOR COURT
<b>Name of Court:</b>	JUDICIAL COURT OF FAIRFIELD AT BRIDGEPORT
<b>Location of Court:</b>	BRIDGEPORT, CONNECTICUT
<b>Docket/Case #:</b>	FBT-CV12-6029617-S
<b>Judgment/Lien Outstanding?</b>	Yes
<b>Broker Statement</b>	THIS IS A LOAN FROM MY EX WIFE'S PARENTS TO BOTH MYSELF AND MY EX WIFE THAT I AGREED TO TAKE ON MYSELF AS PART OF THE RESOLUTION AGREEMENT. EVEN THOUGH I AM PAYING IT AS AGREED, IT STILL HAD TO BE FORMALIZED AS A JUDGEMENT FOR THIS PROCESS.



## End of Report

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