



## IAPD Report

# MARKUS GRACEN BYRD

CRD# 2177376

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### MARKUS GRACEN BYRD (CRD# 2177376)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/31/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	CANDOR WEALTH MANAGEMENT, LLC	CRD# 329951	05/16/2024

### QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	KESTRA ADVISORY SERVICES, LLC	283330	DALLAS, TX	04/19/2016 - 04/15/2024
B	KESTRA INVESTMENT SERVICES, LLC	42046	DALLAS, TX	02/21/2014 - 04/15/2024
IA	NFP ADVISOR SERVICES, LLC	42046	DALLAS, TX	04/08/2014 - 09/22/2016

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	7



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **CANDOR WEALTH MANAGEMENT, LLC**  
Main Address: 5220 SPRING VALLEY ROAD  
SUITE 505  
DALLAS, TX 75254  
Firm ID#: 329951

Regulator	Registration	Status	Date
<b>IA</b> Texas	Investment Adviser Representative	Approved	05/16/2024

### Branch Office Locations

**CANDOR WEALTH MANAGEMENT, LLC**  
5220 SPRING VALLEY ROAD  
SUITE 505  
DALLAS, TX 75254





## Qualifications

### PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.**



#### Principal/Supervisory Exams

	Exam	Category	Date
	Municipal Fund Securities Principal Examination (S51)	Series 51	06/16/2005
	General Securities Principal Examination (S24)	Series 24	02/03/2004

#### General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	11/26/1991

#### State Securities Law Exams

	Exam	Category	Date
	Uniform Investment Adviser Law Examination (S65)	Series 65	12/21/1999
	Uniform Securities Agent State Law Examination (S63)	Series 63	01/07/1992

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/19/2016 - 04/15/2024	KESTRA ADVISORY SERVICES, LLC	CRD# 283330	DALLAS, TX
B	02/21/2014 - 04/15/2024	KESTRA INVESTMENT SERVICES, LLC	CRD# 42046	DALLAS, TX
IA	04/08/2014 - 09/22/2016	NFP ADVISOR SERVICES, LLC	CRD# 42046	DALLAS, TX
IA	02/21/2014 - 03/11/2014	NFP SECURITIES, INC.	CRD# 42046	DALLAS, TX
B	02/11/2014 - 02/24/2014	INVEST FINANCIAL CORPORATION	CRD# 12984	DALLAS, TX
IA	02/11/2014 - 02/24/2014	INVEST FINANCIAL CORPORATION	CRD# 12984	DALLAS, TX
B	05/10/2010 - 02/14/2014	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	DALLAS, TX
IA	05/10/2010 - 02/14/2014	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	DALLAS, TX
B	12/19/2003 - 05/13/2010	INVEST FINANCIAL CORPORATION	CRD# 12984	DALLAS, TX
IA	12/19/2003 - 05/13/2010	INVEST FINANCIAL CORPORATION	CRD# 12984	DALLAS, TX
IA	02/07/2000 - 12/19/2003	VERAVEST INVESTMENT ADVISORS, INC.	CRD# 105796	DALLAS, TX
B	09/27/1999 - 12/19/2003	VERAVEST INVESTMENTS, INC.	CRD# 3960	WORCESTER, MA
B	11/27/1991 - 10/06/1999	AMERICAN EXPRESS FINANCIAL ADVISORS INC.	CRD# 6363	MINNEAPOLIS, MN
B	11/27/1991 - 10/06/1999	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN



## Registration & Employment History

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2024 - Present	CANDOR WEALTH MANAGEMENT, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	DALLAS, TX, United States
05/2022 - Present	CANDOR WEALTH MANAGEMENT, LLC	OWNER & CHIEF COMPLIANCE OFFICER	Y	DALLAS, TX, United States
04/2016 - 04/2024	KESTRA ADVISORY SERVICES, LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	DALLAS, AR, United States
02/2014 - 04/2024	KESTRA INVESTMENT SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	DALLAS, TX, United States
04/2014 - 09/2016	NFP ADVISOR SERVICES, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	DALLAS, TX, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Markus Gracen Byrd is an independent licensed insurance agent.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	7

### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 7

<b>Reporting Source:</b>	Firm
<b>Employing firm when activities occurred which led to the complaint:</b>	KESTRA INVESTMENT SERVICES, LLC
<b>Allegations:</b>	Claimant alleges the FP recommended unsuitable alternative investments.
<b>Product Type:</b>	Direct Investment-DPP & LP Interests
<b>Alleged Damages:</b>	\$5,000.00
<b>Alleged Damages Amount Explanation (if amount not exact):</b>	The firm has made a good faith determination that the damages would be greater than \$5,000.
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	No
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	Yes
<b>Arbitration/Reparation forum or court name and location:</b>	FINRA
<b>Docket/Case #:</b>	26-00557
<b>Filing date of arbitration/CFTC reparation or civil litigation:</b>	03/11/2026

### Customer Complaint Information

<b>Date Complaint Received:</b>	03/16/2026
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**Complaint Pending?** Yes

**Settlement Amount:**

**Individual Contribution Amount:**

### Disclosure 2 of 7

**Reporting Source:** Firm

**Employing firm when activities occurred which led to the complaint:** KESTRA INVESTMENT SERVICES, LLC

**Allegations:** Claimants allege that between September 2020 and April 2023, the Representative exercised discretionary authority in their account to purchase an exchange traded note. They further allege that the recommendation was not suitable for their investment objectives and that the position was held longer than they believe was appropriate. Claimants also assert that certain statements made regarding the investment's characteristics and performance were inaccurate.

**Product Type:** Other: Exchange-Traded Product

**Alleged Damages:** \$0.00

**Alleged Damages Amount Explanation (if amount not exact):** Unspecified Amount

**Is this an oral complaint?** No

**Is this a written complaint?** No

**Is this an arbitration/CFTC reparation or civil litigation?** Yes

**Arbitration/Reparation forum or court name and location:** FINRA

**Docket/Case #:** 26-00470

**Filing date of arbitration/CFTC reparation or civil litigation:** 02/27/2026

### Customer Complaint Information

**Date Complaint Received:** 02/27/2026

**Complaint Pending?** Yes

**Settlement Amount:**

**Individual Contribution Amount:**

### Disclosure 3 of 7

**Reporting Source:** Firm

**Employing firm when activities occurred which led to the complaint:** KESTRA INVESTMENT SERVICES, LLC



**Allegations:** Claimant alleges Byrd misrepresented the investment and made unsuitable recommendations.

**Product Type:** Other: ETN

**Alleged Damages:** \$5,000.00

**Alleged Damages Amount Explanation (if amount not exact):** The firm has made a good faith determination that the damages would exceed \$5,000.

**Is this an oral complaint?** No

**Is this a written complaint?** No

**Is this an arbitration/CFTC reparation or civil litigation?** Yes

**Arbitration/Reparation forum or court name and location:** FINRA

**Docket/Case #:** 24-01666

**Filing date of arbitration/CFTC reparation or civil litigation:** 08/01/2024

**Customer Complaint Information**

**Date Complaint Received:** 08/02/2024

**Complaint Pending?** No

**Status:** Settled

**Status Date:** 11/13/2025

**Settlement Amount:** \$3,300,000.00

**Individual Contribution Amount:** \$0.00

**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:** KESTRA INVESTMENT SERVICES, LLC

**Allegations:** Claimant alleges Byrd misrepresented the investment and made unsuitable recommendations.

**Product Type:** Other: EXCHANGE TRADED NOTE

**Alleged Damages:** \$5,000.00

**Alleged Damages Amount Explanation (if amount not exact):** The firm has made a good faith determination that the damages would exceed \$5,000.

**Is this an oral complaint?** No

**Is this a written complaint?** No

**Is this an arbitration/CFTC reparation or civil litigation?** Yes



**Arbitration/Reparation forum or court name and location:** FINRA

**Docket/Case #:** DOCKET/CASE#: 24-01666

**Filing date of arbitration/CFTC reparation or civil litigation:** 08/02/2024

**Customer Complaint Information**

**Date Complaint Received:** 08/02/2024

**Complaint Pending?** No

**Status:** Settled

**Status Date:** 01/13/2025

**Settlement Amount:** \$3,300,000.00

**Individual Contribution Amount:** \$0.00

**Arbitration Information**

**Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):** FINRA

**Docket/Case #:** 24-01666

**Date Notice/Process Served:** 08/02/2024

**Arbitration Pending?** No

**Disposition:** Settled

**Disposition Date:** 11/13/2025

**Monetary Compensation Amount:** \$3,300,000.00

**Individual Contribution Amount:** \$0.00

**Disclosure 4 of 7**

**Reporting Source:** Firm

**Employing firm when activities occurred which led to the complaint:** KESTRA INVESTMENT SERVICES, LLC

**Allegations:** Claimants allege Mr. Byrd recommended the sale of unsuitable investments.

**Product Type:** Direct Investment-DPP & LP Interests

**Alleged Damages:** \$5,000.00

**Alleged Damages Amount Explanation (if amount not exact):** The firm has made a good faith determination that the damages would exceed \$5,000.

**Is this an oral complaint?** No

**Is this a written complaint?** Yes



**Is this an arbitration/CFTC  
reparation or civil litigation?** Yes

**Arbitration/Reparation forum  
or court name and location:** FINRA

**Docket/Case #:** 24-00388

**Filing date of  
arbitration/CFTC reparation  
or civil litigation:** 02/20/2024

**Customer Complaint Information**

**Date Complaint Received:** 02/20/2024

**Complaint Pending?** No

**Status:** Settled

**Status Date:** 02/14/2025

**Settlement Amount:** \$65,000.00

**Individual Contribution  
Amount:** \$0.00

**Reporting Source:** Individual

**Employing firm when  
activities occurred which led  
to the complaint:** KESTRA INVESTMENT SERVICES, LLC

**Allegations:** Claimants allege Mr. Byrd recommended the sale of unsuitable investments.

**Product Type:** Direct Investment-DPP & LP Interests

**Alleged Damages:** \$5,000.00

**Alleged Damages Amount  
Explanation (if amount not  
exact):** The firm has made a good faith determination that the damages would exceed \$5,000

**Is this an oral complaint?** No

**Is this a written complaint?** Yes

**Is this an arbitration/CFTC  
reparation or civil litigation?** Yes

**Arbitration/Reparation forum  
or court name and location:** FINRA

**Docket/Case #:** 24-00388

**Filing date of  
arbitration/CFTC reparation  
or civil litigation:** 02/20/2024

**Customer Complaint Information**

**Date Complaint Received:** 02/20/2024

**Complaint Pending?** No

**Status:** Settled



<b>Status Date:</b>	02/14/2025
<b>Settlement Amount:</b>	\$65,000.00
<b>Individual Contribution Amount:</b>	\$0.00
<b>Broker Statement</b>	I am not a party to this case. The products at issue were vetted and approved by the broker dealer, available on its platform, and sold by many advisors to accredited investors.
<b>Disclosure 5 of 7</b>	
<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	KESTRA INVESTMENT SERVICES, LLC
<b>Allegations:</b>	Claimant alleges Byrd made unsuitable recommendations and the claimant's account was overconcentrated.
<b>Product Type:</b>	Other: EXCHANGE TRADED NOTE
<b>Alleged Damages:</b>	\$5,000.00
<b>Alleged Damages Amount Explanation (if amount not exact):</b>	The firm has made a good faith determination that the damages would exceed \$5,000.
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	No
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	Yes
<b>Arbitration/Reparation forum or court name and location:</b>	FINRA
<b>Docket/Case #:</b>	23-02092
<b>Filing date of arbitration/CFTC reparation or civil litigation:</b>	08/09/2023
<b>Customer Complaint Information</b>	
<b>Date Complaint Received:</b>	08/11/2023
<b>Complaint Pending?</b>	No
<b>Status:</b>	Settled
<b>Status Date:</b>	02/29/2024
<b>Settlement Amount:</b>	\$705,000.00
<b>Individual Contribution Amount:</b>	\$0.00
<b>Broker Statement</b>	I am not a party to this case and was not named as a respondent. The investments at issue were appropriate when made.

**Disclosure 6 of 7**



**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:** KESTRA INVESTMENT SERVICES, LLC

**Allegations:** Claimants allege Mr. Byrd recommended the sale of unsuitable investments.

**Product Type:** Direct Investment-DPP & LP Interests

**Alleged Damages:** \$445,000.00

**Is this an oral complaint?** No

**Is this a written complaint?** Yes

**Is this an arbitration/CFTC reparation or civil litigation?** Yes

**Arbitration/Reparation forum or court name and location:** FINRA

**Docket/Case #:** 23-01140

**Filing date of arbitration/CFTC reparation or civil litigation:** 04/27/2023

**Customer Complaint Information**

**Date Complaint Received:** 04/28/2023

**Complaint Pending?** No

**Status:** Settled

**Status Date:** 02/14/2024

**Settlement Amount:** \$210,000.00

**Individual Contribution Amount:** \$0.00

**Broker Statement** I am not a party to this case. The products at issue were vetted and approved by the broker dealer, available on its platform, and sold by many advisors to accredited investors.

**Disclosure 7 of 7**

**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:**

**Allegations:** THE CLIENT ALLEGED HE WAS UNAWARE THAT HE HAD PURCHASED AN IDS LIFE FLEXIBLE PORTFOLIO ANNUITY NON-QUALIFIED IN DECEMBER 1996 AND AN IDS LIFE FLEXIBLE PORTFOLIO IRA IN JANUARY 1997. HE ALSO ALLEGED I NEVER INFORMED HIM OF THE SURRENDER CHARGE SCHEDULES THAT EACH ANNUITY HAS. UPON LEARNING THESE ITEMS IN FEBRUARY 1999, THE CLIENT INQUIRED ABOUT TRANSFERRING THESE ANNUITIES. HE FELT THE SURRENDER CHARGES (3,390.00 AND \$6,512.28 RESPECTIVELY) TOTALLING \$9,902.28 ARE UNACCEPTABLE. HE THOUGHT HE HAD PURCHASED MUTUAL FUNDS AND REQUESTED THE FIRM INVESTIGATE HIS ALLEGATIONS.



**Product Type:**

**Alleged Damages:** \$9,902.28

**Customer Complaint Information**

**Date Complaint Received:** 02/15/1999

**Complaint Pending?** No

**Status:** Closed/No Action

**Status Date:** 03/19/1999

**Settlement Amount:**

**Individual Contribution Amount:**

**Broker Statement**

THE FIRM FOUND I GAVE THE CLIENT FULL AND FAIR DISCLOSURE ABOUT THE TWO IDS FLEXIBLE PORTFOLIO ANNUITIES HE HAD PURCHASED. IT WAS UNCLEAR HOW THE CLIENT COULD NOT RECALL HE HAD PURCHASED ANNUITIES AS HE HAD SIGNED AND INITIALED THE APPROPRIATE BLANKS INSIDE EACH WHICH WERE CLEARLY MARKED ANNUITY. IN ADDITION, HE SIGNED CONTRACT RECEIPTS ACKNOWLEDGING HIS RECEIPT OF EACH CONTRACT. FINALLY, HE WAS ISSUED QUARTERLY CONSOLIDATED STATEMENTS LISTING THESE INVESTMENTS AND THEIR APPLICABLE SURRENDER CHARGES. THE CLIENT ONLY RECENTLY MADE US AWARE OF HIS CONFUSION WHEN HE INQUIRED ABOUT TRANSFERRING HIS INVESTMENTS TO ANOTHER FIRM. THE FIRM DECLINED THE CLIENT'S REQUEST TO WAIVE THE SURRENDER CHARGES. NOT PROVIDED



## End of Report

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