



IAPD Report

CAMERON NOORANY

CRD# 2178544

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

CAMERON NOORANY (CRD# 2178544)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **08/04/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CETERA WEALTH SERVICES, LLC	CRD# 13572	09/16/2025
IA	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	09/16/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **26** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	LPL FINANCIAL LLC	6413	SAN DIEGO, CA	11/29/2005 - 09/18/2025
B	LPL FINANCIAL LLC	6413	SAN DIEGO, CA	12/16/2003 - 09/18/2025
B	SECURITIES AMERICA, INC.	10205	LAVISTA, NE	09/19/2001 - 12/18/2003

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **26** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CETERA WEALTH SERVICES, LLC**

Main Address: 2301 ROSECRANS AVE #5100
EL SEGUNDO, CA 90245

Firm ID#: 13572

	Regulator	Registration	Status	Date
	FINRA	Direct Participation Programs	Approved	09/16/2025
	FINRA	General Securities Representative	Approved	09/16/2025
	FINRA	Invest. Co and Variable Contracts	Approved	09/16/2025
	FINRA	Investment Co./Variable Contracts Prin	Approved	09/16/2025
	Alabama	Agent	Approved	09/16/2025
	Arizona	Agent	Approved	09/16/2025
	Arkansas	Agent	Approved	09/16/2025
	California	Agent	Approved	09/16/2025
	Colorado	Agent	Approved	09/16/2025
	Connecticut	Agent	Approved	09/16/2025
	Florida	Agent	Approved	09/16/2025
	Idaho	Agent	Approved	09/16/2025
	Illinois	Agent	Approved	09/16/2025



Qualifications

	Regulator	Registration	Status	Date
B	Indiana	Agent	Approved	01/02/2026
B	Louisiana	Agent	Approved	01/02/2026
B	Michigan	Agent	Approved	01/02/2026
B	Minnesota	Agent	Approved	09/16/2025
B	Missouri	Agent	Approved	09/16/2025
B	Montana	Agent	Approved	09/26/2025
B	New Mexico	Agent	Approved	09/16/2025
B	New York	Agent	Approved	09/16/2025
B	North Carolina	Agent	Approved	09/19/2025
B	Ohio	Agent	Approved	09/16/2025
B	Oregon	Agent	Approved	08/04/2026
B	Pennsylvania	Agent	Approved	09/16/2025
B	Tennessee	Agent	Approved	09/26/2025
B	Texas	Agent	Approved	09/16/2025
B	Utah	Agent	Approved	09/16/2025
B	Washington	Agent	Approved	09/16/2025
B	Wisconsin	Agent	Approved	09/16/2025

Branch Office Locations

CETERA ADVISOR NETWORKS LLC
SAN DIEGO, CA



Qualifications

Employment 2 of 2

Firm Name: **CETERA INVESTMENT ADVISERS LLC**

Main Address: 1450 AMERICAN LANE
6TH FLOOR, SUITE 650
SCHAUMBURG, IL 60173-2096

Firm ID#: 105644

	Regulator	Registration	Status	Date
IA	California	Investment Adviser Representative	Approved	09/16/2025
IA	Texas	Investment Adviser Representative	Approved	09/16/2025

Branch Office Locations

CETERA INVESTMENT ADVISERS LLC
San Diego, CA



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
 Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	03/13/2004

General Industry/Product Exams

Exam	Category	Date
 General Securities Representative Examination (S7TO)	Series 7TO	08/07/2019
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Direct Participation Programs Representative Examination (S22)	Series 22	07/18/1996
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	10/07/1991

State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	11/28/2005
 Uniform Securities Agent State Law Examination (S63)	Series 63	10/07/1991

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	11/29/2005 - 09/18/2025	LPL FINANCIAL LLC	CRD# 6413	SAN DIEGO, CA
B	12/16/2003 - 09/18/2025	LPL FINANCIAL LLC	CRD# 6413	SAN DIEGO, CA
B	09/19/2001 - 12/18/2003	SECURITIES AMERICA, INC.	CRD# 10205	LAVISTA, NE
B	05/18/2001 - 07/20/2001	SENTRA SECURITIES CORPORATION	CRD# 10249	PHOENIX, AZ
B	12/22/1998 - 05/22/2001	ROYAL ALLIANCE ASSOCIATES, INC.	CRD# 23131	SCOTTSDALE, AZ
B	07/17/1995 - 12/31/1998	SPIDER SECURITIES, INC.	CRD# 18296	NEW YORK, NY
B	10/09/1991 - 05/25/1995	NYLIFE SECURITIES INC.	CRD# 5167	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2025 - Present	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
09/2025 - Present	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	EL SEGUNDO, CA, United States
11/2003 - Present	RMW CONSULTING	PRESIDENT	Y	SAN DIEGO, CA, United States
12/2003 - 09/2025	LPL Financial LLC (Formerly: LINSICO/PRIVATE LEDGER CORP.)	REGISTERED PRINCIPAL	Y	SAN DIEGO, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. NAME OF OTHER BUSINESS: FIXED INSURANCE WITH VARIOUS COMPANIES



Registration & Employment History



OTHER BUSINESS ACTIVITIES

INVESTMENT RELATED: YES
ADDRESS: SAME AS REGISTERED LOCATION
NATURE OF BUSINESS: FIXED INSURANCE
START DATE: 04/1991
APX NUMBER OF HOURS PER WEEK: 1
APX NUMBER OF HOURS DURING TRADING HOURS: 0
POSITION/TITLE/RELATIONSHIP: INSURANCE AGENT
BRIEF DESCRIPTION OF DUTIES: SELLS FIXED INSURANCE PRODUCTS

2. NAME OF OTHER BUSINESS: RMW CONSULTING
INVESTMENT RELATED: YES
ADDRESS: SAME AS REGISTERED LOCATION
NATURE OF BUSINESS: FINANCIAL SERVICES
START DATE: 11/2003
POSITION/TITLE/RELATIONSHIP: PRESIDENT
APX NUMBER OF HOURS PER WEEK: 25
APX NUMBER OF HOURS DURING TRADING HOURS: 25
BRIEF DESCRIPTION OF DUTIES: PROVIDE FINANCIAL SERVICES

3. NAME OF OTHER BUSINESS: ADVISORS CHOICE INSURANCE BROKERAGE SERVICES
INVESTMENT RELATED: NO
ADDRESS: 515 MARIN STREET SUITE 414 THOUSAND OAKS, CA 91360
NATURE OF BUSINESS: FIXED INSURANCE
START DATE: 2/2025
POSITION/TITLE/RELATIONSHIP: BOARD MEMBER
APX NUMBER OF HOURS PER WEEK: 0
APX NUMBER OF HOURS DURING TRADING HOURS: 0
BRIEF DESCRIPTION OF DUTIES: CONSULTANT



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Regulator
Employing firm when activities occurred which led to the complaint:	LINSCO/PRIVATE LEDGER CORP.,
Allegations:	BREACH OF FIDUCIARY DUTY, BREACH OF CONTRACT, GROSS NEGLIGENCE, FRAUD, UNSUITABILITY
Product Type:	Annuity(ies) - Variable
Alleged Damages:	\$327,000.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	NASD - CASE #05-01595
Date Notice/Process Served:	03/28/2005
Arbitration Pending?	No
Disposition:	Other
Disposition Date:	01/12/2007
Disposition Detail:	STIPULATED AWARD ISSUED: CLAIMANT AND RESPONDENT NOORANY HAVE AGREED TO RESOLVE THIS MATTER; ALL CLAIMS HAVING BEING WITHDRAWN, ARE DISMISSED WITH PREJUDICE.

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Reporting Source:	Firm
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Employing firm when activities occurred which led to the complaint:

ROYAL ALLIANCE ASSOCIATES, INC.

Allegations:

WHILE RR NOORANY WAS REGISTERED WITH ROYAL ALLIANCE, THE ALLEGATION IS THAT "BY HAVING SALESMEN WHO HAD NOT DEALT WITH CUSTOMER BECOME HER BROKER OF RECORD WITHOUT EXPLANATION ON MULTIPLE OCCASIONS SEEMS TO INDICATE LEGAL VIOLATIONS AS TO AGENT/DEALER REGISTRATIONS, AND THE FAILURE TO DISCLOSE SUCH VIOLATIONS TO CUSTOMER.

Product Type:

Annuity(ies) - Variable

Alleged Damages:

\$327,000.00

Customer Complaint Information

Date Complaint Received:

Complaint Pending?

Status:

Arbitration/Reparation

Status Date:

06/01/2006

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:

[NASD 05-01595](#)

Date Notice/Process Served:

05/17/2005

Arbitration Pending?

No

Disposition:

Settled

Disposition Date:

06/01/2006

Monetary Compensation Amount:

\$750.00

Individual Contribution Amount:

\$0.00

Firm Statement

CASE REMAINS PENDING, AGAINST OTHERS BUT ROYAL ALLIANCE SETTLED FOR \$750, ON 6/1/2006.

Reporting Source:

Firm

Employing firm when activities occurred which led to the complaint:

SECURITIES AMERICA, INC.

Allegations:

STATEMENT OF CLAIM REFERENCES ACTIVITY BEGINNING AS EARLY AS 1990. NOORANY IS ONE OF SEVERAL NAMED RESPONDENTS. THE STATEMENT OF CLAIM STATES THE FOLLOWING CAUSES OF ACTION: SELF DEALING, UNSUITABILITY, VIOLATIONS OF INDUSTRY & LEGAL RULES, RELIANCE, BREACH OF FIDUCIARY DUTY/BREACH OF CONTRACT/GROSS NEGLIGENCE/FRAUD.



Product Type: Annuity(ies) - Variable

Alleged Damages: \$327,000.00

Customer Complaint Information

Date Complaint Received: 05/16/2005

Complaint Pending? No

Status: Arbitration/Reparation

Status Date: 05/16/2005

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: [NASD DISPUTE RESOLUTION CASE NUMBER 05-01595. CUSTOMER V. HARTFORD SECURITIES DISTRIBUTION COMPANY, INC., WORLD GROUP SECURITIES INC, CAMERON NOORANY, LINSICO PRIVATE LEDGER CORP, DANIEL T. DUBOIS, ROYAL ALLIANCE ASSOCIATES, INC., SENTRA SECURITIES CORP., SECURITIES AMERICA, INC., ANDREW WESTHEM, RICHARD A. ZAK AND WESTERN CAPITAL FINANCIAL GROUP.](#)

Date Notice/Process Served: 05/16/2005

Arbitration Pending? No

Disposition: Settled

Disposition Date: 04/03/2006

Monetary Compensation Amount: \$5,000.00

Individual Contribution Amount: \$0.00

Firm Statement SETTLEMENT AGREEMENT & RELEASE MADE AND ENTERED INTO ON APRIL 3, 2006 BY AND AMONG CUSTOMER AND SECURITIES AMERICA, INC.

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Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: SPIDER SECURITIES, INC.

Allegations: ALLEGES SELF DEALING, UNSUITABILITY, VIOLATIONS OF INDUSTRY AND LEGAL RULES, RELIANCE AND BREACH OF FIDUCIARY DUTY, BREACH OF CONTRACT, GROSS NEGLIGENCE AND FRAUD AS THE RESULT OF MULTIPLE VA SWITCHES. THE REPRESENTATIVE WAS AFFILIATED WITH SENTRA FROM 5/18/01 TO 7/20/01.

Product Type: Annuity(ies) - Variable

Alleged Damages: \$327,000.00

Customer Complaint Information

Date Complaint Received:

Complaint Pending?

Status: Arbitration/Reparation



Status Date: 05/17/2005

Settlement Amount:

Individual Contribution
Amount:

Arbitration Information

Arbitration/Reparation Claim
filed with and Docket/Case
No.: NASD 05-01595

Date Notice/Process Served: 05/17/2005

Arbitration Pending? Yes

Reporting Source: Individual

Employing firm when
activities occurred which led
to the complaint: WESTERN CAPITAL FINANCIAL GROUP (FORMERLY SPIDER SECURITIES),
ROYAL ALLIANCE ASSOCIATES, INC., SENTRA SECURITIES CORP.,
SECURITIES AMERICA, INC., LINSICO / PRIVATE LEDGER CORP.

Allegations: STATEMENT OF CLAIM REFERENCES ACTIVITY BEGINNING AS EARLY AS
1990. MR. NOORANY IS ONE OF SEVERAL NAMED RESPONDENTS. THE
STATEMENT OF CLAIM STATES THE FOLLOWING CAUSES OF ACTION:
SELF DEALING, UNSUITABILITY, VIOLATIONS OF INDUSTRY AND LEGAL
RULES, RELIANCE, BREACH OF FIDUCIARY DUTY/BREACH OF
CONTRACT/GROSS NEGLIGENCE/FRAUD.

Product Type: Annuity(ies) - Variable

Alleged Damages: \$327,000.00

Customer Complaint Information

Date Complaint Received: 05/16/2005

Complaint Pending? No

Status: Arbitration/Reparation

Status Date: 05/12/2005

Settlement Amount:

Individual Contribution
Amount:

Arbitration Information

Arbitration/Reparation Claim
filed with and Docket/Case
No.: [NASD CASE# 05-01595](#)

Date Notice/Process Served: 05/12/2005

Arbitration Pending? No

Disposition: Settled

Disposition Date: 10/25/2006

Monetary Compensation
Amount: \$2,250.00

Individual Contribution
Amount: \$2,250.00



Broker Statement

SETTLEMENT AGREEMENT & RELEASE MADE AND ENTERED INTO ON APRIL 3, 2006 BY AND AMONG CUSTOMER AND SECURITIES AMERICA, INC. FOR \$5,000.00. MR. NOORANY DID NOT CONTRIBUTE TO THIS SETTLEMENT. ON OCTOBER 25, 2006, CASE SETTLED FOR \$2,250 TO AVOID THE COSTS OF LITIGATION. MR. NOORANY WAS NOT WORKING AT THE FIRM AT WHICH ONE OF THE TWO TRANSACTIONS TOOK PLACE, AND WAS NOT THE BROKER FOR THE SECOND TRANSACTION. FORM U4 RECORD EXPUNGEMENT REQUEST IS PENDING.



End of Report

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