



IAPD Report

MARK ANDREW YOUNG

CRD# 2183899

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

MARK ANDREW YOUNG (CRD# 2183899)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/29/2021**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	EXODUS WEALTH, LLC	CRD# 174328	03/16/2020

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SEVERIN INVESTMENTS LLC	166567	ST. LOUIS, MO	05/10/2021 - 06/29/2021
IA	SECURE INVESTMENT MANAGEMENT, LLC	141195	Elsah, IL	03/16/2020 - 04/28/2021
IA	PROSPERITY WEALTH MANAGEMENT, INC.	174328	Elsah, IL	03/16/2016 - 12/31/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	3



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **EXODUS WEALTH, LLC**
Main Address: 2400 EAST COMMERCIAL BOULEVARD
SUITE 706
FORT LAUDERDALE, FL 33308
Firm ID#: 174328

Regulator	Registration	Status	Date
IA Illinois	Investment Adviser Representative	Approved	03/16/2020

Branch Office Locations

EXODUS WEALTH, LLC
19 Valley St. PO Box 115
Elsah, IL 62028




Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	12/13/2001

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	01/11/2016
 General Securities Representative Examination (S7)	Series 7	10/08/1991

State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	01/24/1998
 Uniform Securities Agent State Law Examination (S63)	Series 63	10/16/1991

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	05/10/2021 - 06/29/2021	SEVERIN INVESTMENTS LLC	CRD# 166567	ST. LOUIS, MO
IA	03/16/2020 - 04/28/2021	SECURE INVESTMENT MANAGEMENT, LLC	CRD# 141195	Elsah, IL
IA	03/16/2016 - 12/31/2019	PROSPERITY WEALTH MANAGEMENT, INC.	CRD# 174328	Elsah, IL
IA	12/10/2018 - 12/12/2018	SEVERIN INVESTMENTS LLC	CRD# 166567	ST. LOUIS, MO
B	10/06/2015 - 01/11/2016	NATIONAL PLANNING CORPORATION	CRD# 29604	GLASTONBURY, CT
IA	02/16/2011 - 10/09/2015	J P TURNER & COMPANY CAPITAL MANAGEMENT, LLC	CRD# 124446	ELSAH, IL
B	09/20/2010 - 10/09/2015	J.P. TURNER & COMPANY, L.L.C.	CRD# 43177	ELSAH, IL
IA	10/18/2001 - 10/06/2010	LPL FINANCIAL CORPORATION	CRD# 6413	ELSAH, IL
B	06/01/2001 - 10/06/2010	LPL FINANCIAL CORPORATION	CRD# 6413	ELSAH, IL
B	06/28/1995 - 06/18/2001	WASHINGTON SQUARE SECURITIES, INC.	CRD# 2882	WINDSOR, CT
B	02/22/1994 - 06/29/1995	TRANSAMERICA FINANCIAL RESOURCES, INC.	CRD# 3600	LOS ANGELES, CA
B	07/30/1993 - 02/28/1994	BUCKHEAD FINANCIAL CORPORATION	CRD# 18398	
B	03/15/1993 - 07/14/1993	W. S. GRIFFITH & CO., INC.	CRD# 10410	HARTFORD, CT
B	10/09/1991 - 03/15/1993	PHOENIX EQUITY PLANNING CORPORATION	CRD# 3036	HARTFORD, CT



Registration & Employment History

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2020 - Present	JD Mellberg Financial	Insurance Representative	N	Tucson, AZ, United States
01/2020 - Present	Secure Investment Management	Investment Adviser Representative	Y	Tucson, AZ, United States
01/2016 - 01/2020	PROSPERITY WEALTH MANAGEMENT, INC.	INVESTMENT ADVISER REPRESENTATIVE	Y	Elsah, IL, United States
12/2018 - 12/2018	Severin Investments, LLC	Investment Adviser Representative	Y	Saint Louis, MO, United States
03/2000 - 12/2018	THE FINANCIAL MGMT CENTER	OWNER/AGENT	Y	MARYLAND HEIGHTS, MO, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

JD Mellberg Financial, LLC - Non-investment related business. 3067 W. Ina Road, Tucson, AZ 85741, Insurance Agency, Licensed Insurance Agent. Hours vary depending upon business needs during business hours.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	3

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source: Regulator

Regulatory Action Initiated By: Missouri Secretary of State Securities Division

Sanction(s) Sought:

Other Sanction(s) Sought:

Date Initiated: 11/05/1998

Docket/Case Number: AO-98-19

Employing firm when activity occurred which led to the regulatory action:

Product Type:

Other Product Type(s):

Allegations: Young promoted himself as the published author of a financial guide that he did not write. Young contracted with the publisher to have Young's picture and biographical data printed on the cover.

Current Status: Final

Resolution: Consent

Resolution Date: 11/05/1998

Sanctions Ordered:

Other Sanctions Ordered:



Sanction Details:	Without admitting or denying any violation of the Missouri Securities Laws, Mark Andrew Young entered into a Consent Order with the Missouri Commissioner of Securities, and paid \$4,000 to the Secretary of State's Investor Education Fund.
Regulator Statement	Young promoted himself as the author of a book entitled, "The Retiree's Complete Guide to the Secrets of a Secure and Peaceful Retirement." CONTACT: RON HARROD (573) 751-4704
.....	
Reporting Source:	Individual
Regulatory Action Initiated By:	STATE OF MISSOURI-MISSOURI SECRETARY OF STATE SECUR*See FAQ #1*
Sanction(s) Sought:	
Other Sanction(s) Sought:	
Date Initiated:	11/05/1998
Docket/Case Number:	AO-98-19
Employing firm when activity occurred which led to the regulatory action:	
Product Type:	
Other Product Type(s):	
Allegations:	YOUNG PROMOTED HIMSELF AS THE PUBLISHED AUTHOR OF A FINANCIAL GUIDE THAT HE DID NOT WRITE. YOUNG CONTRACTED WITH THE PUBLISHER TO HAVE YOUNG'S PICTURE AND BIOGRAPHICAL DATA PRINTED ON THE COVER.
Current Status:	Final
Resolution:	Consent
Resolution Date:	11/05/1998
Sanctions Ordered:	
Other Sanctions Ordered:	
Sanction Details:	THE MISSOURI SECURITIES DIVISION ENTERED INTO A CONSENT ORDER ON 11-5-98. THE STATE HAD ALLEGED THAT MR. YOUNG IMPROPERLY IDENTIFIED HIMSELF AS THE AUTHOR OF THE BOOK DEALING WITH INVESTMENT RELATED CONCEPTS. ALTHOUGH MR. YOUNG NEITHER ADMITTED OR DENIED ANY VIOLATION OF THE MISSOURI SECURITIES DIVISION STATUES, HE DID AGREE TO PAY A FINE OF \$4000, AND FURTHER AGREED TO CEASE USING HIS NAME IN CONNECITON OF SAID BOOK.
Broker Statement	THE MISSOURI SECURITIES DIVISION ENTERED INTO A CONSENT ORDER ON 11-5-98 THE STATE HAD ALLEGED THAT MR. YOUNG IMPROPERLY INDENTIFIED HIMSELF AS THE AUTHOR OF A BOOK DEALING WITH INVESTMENT RELATED CONCEPTS. ALTHOUGH MR. YOUNG NEITHER ADMITTED OR DENIED ANY VIOLATION OF MISSOURI SECURITIES



DIVISION STATUES, HE DID AGREE TO PAY \$4000, AND FURTHER AGREED TO CEASE TO USE HIS NAME IN CONNECTION WITH THE SAID BOOK.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: J.P. TURNER & COMPANY LLC

Allegations: CLIENTS ALLEGE CHURNING, NEGLIGENCE AND UNSUITABILITY.

Product Type: No Product

Alleged Damages: \$185,219.72

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 09/19/2012

Complaint Pending? No

Status: Settled

Status Date: 01/08/2013

Settlement Amount: \$35,000.00

Individual Contribution Amount: \$35,000.00

Broker Statement I DENY ALL CLAIMS MADE BY THE CLIENT. THE CLIENTS FAILED TO PRODUCE ANY EVIDENCE TO SUPPORT THEIR CLAIMS. HOWEVER, IN AN EFFORT TO MOVE PAST THIS ISSUE, I AGREED TO REFUND ALL COMMISSIONS AND ATTORNEY FEES.

Disclosure 2 of 3

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: LINSICO / PRIVATE LEDGER CORP.

Allegations: CUSTOMER CLAIMS THAT SHE WAS NOT AWARE THAT SHE COULD LOSE VALUE IN HER VARIABLE ANNUITY. UNKNOWN LOSS BUT BELIEVED TO BE OVER \$5000.

Product Type: Annuity(ies) - Variable

Alleged Damages:

Customer Complaint Information



Date Complaint Received: 02/09/2006

Complaint Pending? No

Status: Denied

Status Date: 02/28/2006

Settlement Amount:

Individual Contribution Amount:

Broker Statement CUSTOMER RECEIVED AND SIGNED DISCLOSURE DOCUMENTATION AND PER ADVISOR WAS INFORMED OF ANNUITY CHARACTERISTICS.

Disclosure 3 of 3

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: WASHINGTON SQUARE SECURITIES, INC.

Allegations: CLIENT ALLEGED THAT REGISTERED REPRESENTATIVE IMPROPERLY SET UP TRUST CAUSING RELATED TAX AND DISCLOSURE ISSUES.

Product Type: Insurance

Alleged Damages: \$20,800.00

Customer Complaint Information

Date Complaint Received: 01/20/2003

Complaint Pending? No

Status: Denied

Status Date: 03/17/2003

Settlement Amount:

Individual Contribution Amount:

Firm Statement WASHINGTON SQUARE SECURITIES, INC. DENIED CLAIM BASED UPON THE FACTS.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: WASHINGTON SQUARE SECURITIES, INC.

Allegations: CLIENT ALLEGED THAT REGISTERED REPRESENTATIVE IMPROPERLY SET UP TRUST CAUSING RELATED TAX AND DISCLOSURE ISSUES.

Product Type: Insurance

Alleged Damages: \$20,800.00

Customer Complaint Information

Date Complaint Received: 01/20/2003

Complaint Pending? No



Status:	Denied
Status Date:	03/17/2003
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	WASHINGTON SQUARE SECURITIES, INC. DENIED CLAIM BASED UPON THE FACTS.



End of Report

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