IAPD Report

JULIE GEBERT

CRD# 2195764

Section Title

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

JULIE GEBERT (CRD# 2195764)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/18/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LPL FINANCIAL LLC	CRD# 6413	06/08/2022
IA	LPL FINANCIAL LLC	CRD# 6413	06/09/2022

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **53** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.	134139	FAIRFIELD, IA	01/10/2022 - 06/01/2022
B	CAMBRIDGE INVESTMENT RESEARCH, INC.	39543	Scottsdale, AZ	01/07/2022 - 06/01/2022
IA	CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.	134139	Tempe, AZ	10/07/2019 - 07/29/2021

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **53** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **LPL FINANCIAL LLC**

Main Address: 1055 LPL WAY
FORT MILL, SC 29715

Firm ID#: 6413

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	06/08/2022
B	FINRA	General Securities Representative	Approved	06/08/2022
B	FINRA	Municipal Securities Principal	Approved	06/08/2022
B	FINRA	Municipal Securities Representative	Approved	06/08/2022
B	FINRA	Operations Professional	Approved	06/08/2022
B	FINRA	Registered Options Principal	Approved	06/08/2022
B	Alabama	Agent	Approved	06/08/2022
B	Alaska	Agent	Approved	06/08/2022
B	Arizona	Agent	Approved	06/08/2022
B	Arkansas	Agent	Approved	06/08/2022
B	California	Agent	Approved	06/08/2022
IA	California	Investment Adviser Representative	Approved	06/09/2022
B	Colorado	Agent	Approved	06/08/2022

Qualifications

	Regulator	Registration	Status	Date
B	Connecticut	Agent	Approved	06/08/2022
B	Delaware	Agent	Approved	06/08/2022
B	District of Columbia	Agent	Approved	06/08/2022
B	Florida	Agent	Approved	06/08/2022
B	Georgia	Agent	Approved	06/08/2022
B	Hawaii	Agent	Approved	06/08/2022
B	Idaho	Agent	Approved	06/08/2022
B	Illinois	Agent	Approved	06/08/2022
B	Indiana	Agent	Approved	06/08/2022
B	Iowa	Agent	Approved	06/08/2022
B	Kansas	Agent	Approved	06/08/2022
B	Kentucky	Agent	Approved	06/08/2022
B	Louisiana	Agent	Approved	06/08/2022
B	Maine	Agent	Approved	06/08/2022
B	Maryland	Agent	Approved	06/08/2022
B	Massachusetts	Agent	Approved	06/08/2022
B	Michigan	Agent	Approved	06/08/2022
B	Minnesota	Agent	Approved	06/08/2022
B	Mississippi	Agent	Approved	06/08/2022

Qualifications

	Regulator	Registration	Status	Date
B	Missouri	Agent	Approved	06/08/2022
B	Montana	Agent	Approved	06/08/2022
B	Nebraska	Agent	Approved	06/08/2022
B	Nevada	Agent	Approved	06/08/2022
B	New Hampshire	Agent	Approved	06/08/2022
B	New Jersey	Agent	Approved	06/08/2022
B	New Mexico	Agent	Approved	06/08/2022
B	New York	Agent	Approved	06/08/2022
B	North Carolina	Agent	Approved	06/09/2022
B	North Dakota	Agent	Approved	06/08/2022
B	Ohio	Agent	Approved	06/09/2022
B	Oklahoma	Agent	Approved	06/08/2022
B	Oregon	Agent	Approved	06/08/2022
B	Pennsylvania	Agent	Approved	06/08/2022
B	Puerto Rico	Agent	Approved	06/08/2022
B	Rhode Island	Agent	Approved	06/08/2022
B	South Carolina	Agent	Approved	06/08/2022
B	South Dakota	Agent	Approved	06/08/2022

Qualifications

	Regulator	Registration	Status	Date
B	Tennessee	Agent	Approved	06/08/2022
B	Texas	Agent	Approved	06/08/2022
IA	Texas	Investment Adviser Representative	Restricted Approval	06/09/2022
B	Utah	Agent	Approved	06/08/2022
B	Vermont	Agent	Approved	06/08/2022
B	Virgin Islands	Agent	Approved	06/08/2022
B	Virginia	Agent	Approved	06/08/2022
B	Washington	Agent	Approved	06/08/2022
B	West Virginia	Agent	Approved	06/08/2022
B	Wisconsin	Agent	Approved	06/08/2022
B	Wyoming	Agent	Approved	06/08/2022

Branch Office Locations

LPL FINANCIAL LLC
SCOTTSDALE, AZ

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 4 principal/supervisory exams, 5 general industry/product exams, and 3 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	Compliance Officer Examination (S14)	Series 14	01/02/2023
B	Municipal Securities Principal Examination (S53)	Series 53	03/04/2011
B	Registered Options Principal Examination (S4)	Series 4	01/19/2007
B	General Securities Principal Examination (S24)	Series 24	12/11/1992

General Industry/Product Exams

	Exam	Category	Date
B	Municipal Securities Representative Examination (S52TO)	Series 52TO	01/02/2023
B	Operations Professional Examination (S99TO)	Series 99TO	01/02/2023
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	National Commodity Futures Examination (S3)	Series 3	01/25/2010
B	General Securities Representative Examination (S7)	Series 7	06/05/1992

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	04/12/2007
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	10/15/1998

Qualifications

PASSED INDUSTRY EXAMS

State Securities Law Exams

	Exam	Category	Date
B	Uniform Securities Agent State Law Examination (S63)	Series 63	08/21/1992

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/10/2022 - 06/01/2022	CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.	CRD# 134139	FAIRFIELD, IA
B	01/07/2022 - 06/01/2022	CAMBRIDGE INVESTMENT RESEARCH, INC.	CRD# 39543	Scottsdale, AZ
IA	10/07/2019 - 07/29/2021	CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.	CRD# 134139	Tempe, AZ
B	10/07/2019 - 07/29/2021	CAMBRIDGE INVESTMENT RESEARCH, INC.	CRD# 39543	TEMPE, AZ
IA	08/24/2017 - 08/08/2019	FIRST FINANCIAL EQUITY CORPORATION	CRD# 16507	SCOTTSDALE, AZ
B	08/18/2017 - 08/08/2019	FIRST FINANCIAL EQUITY CORPORATION	CRD# 16507	SCOTTSDALE, AZ
IA	04/20/2016 - 09/06/2017	MSH CAPITAL ADVISORS LLC	CRD# 157835	SCOTTSDALE, AZ
B	04/12/2016 - 08/06/2017	M. S. HOWELLS & CO.	CRD# 104100	SCOTTSDALE, AZ
IA	04/28/2015 - 11/30/2015	CHARLES SCHWAB & CO., INC.	CRD# 5393	PHOENIX, AZ
B	04/27/2015 - 11/30/2015	CHARLES SCHWAB & CO., INC.	CRD# 5393	PHOENIX, AZ
IA	06/19/2009 - 03/16/2015	CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.	CRD# 134139	FAIRFIELD, IA
B	06/17/2009 - 03/16/2015	CAMBRIDGE INVESTMENT RESEARCH, INC.	CRD# 39543	NORCROSS, GA
B	11/01/2010 - 07/12/2012	CONTINUITY PARTNERS GROUP, LLC	CRD# 151381	FAIRFIELD, IA
IA	04/03/2008 - 05/06/2009	SAGEPOINT FINANCIAL, INC.	CRD# 133763	PHOENIX, AZ
B	03/25/2008 - 05/06/2009	SAGEPOINT FINANCIAL, INC.	CRD# 133763	PHOENIX, AZ
B	08/09/2007 - 03/27/2008	SVA FINANCIAL SERVICES, LLC	CRD# 103853	MADISON, WI

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	03/25/2008 - 03/25/2008	AIG FINANCIAL ADVISORS, INC.	CRD# 133763	PHOENIX, AZ
IA	08/01/2007 - 03/06/2008	SVA WEALTH MANAGEMENT, INC.	CRD# 105197	MADISON, WI
B	05/23/2007 - 06/26/2007	COORDINATED CAPITAL SECURITIES, INC.	CRD# 14762	FORT ATKINSON, WI
IA	05/23/2007 - 06/26/2007	COORDINATED CAPITAL SECURITIES, INC.	CRD# 14762	FORT ATKINSON, WI
IA	04/13/2007 - 05/17/2007	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	CRD# 20804	SCOTTSDALE, AZ
B	11/08/2000 - 05/17/2007	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	CRD# 20804	SCOTTSDALE, AZ
B	04/03/1997 - 11/03/2000	SUNAMERICA SECURITIES, INC.	CRD# 20068	PHOENIX, AZ
B	08/22/1996 - 04/03/1997	W.B. MCKEE SECURITIES, INC.	CRD# 27968	SCOTTSDALE, AZ
B	05/10/1995 - 06/21/1996	ASSOCIATED SECURITIES CORP.	CRD# 12969	BOSTON, MA
B	06/08/1992 - 03/24/1995	HARBOUR INVESTMENTS, INC.	CRD# 19258	MADISON, WI

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2022 - Present	LPL FINANCIAL LLC	Registered Representative/Home Office	Y	SCOTTSDALE, AZ, United States
01/2022 - 05/2022	Cambridge Investment Research Advisors Inc.	Investment Advisor Representative	Y	Fairfield, IA, United States
01/2022 - 05/2022	Cambridge Investment Research Inc.	Registered Representative	Y	Fairfield, IA, United States

Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2022 - 05/2022	Cambridge Investment Research Inc.	Administrative	N	Fairfield, IA, United States
08/2021 - 12/2021	Unemployed	Unemployed	N	Scottsdale, AZ, United States
10/2019 - 07/2021	Cambridge Investment Research Advisors, Inc.	Investment Advisor Representative	Y	Fairfield, IA, United States
10/2019 - 07/2021	Cambridge Investment Research, Inc.	Registered Representative	Y	Fairfield, IA, United States
09/2019 - 09/2019	Unemployed	N/A	N	Scottsdale, AZ, United States
07/2017 - 08/2019	FIRST FINANCIAL EQUITY CORPORATION	CCO	Y	Scottsdale, AZ, United States
04/2016 - 07/2017	M.S. HOWELLS & CO.	COMPLIANCE OFFICER	Y	SCOTTSDALE, AZ, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.

End of Report

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