



IAPD Report

AMY ROGERS BLODGETT

CRD# 2226903

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page
<http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

AMY ROGERS BLODGETT (CRD# 2226903)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/18/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	AMERITAS INVESTMENT COMPANY, LLC	CRD# 14869	06/30/2006
IA	AMERITAS ADVISORY SERVICES, LLC	CRD# 317245	11/01/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **44** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	AMERITAS ADVISORY SERVICES	14869	San Francisco, CA	07/12/2018 - 11/01/2021
IA	20/20 CAPITAL MANAGEMENT, INC	281692	San Francisco, CA	12/04/2015 - 01/02/2019
IA	AMERITAS INVESTMENT CORP	14869	San Francisco, CA	06/30/2006 - 10/02/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 44 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **AMERITAS INVESTMENT COMPANY, LLC**

Main Address: 5900 "O" STREET
LINCOLN, NE 68510-2234

Firm ID#: 14869

Regulator	Registration	Status	Date
 FINRA	General Securities Representative	Approved	06/30/2006
 Alaska	Agent	Approved	10/29/2019
 Arizona	Agent	Approved	11/30/2022
 Arkansas	Agent	Approved	11/29/2022
 California	Agent	Approved	06/30/2006
 Colorado	Agent	Approved	06/30/2006
 Connecticut	Agent	Approved	06/30/2006
 District of Columbia	Agent	Approved	05/30/2019
 Florida	Agent	Approved	06/30/2006
 Georgia	Agent	Approved	05/25/2012
 Hawaii	Agent	Approved	05/24/2012
 Idaho	Agent	Approved	12/01/2014
 Illinois	Agent	Approved	06/30/2006



Qualifications

Regulator	Registration	Status	Date
B Indiana	Agent	Approved	10/14/2015
B Iowa	Agent	Approved	05/28/2021
B Kentucky	Agent	Approved	05/13/2009
B Louisiana	Agent	Approved	05/30/2019
B Maine	Agent	Approved	08/15/2008
B Maryland	Agent	Approved	06/30/2006
B Massachusetts	Agent	Approved	06/30/2006
B Michigan	Agent	Approved	05/17/2011
B Minnesota	Agent	Approved	08/24/2006
B Missouri	Agent	Approved	04/23/2019
B Nebraska	Agent	Approved	04/12/2022
B Nevada	Agent	Approved	08/24/2006
B New Hampshire	Agent	Approved	04/06/2022
B New Jersey	Agent	Approved	06/30/2006
B New Mexico	Agent	Approved	09/13/2013
B New York	Agent	Approved	06/30/2006
B North Carolina	Agent	Approved	03/02/2018
B Ohio	Agent	Approved	06/30/2006
B Oklahoma	Agent	Approved	05/30/2019



Qualifications

Regulator	Registration	Status	Date
B Oregon	Agent	Approved	06/30/2006
B Pennsylvania	Agent	Approved	07/24/2017
B Rhode Island	Agent	Approved	06/30/2006
B South Carolina	Agent	Approved	06/23/2023
B Tennessee	Agent	Approved	04/25/2018
B Texas	Agent	Approved	06/30/2006
B Utah	Agent	Approved	08/24/2006
B Vermont	Agent	Approved	06/30/2006
B Virginia	Agent	Approved	06/30/2006
B Washington	Agent	Approved	06/30/2006
B West Virginia	Agent	Approved	05/30/2019
B Wisconsin	Agent	Approved	06/17/2009
B Wyoming	Agent	Approved	05/30/2019

Branch Office Locations

AMERITAS ADVISORY SERVICES
38 Keyes Ave Ste 125
San Francisco, CA 94129

AMERITAS ADVISORY SERVICES
San Carlos, CA

Employment 2 of 2

Firm Name: **AMERITAS ADVISORY SERVICES, LLC**
Main Address: 5900 O STREET
LINCOLN, NE 68510
Firm ID#: 317245



Qualifications

Regulator	Registration	Status	Date
IA California	Investment Adviser Representative	Approved	11/01/2021
IA Texas	Investment Adviser Representative	Approved	11/01/2021

Branch Office Locations

AMERITAS ADVISORY SERVICES, LLC
38 Keyes Ave Ste 125
San Francisco, CA 94129

AMERITAS ADVISORY SERVICES, LLC
San Carlos, CA



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	10/12/1996
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	04/29/1992

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	05/06/1997
B Uniform Securities Agent State Law Examination (S63)	Series 63	05/07/1992

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	07/12/2018 - 11/01/2021	AMERITAS ADVISORY SERVICES	CRD# 14869	San Francisco, CA
IA	12/04/2015 - 01/02/2019	20/20 CAPITAL MANAGEMENT, INC	CRD# 281692	San Francisco, CA
IA	06/30/2006 - 10/02/2017	AMERITAS INVESTMENT CORP	CRD# 14869	San Francisco, CA
IA	11/22/1999 - 06/30/2006	CARILLON INVESTMENTS, INC	CRD# 14646	SAN FRANCISCO, CA
B	07/13/1999 - 06/30/2006	CARILLON INVESTMENTS, INC.	CRD# 14646	SAN FRANCISCO, CA
B	07/25/1997 - 07/14/1999	EMMETT A LARKIN COMPANY, INC.	CRD# 6625	SAN FRANCISCO, CA
B	06/26/1997 - 05/19/1999	METLIFE SECURITIES INC.	CRD# 14251	SPRINGFIELD, MA
B	06/26/1997 - 05/19/1999	METROPOLITAN LIFE INSURANCE COMPANY	CRD# 4095	NEW YORK, NY
B	06/15/1995 - 07/23/1997	CARILLON INVESTMENTS, INC.	CRD# 14646	CINCINNATI, OH
B	05/01/1992 - 06/16/1995	ALLMERICA INVESTMENTS, INC.	CRD# 3960	WORCESTER, MA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2021 - Present	AMERITAS ADVISORY SERVICES, LLC	Mass Transfer	Y	LINCOLN, NE, United States
08/2018 - Present	CANVAS FINANCIAL	PARTNER	Y	SAN FRANCISCO, CA, United States
11/2010 - Present	AMERITAS LIFE INSURANCE CORP OF NEW YORK	LICENSED AGENT	Y	NEW YORK, NY, United States
09/2009 - Present	BOOM PLANNING	AGENT	Y	SAN FRANCISCO, CA, United States
06/2006 - Present	AMERITAS INVESTMENT CORP.	REGISTERED REPRESENTATIVE/INVESTMENT ADVISOR	Y	LINCOLN, NE, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
VESTMENT ADVISOR				
06/2006 - Present	AMERITAS LIFE INSURANCE COMPANY	AGENT	Y	LICNOLN, NE, United States
11/2015 - 12/2018	20/20 Capital Management	Executive Committee	Y	Costa Mesa, CA, United States
01/2014 - 12/2018	20/20 FINANCIAL ADVISERS, SAN FRANCISCO, L.L.C.	OWNER	Y	SAN FRANCISCO, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

LICENSED AS AN INDEPENDENT INSURANCE AGENT TO SELL FIXED INSURANCE PRODUCTS * EDWAAR REALTY TRUST AND BEACH BLUFF AND WOODWORKS AND LEAVITT REALTY TRUST AND LONDONDERRY LAND MANAGEMENT AND WOODSTOCK SECURITIES CORP; 148 Beach Bluff Ave, Swampscott MA 01907; non-inv rel; family partnership-some real estate, some investments; Partner; start date=05/1964; hrs/mo=0; trading hrs/mo=0; family partnership-I don't do anything * BOOM PLANNING; SAME AS BRANCH; INV REL; FINANCIAL PLANNING; OWNER; START DATE=09/2009; HRS/MO=1; TRADING HRS/MO=1; FINANCIAL PLANNING * Office Rent for my Office; same as branch; non-inv rel; collecting rent for two of my offices; Owner; start date=01/2017; hrs/mo=0; trading hrs/mo=0; collect rent for two office, no other duties * CANVAS FINANCIAL; SAME AS BRANCH; INV REL; DBA USED FOR MARKETING AND FIRM CONSOLIDATION PURPOSES; PARTNER; START DATE=08/2018; HRS/MO=200; TRADING HRS/MO=130; SHARE IDEAS WITH PARTNERS, HELP GROW EVERYONE'S PRACTICES



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Regulator
Employing firm when activities occurred which led to the complaint:	AMERITAS INVESTMENT COMPANY, LLC
Allegations:	Blodgett was named in a customer complaint that asserted the following causes of action: unsuitability; breach of fiduciary duty of loyalty; negligence; negligent misrepresentation; breach of contract; and fraud and deceit based on concealment.
Product Type:	Other: Managed Account
Alleged Damages:	\$20,102.84
Arbitration Information	
Arbitration/Reparation Claim filed with and Docket/Case No.:	FINRA - CASE #21-00646
Date Notice/Process Served:	03/11/2021
Arbitration Pending?	No
Disposition:	Award
Disposition Date:	08/05/2021
Disposition Detail:	Respondent Blodgett is jointly and severally liable for and shall pay to Claimants the sum of \$20,102.84 in compensatory damages. Blodgett is jointly and severally liable for and shall pay to Claimants \$600 to reimburse Claimants for the filing fee previously paid to FINRA Dispute Resolution Services.



Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	AMERITAS INVESTMENT COMPANY, LLC
Allegations:	FINRA ARBITRATION 21-00646 IN WHICH CLAIMANTS ALLEGE DISSATISFACTION IN THE MANAGEMENT OF THEIR MANAGED ACCOUNTS.
Product Type:	Other: MANAGED ACCOUNT
Alleged Damages:	\$39,851.58
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA ARBITRATION
Docket/Case #:	21-00646
Filing date of arbitration/CFTC reparation or civil litigation:	03/09/2021

Customer Complaint Information

Date Complaint Received:	03/22/2021
Complaint Pending?	No
Status:	Evolved into Arbitration/CFTC reparation (the individual is a named party)
Status Date:	04/21/2021
Settlement Amount:	

Individual Contribution Amount:

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):	FINRA ARBITRATION
Docket/Case #:	21-00646
Date Notice/Process Served:	03/22/2021
Arbitration Pending?	No
Disposition:	Award to Customer
Disposition Date:	08/03/2021
Monetary Compensation Amount:	\$20,102.84
Individual Contribution Amount:	\$0.00
Broker Statement	The alleged claims within this arbitration were misguided and not reasonable. This was a small claim which meant we could only present our case in writing and could not ask the claimants direct questions. Claimants are successful and sophisticated investors. With the benefit of hindsight, claimants believed they should have been



in one particular portfolio that would have resulted in positive gains for their managed account. No evidence supported their claims. All recommendations were based on claimants' agreed upon risk analysis and claimants consented to every action. Meeting notes and documents signed by clients supported the disclosures provided to claimants regarding applicability of fees, type of accounts, discretionary authority, and investment strategy chosen.



End of Report

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