



## IAPD Report

# MICHAEL PAULDING THOMAS

CRD# 2231234

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### MICHAEL PAULDING THOMAS (CRD# 2231234)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/24/2024**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	INNOVATION PARTNERS LLC	CRD# 146344	04/11/2021
<b>IA</b>	IP FINANCIAL ADVISORY SERVICES LLC	CRD# 305772	04/22/2021

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **16** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	PARSONEX ADVISORY SERVICES, INC.	160275	Orange, CA	01/12/2021 - 02/10/2021
<b>B</b>	PARSONEX SECURITIES, INC.	144412	ORANGE, CA	12/14/2007 - 02/10/2021
<b>IA</b>	PARSONEX ADVISORY SERVICES, INC.	160275	ENGLEWOOD, CO	01/14/2013 - 12/31/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Termination	2
Judgment/Lien	7



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **16** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 2

Firm Name: **INNOVATION PARTNERS LLC**  
Main Address: 5950 FAIRVIEW ROAD  
SUITE 140  
CHARLOTTE, NC 28210  
Firm ID#: 146344

Regulator	Registration	Status	Date
<b>B</b> FINRA	Invest. Co and Variable Contracts	Approved	04/11/2021
<b>B</b> FINRA	Investment Co./Variable Contracts Prin	Approved	04/11/2021
<b>B</b> Arizona	Agent	Approved	08/25/2021
<b>B</b> Arkansas	Agent	Approved	07/22/2021
<b>B</b> California	Agent	Approved	04/12/2021
<b>B</b> Florida	Agent	Approved	07/29/2021
<b>B</b> Georgia	Agent	Approved	08/02/2021
<b>B</b> Indiana	Agent	Approved	07/26/2021
<b>B</b> Kansas	Agent	Approved	05/16/2023
<b>B</b> Michigan	Agent	Approved	07/26/2021
<b>B</b> Minnesota	Agent	Approved	05/06/2023
<b>B</b> Nevada	Agent	Approved	08/28/2023
<b>B</b> New York	Agent	Approved	08/01/2021



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> North Carolina	Agent	Approved	08/09/2021
<b>B</b> Oklahoma	Agent	Approved	03/01/2024
<b>B</b> Pennsylvania	Agent	Approved	07/22/2021
<b>B</b> Texas	Agent	Approved	07/21/2021
<b>B</b> Virginia	Agent	Approved	07/30/2021

### Branch Office Locations

#### INNOVATION PARTNERS LLC

Mission Viejo, CA

### Employment 2 of 2

Firm Name: **IP FINANCIAL ADVISORY SERVICES LLC**  
 Main Address: 5950 FAIRVIEW ROAD, SUITE 140  
 CHARLOTTE, NC 28210  
 Firm ID#: 305772

Regulator	Registration	Status	Date
<b>IA</b> California	Investment Adviser Representative	Approved	04/22/2021
<b>IA</b> Texas	Investment Adviser Representative	Restricted Approval	09/01/2021

### Branch Office Locations

#### IP FINANCIAL ADVISORY SERVICES LLC

Mission Viejo, CA



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	09/13/1995

#### General Industry/Product Exams

Exam	Category	Date
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	05/18/1992

#### State Securities Law Exams

Exam	Category	Date
Uniform Investment Adviser Law Examination (S65)	Series 65	01/08/2013
Uniform Securities Agent State Law Examination (S63)	Series 63	05/18/1992

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/12/2021 - 02/10/2021	PARSONEX ADVISORY SERVICES, INC.	CRD# 160275	Orange, CA
B	12/14/2007 - 02/10/2021	PARSONEX SECURITIES, INC.	CRD# 144412	ORANGE, CA
IA	01/14/2013 - 12/31/2020	PARSONEX ADVISORY SERVICES, INC.	CRD# 160275	ENGLEWOOD, CO
B	11/06/2006 - 07/23/2007	C C F INVESTMENTS, INC.	CRD# 136571	ORANGE, CA
B	05/02/1995 - 10/24/2006	PFS INVESTMENTS INC.	CRD# 10111	ORANGE, CA
B	05/21/1992 - 12/31/1994	PFS INVESTMENTS INC.	CRD# 10111	DULUTH, GA

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2021 - Present	IP Financial Advisory Services LLC	Investment Advisor Representative	Y	Charlotte, NC, United States
04/2021 - Present	Innovation Partners LLC	Registered Representative	Y	Charlotte, NC, United States
01/2013 - Present	PARSONEX ADVISORY SERVICES, INC.	INVESTMENT ADVISOR REPRESENTATIVE	Y	AURORA, CO, United States
12/2007 - Present	PARSONEX SECURITIES, INC.	REGISTERED REPRESENTATIVE	Y	AURORA, CO, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Termination	2
Judgment/Lien	7

### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	PFS INVESTMENTS, INC
<b>Allegations:</b>	CUSTOMER FILED A WRITTEN COMPLAINT ALLEGING THAT I DID NOT INFORM HER OF THE DEATH BENEFIT PROVISION OF HER HUSBANDS PRIMELITE VARIABLE ANNUITY CONTRACT.
<b>Product Type:</b>	Annuity(ies) - Variable
<b>Other Product Type(s):</b>	PRIMELITE
<b>Alleged Damages:</b>	\$19,000.00

### Customer Complaint Information

<b>Date Complaint Received:</b>	05/20/2003
<b>Complaint Pending?</b>	No
<b>Status:</b>	Closed/No Action
<b>Status Date:</b>	08/26/2003
<b>Settlement Amount:</b>	\$0.00
<b>Individual Contribution Amount:</b>	\$0.00
<b>Broker Statement</b>	THE COMPLAINT WAS SETTLED BY TRAVELERS LIFE AND ANNUITY



COMPANY. PFS INVESTMENTS, INC. WAS NOT A PARTY TO THE SETTLEMENT.



## Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

### Disclosure 1 of 2

**Reporting Source:** Firm

**Firm Name:** C C F INVESTMENTS

**Termination Type:** Voluntary Resignation

**Termination Date:** 07/16/2007

**Allegations:** INVOLVED IN MANY DIFFERENT OUTSIDE ACTIVITIES WITHOUT BROKER/DEALER APPROVAL, THIS IS A VIOLATION OF THE FIRMS WRITTEN PROCEDURES.

**Product Type:** Other

**Other Product Types:** SEND OUT CARDS, HERITAGE LIVING TRUST, PARSONEX CAPITAL MANAGEMENT INC, PARAGON LENDING SOLUTIONS, INC, PARAGON SOLUTIONS NETWORK INC

**Firm Statement** AMENDED U5 TO REMOVE PRE-PAID LEGAL.ALSO BEING AMENDED IS THE WORD NETWORKING, THE "ING" IS BEING REMOVED TO REFLECT THE CORRECT NAME OF THE ENTITY, THIS WAS A TYPOGRAPHICAL ERROR.

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**Reporting Source:** Individual

**Firm Name:** CCF INVESTMENTS, INC.

**Termination Type:** Voluntary Resignation

**Termination Date:** 07/16/2007

**Allegations:** CCF ALLEGED THAT I WAS INVOLVED IN SEVERAL OUTSIDE BUSINESS ACTIVITIES WITHOUT BROKER/DEALER APPROVAL. PLEASE SEE COMMENTS.

**Product Type:** Other

**Other Product Types:** SEND OUT CARDS, HERITAGE LIVING TRUST, PARSONEX CAPITAL MANAGEMENT, PARAGON LENDIGN SOLUTIONS, PARAGON SOLUTIONS NETWORK

**Broker Statement** THE ALLEGATIONS OF CCF INVESTMENTS ARE ERRONEOUS. MY OSJ WAS NOTIFIED AS WELL AS THE HOME OFFICE, WHICH APPROVED MY WEBSITE AND BUSINESS CARDS FULLY DISCLOSING ALL OUTSIDE BUSINESS ACTIVITIES. I NEVER ENGAGED IN SELLING AWAY AND DID NOTIFY THE FIRM PER RULE 3030 OF MY OUTSIDE BUSINESS ACITIVITIES. AS EVIDENCE TO THIS STATEMENT, I HAVE COPIES OF CCF INVESTMENTS' APPROVAL. SPECIFICALLY I HAVE SIGNED CORRESPONDENCE WHICH APPROVES MY BUSINESS CARDS AND WEBSITE WHICH BOTH DISCLOSE MY OUTSIDE BUSINESS ACTIVITIES AND HAVE WRITTEN APPROVAL SIGNED BY CCFS CEO DICK KINNARD FOR PRE-PAID LEGAL AS AN OUTSIDE BUSINESS ACTIVITY WHICH WAS ALSO NAMED AND HAS SINCE BEEN AMMENDED AFTER I PROVIDED THEM WITH THE DOCUMENTATION THEY SIGNED.



**Disclosure 2 of 2**

**Reporting Source:** Firm

**Firm Name:** C C F INVESTMENTS

**Termination Type:** Voluntary Resignation

**Termination Date:** 07/16/2007

**Allegations:** HE HAD KNOWLEDGE THAT HIS ASSIGNED REPRESENTATIVES WERE PARTICIPATING IN UNAPPROVED ACTIVITIES THAT WERE NOT DISCLOSED TO CCF INVESTMENTS, INC. IT IS ALSO BEEN ALLEGED THAT MR. THOMAS HAS PURCHASED STOCK IN A PRIVATE PLACEMENT WITH THIS COMPANY AND IS RECOMMENDING SUCH TO OTHERS WITHOUT DISCLOSURE OR PRIOR APPROVAL OF THE BROKER/DEALER. REPRESENTATIVES U5 IS BEING AMENDED TO REFLECT THE FACT MR. THOMAS WAS ALSO AWARE THAT CERTAIN ASSIGNED REPRESENTATIVES HAD PURCHASED STOCK IN THE SAME PRIVATE PLACEMENT AND WERE ALSO RECOMMENDING ITS PURCHASE TO OTHERS WITHOUT PRIOR APPROVAL OR DISCLOSURE TO THE BROKER/DEALER

**Product Type:** Other

**Other Product Types:** SEND OUT CARDS, HERITAGE LIVING TRUST, PARSONEX CAPITAL MANAGEMENT INC, PARAGON LENDING SOLUTIONS, INC, PARAGON SOLUTIONS NETWORKING INC

**Firm Statement** REPRESENTATIVES U5 IS BEING AMENDED TO REFLECT THE FACT MR. THOMAS WAS ALSO AWARE THAT CERTAIN ASSIGNED REPRESENTATIVES HAD PURCHASED STOCK IN THE SAME PRIVATE PLACEMENT AND WERE ALSO RECOMMENDING ITS PURCHASE TO OTHERS WITHOUT PRIOR APPROVAL OR DISCLOSURE TO THE BROKER/DEALER. ALSO AMENDED THE U5 TO REMOVE OTHER PRODUCT TYPES:PRE-PAID LEGAL.ALSO BEING AMENDED IS THE WORD NETWORKING, THE "ING" IS BEING REMOVED TO REFLECT THE CORRECT NAME OF THE ENTITY, THIS WAS A TYPOGRAPHICAL ERROR.

.....

**Reporting Source:** Individual

**Firm Name:** CCF INVESTMENTS, INC.

**Termination Type:** Voluntary Resignation

**Termination Date:** 07/16/2007

**Allegations:** IT WAS ALLEGED THAT MY ASSIGNED REPRESENTATIVES WERE PARTICIPATING IN UNAPPROVED OUTSIDE BUSINESS ACTIVITIES. IT WAS FURTHER ALLEGED THAT I PURCHASED STOCK IN A PRIVATE PLACEMENT AND RECOMMENDED SUCH TO OTHERS. IT IS ALSO ALLEGED THAT I WAS AWARE THAT OTHERS HAD PURCHASED STOCK IN A PRIVATE PLACEMENT AND THAT MY ASSIGNED REPRESENTATIVES WERE RECOMMENDING ITS PURCHASE.

**Product Type:** Other

**Other Product Types:** SEND OUT CARDS, HERITAGE LIVING TRUSTS, PARSONEX CAPITAL MANAGEMENT, PARAGON LENDING SOLUTIONS AND PARAGON SOLUTIONS NETWORK.

**Broker Statement** THESE ALLEGATIONS ARE ONCE AGAIN ERRONEOUS. THE REPRESENTATIVES, ACCORDING TO MY KNOWLEDGE, HAD PREVIOUSLY DISCLOSED THIS IN THE SAME MANNER THAT MY OUTSIDE BUSINESS ACTIVITIES HAD BEEN. FURTHERMORE, NOTIFICATION WAS NEVER



REQUIRED TO BE SUBMITTED TO ME AS I WAS NOT THE OSJ PRINCIPAL. I DID PURCHASE STOCK IN A PRIVATE PLACEMENT AND CCF INVESTMENTS WAS DULY NOTIFIED OF SUCH ACTIVITY. I NEVER RECOMMENDED OTHERS TO PURCHASE ANY STOCK IN A PRIVATE PLACEMENT. OUR OSJ WAS FULLY AWARE OF ALL OUTSIDE BUSINESS ACTIVITIES AND THE REQUISITE PROVISIONS FOR ENGAGING IN A PRIVATE PLACEMENT TRANSACTION. THIS U5 FILING ALSO SHOWS THE INEFFICIENCY/INADEQUACY OF CCF INVESTMENTS' FILINGS AND INVESTIGATION PROCESS. THEY HAVE BEEN PROVIDED, VIA MY ATTORNEY, SIGNIFICANT DOCUMENTATION CONTRADICTING THE STATEMENTS THEY HAVE ALLEGED IN THE INITIAL U5 FILING AND HAVE FAILED TO MAKE THE NECESSARY CORRECTIONS TO SUCH DOCUMENT.



## Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

### Disclosure 1 of 7

**Reporting Source:** Individual  
**Judgment/Lien Holder:** TD Bank USA NA  
**Judgment/Lien Amount:** \$2,754.55  
**Judgment/Lien Type:** Civil  
**Date Filed with Court:** 12/11/2023  
**Date Individual Learned:** 12/15/2023  
**Type of Court:** State Court  
**Name of Court:** Orange County Court  
**Location of Court:** Orange County, CA  
**Docket/Case #:** 30-2023-01321568-CL-CL-CJC  
**Judgment/Lien Outstanding?** Yes

#### Broker Statement

This is a credit card that I owe and at the time I had a fire in my apartment that had a negative affect on my finances as I had to move out for 2 months until it was repaired. Insurance did not cover the cost of where I was staying, but I had to keep paying rent for my apartment.

### Disclosure 2 of 7

**Reporting Source:** Individual  
**Judgment/Lien Holder:** State of California Franchise Tax Board  
**Judgment/Lien Amount:** \$4,610.89  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 10/26/2021  
**Date Individual Learned:** 11/22/2021  
**Type of Court:** Filed By Franchise Tax Board - California  
**Name of Court:** State of California Franchise Tax Board  
**Location of Court:** Orange County  
**Judgment/Lien Outstanding?** Yes

#### Broker Statement

Due to last year's low production due to the crises and being out of work from January to May of 2021.

### Disclosure 3 of 7

**Reporting Source:** Individual  
**Judgment/Lien Holder:** IRS  
**Judgment/Lien Amount:** \$37,795.16  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 08/29/2017



**Date Individual Learned:** 10/25/2018  
**Type of Court:** IRS  
**Name of Court:** IRS  
**Location of Court:** Santa Ana, CA  
**Docket/Case #:** 276679117  
**Judgment/Lien Outstanding?** Yes

**Disclosure 4 of 7**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** IRS  
**Judgment/Lien Amount:** \$38,909.19  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 08/25/2016  
**Date Individual Learned:** 10/25/2018  
**Type of Court:** IRS  
**Name of Court:** IRS  
**Location of Court:** Santa Ana, CA  
**Docket/Case #:** 227022316  
**Judgment/Lien Outstanding?** Yes

**Disclosure 5 of 7**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** IRS  
**Judgment/Lien Amount:** \$25,687.56  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 05/13/2010  
**Date Individual Learned:** 05/10/2015  
**Type of Court:** IRS  
**Name of Court:** IRS  
**Location of Court:** SANTA ANA, CA  
**Docket/Case #:** 656180110  
**Judgment/Lien Outstanding?** Yes

**Disclosure 6 of 7**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** IRS  
**Judgment/Lien Amount:** \$26,316.91  
**Judgment/Lien Type:** Tax



**Date Filed with Court:** 09/27/2013  
**Date Individual Learned:** 05/10/2015  
**Type of Court:** IRS  
**Name of Court:** IRS  
**Location of Court:** SANTA ANA, CA  
**Docket/Case #:** 896572912  
**Judgment/Lien Outstanding?** Yes

**Disclosure 7 of 7**

**Reporting Source:** Individual  
**Judgment/Lien Holder:** IRS  
**Judgment/Lien Amount:** \$55,192.49  
**Judgment/Lien Type:** Tax  
**Date Filed with Court:** 07/31/2014  
**Date Individual Learned:** 05/10/2015  
**Type of Court:** IRS  
**Name of Court:** IRS  
**Location of Court:** SANTA ANA, CA  
**Docket/Case #:** 112765614  
**Judgment/Lien Outstanding?** Yes



## End of Report

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