



## IAPD Report

# ERICK GLENN SCHENKHUIZEN

CRD# 2242768

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page  
<http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### ERICK GLENN SCHENKHUIZEN (CRD# 2242768)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/27/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	US CAPITAL GLOBAL WEALTH MANAGEMENT, LLC	CRD# 299885	04/25/2025

### QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	ZERO DAY CAPITAL ENTERPRISES LLC	331228	Carlsbad, CA	10/28/2024 - 03/25/2025
IA	TACTIVE ADVISORS, LLC	309311	Carlsbad, CA	04/08/2024 - 01/22/2025
IA	ZERO DAY CAPITAL ENTERPRISES LLC	331228	Carlsbad, CA	05/23/2024 - 10/23/2024

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Judgment/Lien	2



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

#### Employment 1 of 1

Firm Name: **US CAPITAL GLOBAL WEALTH MANAGEMENT, LLC**

Main Address: 1 FERRY BUILDING  
SUITE 201  
SAN FRANCISCO, CA 94111

Firm ID#: 299885

Regulator	Registration	Status	Date
IA California	Investment Adviser Representative	Approved	04/25/2025

#### Branch Office Locations

**US CAPITAL GLOBAL WEALTH MANAGEMENT, LLC**

Carlsbad, CA



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	07/03/1997
 General Securities Sales Supervisor Examination (Options Module & General Module) (S8)	Series 8	06/12/1996

#### General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	06/10/2025
 Futures Managed Funds Examination (S31)	Series 31	04/24/1999
 General Securities Representative Examination (S7)	Series 7	07/08/1992

#### State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	03/23/1999
 Uniform Securities Agent State Law Examination (S63)	Series 63	07/16/1992

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/28/2024 - 03/25/2025	ZERO DAY CAPITAL ENTERPRISES LLC	CRD# 331228	Carlsbad, CA
IA	04/08/2024 - 01/22/2025	TACTIVE ADVISORS, LLC	CRD# 309311	Carlsbad, CA
IA	05/23/2024 - 10/23/2024	ZERO DAY CAPITAL ENTERPRISES LLC	CRD# 331228	Carlsbad, CA
IA	05/31/2022 - 05/17/2024	EMPIRIKAL PARTNERS LLC	CRD# 282691	Carlsbad, CA
IA	03/11/2020 - 06/24/2022	CLARAPHI ADVISORY NETWORK, LLC	CRD# 165868	Carlsbad, CA
IA	06/20/2019 - 04/03/2020	PENSIONMARK FINANCIAL GROUP, LLC	CRD# 208512	Escondido, CA
B	06/20/2019 - 04/03/2020	PENSIONMARK SECURITIES, LLC	CRD# 283952	Escondido, CA
IA	08/07/2012 - 07/30/2019	INDEPENDENT FINANCIAL PARTNERS	CRD# 125112	CARLSBAD, CA
B	03/20/2009 - 07/02/2019	LPL FINANCIAL LLC	CRD# 6413	CARLSBAD, CA
IA	12/14/2015 - 12/17/2015	LPL FINANCIAL LLC	CRD# 6413	CARLSBAD, CA
IA	03/20/2009 - 06/12/2013	LPL FINANCIAL LLC	CRD# 6413	CARLSBAD, CA
IA	03/16/2007 - 03/23/2009	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	SAN DIEGO, CA
B	09/15/1997 - 03/23/2009	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	SAN DIEGO, CA
IA	09/15/1997 - 12/31/2006	MERRILL LYNCH PIERCE FENNER & SMITH INC.	CRD# 7691	SAN DIEGO, CA
B	05/03/1994 - 09/18/1997	OLDE DISCOUNT CORPORATION	CRD# 5979	DETROIT, MI
B	04/12/1993 - 11/01/1993	PORTFOLIO ASSET MGT/USA FINANCIAL GROUP INC.	CRD# 13741	EL PASO, TX



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

Registration Dates	Firm Name	ID#	Branch Location
<b>B</b> 07/13/1992 - 04/08/1993	THOMAS JAMES ASSOCIATES, INC.	CRD# 15609	ROCHESTER, NY

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2025 - Present	US Capital Global Securities LLC	Investment Banker	Y	San Francisco, CA, United States
04/2025 - Present	US CAPITAL GLOBAL WEALTH MANAGEMENT LLC	Investment Advisor	Y	San Francisco, CA, United States
05/2024 - 03/2025	ZERO DAY CAPITAL ENTERPRISES LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	CARLSBAD, CA, United States
04/2024 - 01/2025	TACTIVE ADVISORS, LLC	Investment Advisor Representative	Y	Carlsbad, CA, United States
06/2022 - 05/2024	Empirikal Partners LLC	Investment Advisor Representative	Y	Phoenix, AZ, United States
01/2020 - 06/2022	CLARAPHI ADVISORY NETWORK, LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	San Diego, CA, United States
06/2019 - 04/2020	PENSIONMARK FINANCIAL GROUP, LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	Santa Barbara, CA, United States
06/2019 - 04/2020	PENSIONMARK SECURITIES, LLC	REGISTERED REPRESENTATIVE	Y	Santa Barbara, CA, United States
08/2012 - 07/2019	LPL FINANCIAL LLC	REGISTERED REPRESENTATIVE	Y	CARLSBAD, CA, United States
03/2009 - 07/2019	INDEPENDENT FINANCIAL PARTNERS	INVESTMENT ADVISOR REPRESENTATIVE	Y	CARLSBAD, CA, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

4/2025PRESENTUS CAPITAL GLOBAL WEALTH MANAGEMENT LLC San Francisco California Investment advisor. 50 percent of working day spent on advisory business.



## Registration & Employment History

### OTHER BUSINESS ACTIVITIES

09/2025PRESENTUS Capital Global Securities LLC San Francisco California Investment Banking 50 percent of working day spent on investment banking business



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Judgment/Lien	2

### Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

#### Disclosure 1 of 2

Reporting Source:	Individual
Judgment/Lien Holder:	Bank of America
Judgment/Lien Amount:	\$21,248.92
Judgment/Lien Type:	Civil
Date Filed with Court:	11/16/2019
Date Individual Learned:	03/02/2020
Type of Court:	State Court
Name of Court:	Superior Court of California
Location of Court:	San Diego
Docket/Case #:	37-2019-00030627-CL-CL-NC
Judgment/Lien Outstanding?	Yes

#### Disclosure 2 of 2

Reporting Source:	Individual
Judgment/Lien Holder:	INTERNAL REVENUE SERVICE
Judgment/Lien Amount:	\$25,967.68
Judgment/Lien Type:	Tax
Date Filed with Court:	12/31/2018
Date Individual Learned:	01/17/2019
Type of Court:	INTERNAL REVENUE SERVICE
Name of Court:	INTERNAL REVENUE SERVICE
Location of Court:	SAN DIEGO COUNTY, CA



**Docket/Case #:** 2018-053430

**Judgment/Lien Outstanding?** Yes



## End of Report

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