



## IAPD Report

# MATTHEW WALKER TOWNLEY

CRD# 2253636

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### MATTHEW WALKER TOWNLEY (CRD# 2253636)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/26/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	09/14/1992
<b>IA</b>	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	12/13/2006

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **30** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
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No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **30** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **THRIVENT INVESTMENT MANAGEMENT INC.**  
Main Address: 600 PORTLAND AVENUE SOUTH  
MINNEAPOLIS, MN 55415  
Firm ID#: 18387

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	Invest. Co and Variable Contracts	Approved	09/14/1992
<b>B</b>	FINRA	General Securities Representative	Approved	08/15/2006
<b>B</b>	Alabama	Agent	Approved	07/23/2007
<b>B</b>	California	Agent	Approved	09/14/1992
<b>B</b>	Colorado	Agent	Approved	05/28/2025
<b>B</b>	Connecticut	Agent	Approved	05/27/2025
<b>B</b>	Florida	Agent	Approved	06/30/2016
<b>B</b>	Georgia	Agent	Approved	01/29/2003
<b>B</b>	Idaho	Agent	Approved	10/22/2024
<b>B</b>	Illinois	Agent	Approved	03/29/2018
<b>B</b>	Indiana	Agent	Approved	03/26/2026
<b>B</b>	Kansas	Agent	Approved	06/23/2021
<b>B</b>	Kentucky	Agent	Approved	09/03/2024



### Qualifications

Regulator	Registration	Status	Date
B Louisiana	Agent	Approved	03/27/2026
B Maine	Agent	Approved	01/24/2018
B Maryland	Agent	Approved	04/07/2026
B Michigan	Agent	Approved	04/06/2022
B Minnesota	Agent	Approved	05/27/2025
B Mississippi	Agent	Approved	04/01/2026
B Missouri	Agent	Approved	01/05/2026
B New Mexico	Agent	Approved	05/28/2025
B North Carolina	Agent	Approved	02/26/2019
B Ohio	Agent	Approved	04/08/2025
B Oregon	Agent	Approved	07/13/2025
B Pennsylvania	Agent	Approved	05/28/2025
B South Carolina	Agent	Approved	11/03/2017
IA Tennessee	Investment Adviser Representative	Approved	12/18/2007
B Tennessee	Agent	Approved	01/28/2020
B Texas	Agent	Approved	03/27/2026
IA Texas	Investment Adviser Representative	Restricted Approval	03/27/2026
B Utah	Agent	Approved	01/26/2024



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> Virginia	Agent	Approved	03/12/2008
<b>B</b> West Virginia	Agent	Approved	04/02/2026
<b>B</b> Wisconsin	Agent	Approved	02/25/2019

### Branch Office Locations

**THRIVENT INVESTMENT MANAGEMENT INC.**  
118 LEE PARKWAY DR  
STE 305  
CHATTANOOGA, TN 37421



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	08/14/2006
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	09/11/1992

#### State Securities Law Exams

Exam	Category	Date
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Uniform Combined State Law Examination (S66)	Series 66	12/11/2006
Uniform Securities Agent State Law Examination (S63)	Series 63	09/11/1992

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:



No information reported.

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2002 - Present	THRIVENT FINANCIAL FOR LUTHERANS	FINANCIAL ASSOCIATE	Y	APPLETON, WI, United States
07/2002 - Present	THRIVENT INVESTMENT MANAGEMENT INC	REGISTERED REPRESENTATIVE	Y	CHATTANOOGA, TN, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

#### FIRST LUTHERAN CHURCH IN CHATTANOOGA - ELDER

POSITION: Board of Elders NATURE: Spreading the gospel of Jesus Christ to a fallen world. Fulfilling the great commission in and around Chattanooga, TN. INVESTMENT RELATED: No NUMBER OF HOURS: 3 SECURITIES TRADING HOURS: 0 START DATE: 07/01/2012

ADDRESS: 2800 McCallie Ave, Chattanooga TN 37404

DESCRIPTION: I serve on the board of elders which means church members in my "care group" call on me in times of personal crisis or family need for prayer and visitation. Periodically I serve communion during worship. I also help staff the usher teams for Sunday worship. The board of elders serves as a spiritual sounding board for the pastoral staff of the church.

#### TRANSFORMATION PROJECT

POSITION: Board of Directors Member NATURE: The mission of the Transformation Project is to make disciples of Jesus Christ by transforming the lives of addiction-related offenders, their families and future generations through the power of God, thereby reducing repeat offenses and crime. Transformation Project is a prison ministry that provides non-violent offenders an alternative to prison. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 09/01/2023

ADDRESS: P.O. Box 22908, Chattanooga TN 37422-2908, United States

DESCRIPTION: I would be a member of the Board of Directors which meets quarterly. Though I would have voting rights, which will shape the direction of the nonprofit's future, I will have no access to the financial aspects of running the nonprofit.

#### TRANSFORMATION PROJECT

POSITION: Advisory Council member NATURE: The mission of the Transformation Project is to make disciples of Jesus Christ by transforming the lives of addiction-related offenders, their families and future generations through the power of God, thereby reducing repeat offenses and crime. Transformation Project is a prison ministry that provides non-violent offenders an alternative to prison INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 12/04/2015 ADDRESS: P.O. Box 22908, Chattanooga TN 37422-2908, United States DESCRIPTION: The advisory council meets once per quarter on the first Friday of the month in which it meets. My role is to provide input and feedback on current and planned projects of the organization. I will also attend a graduation dinner once a year for graduates of the program.



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

#### RESIDENTIAL RENTAL PROPERTY

POSITION: Owner NATURE: This is my previous primary residence which will be used for rental income. INVESTMENT

RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 11/01/2025

ADDRESS: 130 Spring LN, Ringgold GA 30736, United States

DESCRIPTION: Primarily I will be collecting rent and paying for any needed repairs. I intend to hire a property management company to deal with the day to day concerns of renting the house and vetting prospective tenants.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1

### Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Individual
<b>Regulatory Action Initiated By:</b>	CALIFORNIA INSURANCE DEPARTMENT
<b>Sanction(s) Sought:</b>	
<b>Other Sanction(s) Sought:</b>	
<b>Date Initiated:</b>	04/30/1998
<b>Docket/Case Number:</b>	
<b>Employing firm when activity occurred which led to the regulatory action:</b>	
<b>Product Type:</b>	
<b>Other Product Type(s):</b>	
<b>Allegations:</b>	REGISTERED REPRESENTATIVE FAILED TO COMPLETE HIS STATE OF CA INSURANCE CONTINUING EDUCATION REQUIREMENTS BY APRIL 30, 1998. BECAUSE THE COURSE ATTENDED WAS NOT APPROVED BY THE STATE OF CALIFORNIA AND UNTIL AFTER THE MEETING. THE REGISTERED REPRESENTATIVE DID NOT RECEIVE 3 CREDITS AS EXPECTED.
<b>Current Status:</b>	Final
<b>Resolution:</b>	Decision
<b>Resolution Date:</b>	06/30/1998
<b>Sanctions Ordered:</b>	Revocation/Expulsion/Denial



**Other Sanctions Ordered:**

**Sanction Details:**

REGISTERED REPRESENTATIVE WAS PENALIZED \$56 DUE TO CONTINUING EDUCATION HOURS BEING LATE AND THE LICENSE WAS REVOKED AFTER 60 DAY GRACE PERIOD EXPIRED (6-30-98). NEW CALIFORNIA INSURANCE LICENSE WAS ISSUED AS OF JUNE 29, 1998.

**Broker Statement**

Not Provided



## End of Report

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