



IAPD Report

Daniel Edward Rebmann

CRD# 2254569

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Daniel Edward Rebmann (CRD# 2254569)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/06/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	09/08/2025
IA	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	CRD# 149018	09/08/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **20** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	MOMENTUM INDEPENDENT NETWORK INC.	17587	San Antonio, TX	10/09/2020 - 09/08/2025
IA	MOMENTUM INDEPENDENT NETWORK INC.	17587	San Antonio, TX	10/09/2020 - 09/08/2025
B	UBS FINANCIAL SERVICES INC.	8174	SAN ANTONIO, TX	03/18/2011 - 10/20/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **20** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **RAYMOND JAMES FINANCIAL SERVICES, INC.**
Main Address: 880 CARILLON PARKWAY
ST. PETERSBURG, FL 33716
Firm ID#: 6694

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	09/08/2025
B	FINRA	General Securities Sales Supervisor	Approved	09/08/2025
B	Arkansas	Agent	Approved	09/08/2025
B	California	Agent	Approved	09/08/2025
B	Colorado	Agent	Approved	09/08/2025
B	Connecticut	Agent	Approved	09/08/2025
B	Florida	Agent	Approved	09/08/2025
B	Georgia	Agent	Approved	09/08/2025
B	Illinois	Agent	Approved	09/11/2025
B	Indiana	Agent	Approved	09/08/2025
B	Massachusetts	Agent	Approved	09/08/2025
B	Mississippi	Agent	Approved	09/08/2025
B	Missouri	Agent	Approved	01/07/2026



Qualifications

Regulator	Registration	Status	Date
B Nevada	Agent	Approved	09/08/2025
B New Mexico	Agent	Approved	09/08/2025
B North Carolina	Agent	Approved	09/08/2025
B Ohio	Agent	Approved	09/08/2025
B South Carolina	Agent	Approved	10/31/2025
B Texas	Agent	Approved	09/08/2025
B Utah	Agent	Approved	09/08/2025
B Virginia	Agent	Approved	09/08/2025
B Washington	Agent	Approved	09/08/2025

Branch Office Locations

RAYMOND JAMES FINANCIAL SERVICES

40 Northeast Loop 410
Suite 630
San Antonio, TX 78213

Employment 2 of 2

Firm Name: **RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC**

Main Address: 880 CARILLON PARKWAY
SAINT PETERSBURG, FL 33716

Firm ID#: 149018

Regulator	Registration	Status	Date
IA Texas	Investment Adviser Representative	Approved	09/08/2025

Branch Office Locations

RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC

40 NE Loop 410
Ste 630
San Antonio, TX 78216



Qualifications



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
General Securities Sales Supervisor - General Module Examination (S10)	Series 10	04/30/2007
General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	02/27/2007

General Industry/Product Exams

Exam	Category	Date
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
National Commodity Futures Examination (S3)	Series 3	02/07/2011
Futures Managed Funds Examination (S31)	Series 31	09/05/2000
General Securities Representative Examination (S7)	Series 7	07/13/1992

State Securities Law Exams

Exam	Category	Date
Uniform Investment Adviser Law Examination (S65)	Series 65	04/28/1997
Uniform Securities Agent State Law Examination (S63)	Series 63	07/20/1992

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	10/09/2020 - 09/08/2025	MOMENTUM INDEPENDENT NETWORK INC.	CRD# 17587	San Antonio, TX
IA	10/09/2020 - 09/08/2025	MOMENTUM INDEPENDENT NETWORK INC.	CRD# 17587	San Antonio, TX
B	03/18/2011 - 10/20/2020	UBS FINANCIAL SERVICES INC.	CRD# 8174	SAN ANTONIO, TX
IA	03/18/2011 - 10/20/2020	UBS FINANCIAL SERVICES INC.	CRD# 8174	SAN ANTONIO, TX
B	07/09/1998 - 03/22/2011	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	SAN ANTONIO, TX
IA	07/09/1998 - 03/22/2011	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	SAN ANTONIO, TX
B	04/13/1995 - 07/14/1998	BANC ONE SECURITIES CORPORATION	CRD# 16999	CHICAGO, IL
B	07/15/1992 - 04/11/1995	EDWARD D. JONES & CO., L.P.	CRD# 250	ST. LOUIS, MO

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2025 - Present	Raymond James Financial Services Advisors Inc.	Investment Advisor Representative	Y	San Antonio, TX, United States
09/2025 - Present	Raymond James Financial Services Inc.	Registered Representative	Y	San Antonio, TX, United States
10/2020 - Present	Beneficent Financial	Advisor/Owner	N	San Antonio, TX, United States
10/2020 - 09/2025	Momentum Independent Network Inc.	Registered Representative	Y	Dallas, TX, United States
03/2011 - 10/2020	UBS Financial Services Inc.	Registered Representative	Y	San Antonio, TX, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) Beneficent Financial
not investment related
San Antonio, TX
DBA/Support Company
Advisor

start date: 10/2020
0 hrs per month
0 during trading hours

(2) Social Venture Partners
not investment related
San Antonio, TX
Non-profit philanthropic organization
Board member

start date: 01/2023
8 hrs per month
4 during trading hours
Assist other non-profits with training, fundraising, financial management, leadership etc.

(3) Biblical Leadership for Excellence
Not-investment related;
San Antonio, TX;
Faith-based organization;
Board member;
Start 01/01/2023;

7 hrs/mo;
2 hrs/mo during business hours.
I assist in leading Bible Studies and encourage churches to engage with BLE and establish study groups for their members looking at how to live out faith on daily basis.

(4) Podcast 'Philanthropy SA'
not investment related
San Antonio, TX
Podcast
host

start date: 03/2024
4 hrs per month
0 during trading hours
I source guests for the podcast and schedule interviews. We typically record 2 episodes per month,



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	UBS FINANCIAL SERVICES INC
Allegations:	Claimants allege structured products were purchased in their accounts without authorization. Time frame: July 2013 - October 2014.
Product Type:	Other: STRUCTURED PRODUCTS
Alleged Damages:	\$95,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	16-00648
Filing date of arbitration/CFTC reparation or civil litigation:	03/08/2016

Customer Complaint Information

Date Complaint Received:	03/08/2016
Complaint Pending?	No



Status: Settled
Status Date: 07/13/2016
Settlement Amount: \$25,000.00
Individual Contribution Amount: \$0.00

Disclosure 2 of 2

Reporting Source: Firm
Employing firm when activities occurred which led to the complaint: MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED
Allegations: THE CUSTOMER ALLEGES UNSUITABLE INVESTMENT RECOMMENDATIONS AND MISREPRESENTATION AND OMISSION OF MATERIAL FACTS FROM SEPTEMBER 2010 TO OCTOBER 2010.
Product Type: Other: STRUCTURED PRODUCTS
Alleged Damages: \$44,610.00
Is this an oral complaint? No
Is this a written complaint? No
Is this an arbitration/CFTC reparation or civil litigation? Yes
Arbitration/Reparation forum or court name and location: FINRA
Docket/Case #: 14-00517
Filing date of arbitration/CFTC reparation or civil litigation: 02/14/2014

Customer Complaint Information

Date Complaint Received: 02/27/2014
Complaint Pending? No
Status: Settled
Status Date: 09/10/2015
Settlement Amount: \$35,500.00
Individual Contribution Amount: \$0.00

Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED
Allegations: THE CUSTOMER ALLEGES UNSUITABLE INVESTMENT RECOMMENDATIONS AND MISREPRESENTATION AND OMISSION OF MATERIAL FACTS FROM SEPTEMBER 2010 TO OCTOBER 2010.
Product Type: Other: STRUCTURED PRODUCTS



Alleged Damages: \$44,610.00

Is this an oral complaint? No

Is this a written complaint? No

**Is this an arbitration/CFTC
reparation or civil litigation?** Yes

**Arbitration/Reparation forum
or court name and location:** FINRA

Docket/Case #: 14-00517

**Filing date of
arbitration/CFTC reparation
or civil litigation:** 02/14/2014

Customer Complaint Information

Date Complaint Received: 02/27/2014

Complaint Pending? No

Status: Settled

Status Date: 09/10/2015

Settlement Amount: \$35,000.00

**Individual Contribution
Amount:** \$0.00

Broker Statement

I DENY THE ALLEGATIONS AS ASSOCIATED WITH MY RECOMMENDATION AND SELLING OF THIS PRODUCT TO THE CLIENT. THIS PRODUCT WAS A STRUCTURED PRODUCT ISSUED BY MY FORMER EMPLOYER, MERRILL LYNCH. THE FUND WAS TIED TO AN INDEX KNOWN AS THE "INVESTABLE VOLATILITY INDEX", WHICH WAS DESIGNED TO GIVE THE CLIENT PROTECTION AGAINST AN INCREASE IN VOLATILITY OVER THE LIFE OF THE NOTE. I SOLD THIS PRODUCT BASED ON THE SALES LITERATURE AND PROSPECTUS GIVEN TO ME BASED ON THIS OFFERING. I RECOMMENDED THIS INVESTMENT TO THE CLIENT AT A TIME OF HIGHER VOLATILITY AND AT THE POINT OF SALE, BELIEVED IT TO BE SUITABLE FOR THE CLIENT. I AM NOT RESPONSIBLE FOR THE PERFORMANCE OF THE PRODUCT NOR DID I HAVE ANY CONTROL OVER THE FACTORS WHICH CAUSED THE PRODUCT TO LOSE VALUE. THIS STRUCTURED PRODUCT DID NOT PERFORM AS I BELIEVED BASED ON THE INFORMATION GIVEN BY INTERNAL SOURCES AT MY PRIOR FIRM. HAD I KNOWN THIS, I WOULD NOT HAVE RECOMMENDED THIS PRODUCT FOR MY CLIENT. THE ALLEGATIONS AS STATED SHOULD NOT BE CONSTRUED AS ANY WRONG DOING OR VIOLATION OF RULES ON MY PART. I BELIEVE THESE ALLEGATIONS WILL BE PROVEN FALSE AS ALLEGED TOWARDS ME.



End of Report

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