



IAPD Report

CLINTON MATTHEW COOPER

CRD# 2258819

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

CLINTON MATTHEW COOPER (CRD# 2258819)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/20/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	COUGAR MUTUAL COMPANY INC	CRD# 337475	11/25/2025

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	ASSET ALLOCATION STRATEGIES, LLC	152339	MIRAMAR, FL	06/05/2023 - 07/05/2023
B	PFS INVESTMENTS INC.	10111	DULUTH, GA	10/19/1992 - 08/27/1997

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Judgment/Lien	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **COUGAR MUTUAL COMPANY INC**
Main Address: 9000 SHERIDAN STREET
UNIT 1
COOPER CITY, FL 33024
Firm ID#: 337475

Regulator	Registration	Status	Date
IA Florida	Investment Adviser Representative	Approved	11/25/2025

Branch Office Locations

COUGAR MUTUAL COMPANY INC
9000 SHERIDAN STREET
UNIT 1
COOPER CITY, FL 33024



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 1 general industry/product exam, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	10/16/1992
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State Securities Law Exams

Exam	Category	Date
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IA Uniform Investment Adviser Law Examination (S65)	Series 65	04/25/2023
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	06/05/2023 - 07/05/2023	ASSET ALLOCATION STRATEGIES, LLC	CRD# 152339	MIRAMAR, FL
B	10/19/1992 - 08/27/1997	PFS INVESTMENTS INC.	CRD# 10111	DULUTH, GA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2021 - Present	GLP INVESTMENT SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	FARMINGTON, MI, United States
09/2014 - Present	VALUTEACHERS	INSURANCE AGENT	Y	PONTE VEDRA, FL, United States
04/2002 - Present	COUGAR FINANCIAL SERVICES	OWNER	Y	PEMBROKE PINES, FL, United States
01/2000 - Present	COUGAR MORTGAGE CO.	OWNER	N	COOPER CITY, FL, United States
06/2000 - 12/2020	JC HOMES AND COMPANY	MORTGAGE SALES	N	MIAMI, FL, United States
07/2017 - 02/2019	AMERICO FINANCIAL LIFE	INSURANCE AGENT	N	KANSAS CITY, MO, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) GLP INVESTMENT SERVICES LLC. INVESTMENT RELATED. 33335 GRAND RIVER, FARMINGTON, MI 48336 START DATE: 03/2021 NATURE: (NOT LICENSED UNTIL 04/2023) RELATIONSHIP ENDED 06/2023. NO BUSINESS EVER WRITEN.

(2) COUGAR FINANCIAL SERVICES. INVESTMENT-RELATED. ADDRESS: 8362 PINES BLVD #303, PEMBROKE PINES, FL 33024. NATURE: DBA FOR INSURANCE SALES AND ANNUITIES (THRU VALUTEACHERS), INCOME TAX PREP. POSITION: OWNER. START DATE: 2002. HOURS DEVOTED PER MONTH: 5

(3) VALUTEACHERS. NON-INVESTMENT-RELATED. ADDRESS: 90 FORT WADE RD, SUITE 116, PONTE VEDRA, FL 32081. NATURE: INSURANCE SALES. POSITION: INSURANCE AGENT. START DATE: 2014. HOURS DEVOTED PER MONTH: 180. DUTIES: INSURANCE AND ANNUITY SALES.

(4) COUGAR MORTGAGE COMPANY. NOT INVESTMENT-RELATED. ADDRESS: 9000 SHERIDAN ST #103, COOPER CITY, FL 33024. NATURE: MORTGAGE LOANS. POSITION: SUPERVISOR/SALES. START DATE: 2000. HOURS DEVOTED PER



Registration & Employment History



OTHER BUSINESS ACTIVITIES

MONTH: 10. DUTIES: MORTGAGE SALES.

(5) COUGAR ENTERPRISES COMPANY ADDRESS: 8362 PINES BLVD #303, PEMBROKE PINES, FL 33024. NON-INVESTMENT RELATED. NATURE: PARENT COMPANY FOR COUGAR FINANCIAL SERVICES AND COUGAR MORTGAGE COMPANY. NATURE:



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Judgment/Lien	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Individual
Regulatory Action Initiated By:	State of Florida Department of Business and Professional Regulation - Division of Real Estate
Sanction(s) Sought:	Civil and Administrative Penalty(ies)/Fine(s) Monetary Penalty other than Fines Other: \$2500 fine + required to complete a 4-hour escrow management continuing education course and go on a 12-month probation period.
Date Initiated:	04/18/2017
Docket/Case Number:	2015-016894
Employing firm when activity occurred which led to the regulatory action:	JC Homes and Company - real estate Broker
Product Type:	No Product
Allegations:	Mr. Cooper (as an agent for the real estate broker) was the home buyer's realtor. The buyer asked to have the broker hold his funds rather than a title company. Unbeknownst to Mr. Cooper, the broker's account was not an escrow account. When Mr. Cooper delivered the check from the broker to the title company, it was returned and marked NSF (insufficient funds) - the broker claimed to have inadvertently written the check from the wrong account. The check was successfully replaced. The title company reported the return check to the real estate board, resulting in this stipulation order. Since then, all deposits are held by the title companies only. The broker was fined as well.
Current Status:	Final
Resolution:	Stipulation and Consent



Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	05/16/2017
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s) Monetary Penalty other than Fines Other: Pay \$1,000 + \$500 investigative cost rather than \$2,500. Additionally ordered to take a core law class rather than the escrow management course and go on a 12-month probation period.
Monetary Sanction 1 of 2	
Monetary Related Sanction:	Monetary Penalty other than Fines
Total Amount:	\$500.00
Portion Levied against individual:	\$500.00
Payment Plan:	n/a
Is Payment Plan Current:	No
Date Paid by individual:	
Was any portion of penalty waived?	No
Amount Waived:	
Monetary Sanction 2 of 2	
Monetary Related Sanction:	Civil and Administrative Penalty(ies)/Fine(s)
Total Amount:	\$1,000.00
Portion Levied against individual:	\$1,000.00
Payment Plan:	n/a
Is Payment Plan Current:	No
Date Paid by individual:	
Was any portion of penalty waived?	No
Amount Waived:	
Broker Statement	Mr. Cooper (as an agent for the real estate broker) was the home buyer's realtor. The buyer asked to have the broker hold his funds rather than a title company. Unbeknownst to Mr. Cooper, the broker's account was not an escrow account. When Mr. Cooper delivered the check from the broker to the title company, it was returned and marked NSF (insufficient funds) - the broker claimed to have inadvertently written the check from the wrong account. The check was successfully replaced. The title company reported the return check to the real estate board, resulting in this stipulation order. Since then, all deposits are held by the title companies only. The broker was fined as well.



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Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 1

Reporting Source: Individual
Judgment/Lien Holder: Applied Bank
Judgment/Lien Amount: \$2,340.05
Judgment/Lien Type: Civil
Date Filed with Court: 07/26/2011
Date Individual Learned: 04/26/2021

Type of Court: County
Name of Court: County Court in and for Broward County, Florida
Location of Court: Broward County, Florida
Docket/Case #: COSO-10-002715

Judgment/Lien Outstanding? Yes

Broker Statement

Upon receipt of this disclosure letter from FINRA, our firm notified Mr. Cooper who was completely unaware of the judgment. He does not possess the original copy that was furnished to 8362 Pines Blvd #303, Pembroke Pine, FL 33024-6600 and to his knowledge, has not received any additional correspondence in the years since. We conducted research to verify the accuracy of the event, whether or not Mr. Cooper was indeed the named defendant, and to obtain court documentation. We were eventually able to verify that the judgment is still open, does apply to Mr. Cooper, and that no action (hearing/disposition/etc.) has been rescheduled as of yet. Having learned of this judgment, Mr. Cooper will be looking into the event further in an effort to resolve the matter.



End of Report

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