



IAPD Report

EDWARD JOHN STORER

CRD# 2261025

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

EDWARD JOHN STORER (CRD# 2261025)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/24/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	IDLE HILL ADVISORS LLC	CRD# 317040	02/28/2022

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	FINANCIAL GRAVITY ASSET MANAGEMENT, INC.	144008	Greenville, SC	02/08/2017 - 03/24/2022
IA	FINANCIAL GRAVITY FAMILY OFFICE SERVICES, LLC	316024	Greenville, SC	09/24/2021 - 03/02/2022
IA	DYNAMIC WEALTH ADVISORS	151367	PHOENIX, AZ	12/12/2011 - 04/03/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	5



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **IDLE HILL ADVISORS LLC**
Main Address: 201 ROPER CREEK DRIVE
GREENVILLE, SC 29615
Firm ID#: 317040

Regulator	Registration	Status	Date
IA South Carolina	Investment Adviser Representative	Approved	02/28/2022

Branch Office Locations

IDLE HILL ADVISORS LLC
201 ROPER CREEK DRIVE
GREENVILLE, SC 29615



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

Exam	Category	Date
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No information reported.



General Industry/Product Exams

Exam	Category	Date
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 Futures Managed Funds Examination (S31)	Series 31	07/11/1996
 General Securities Representative Examination (S7)	Series 7	04/08/1996
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	04/14/1994

State Securities Law Exams

Exam	Category	Date
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 Uniform Investment Adviser Law Examination (S65)	Series 65	07/28/1997
 Uniform Securities Agent State Law Examination (S63)	Series 63	10/01/1992

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/08/2017 - 03/24/2022	FINANCIAL GRAVITY ASSET MANAGEMENT, INC.	CRD# 144008	Greenville, SC
IA	09/24/2021 - 03/02/2022	FINANCIAL GRAVITY FAMILY OFFICE SERVICES, LLC	CRD# 316024	Greenville, SC
IA	12/12/2011 - 04/03/2017	DYNAMIC WEALTH ADVISORS	CRD# 151367	PHOENIX, AZ
IA	11/19/2007 - 12/31/2011	EDWARD STORER & ASSOCIATES LLC	CRD# 143731	GREENVILLE, SC
IA	11/08/2006 - 11/15/2007	SYNERGY INVESTMENT GROUP, LLC	CRD# 46035	GREENVILLE, SC
B	11/07/2006 - 11/15/2007	SYNERGY INVESTMENT GROUP, LLC	CRD# 46035	CHARLOTTE, NC
IA	01/31/2006 - 08/18/2006	BERTHEL FISHER & COMPANY FINANCIAL SERVICES, INC.	CRD# 13609	GREENVILLE, SC
B	01/05/2006 - 08/18/2006	BERTHEL, FISHER & COMPANY FINANCIAL SERVICES, INC.	CRD# 13609	GREENVILLE, SC
B	04/15/2004 - 12/06/2005	ING FINANCIAL PARTNERS, INC.	CRD# 2882	WINDSOR, CT
B	10/21/2003 - 04/15/2004	CENTAURUS FINANCIAL, INC.	CRD# 30833	ANAHEIM, CA
B	02/12/2003 - 10/23/2003	WACHOVIA SECURITIES, LLC	CRD# 19616	ST. LOUIS, MO
IA	12/13/2002 - 02/05/2003	MORGAN STANLEY	CRD# 7556	GREENVILLE, SC
B	07/05/1996 - 02/05/2003	MORGAN STANLEY DW INC.	CRD# 7556	PURCHASE, NY
B	03/05/1996 - 07/16/1996	MERIT CAPITAL ASSOCIATES, INC.	CRD# 30576	WESTPORT, CT
B	04/15/1994 - 12/31/1995	PFS INVESTMENTS INC.	CRD# 10111	DULUTH, GA
B	10/25/1995 - 11/28/1995	SMITH BARNEY INC.	CRD# 7059	NEW YORK, NY



Registration & Employment History

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
12/2025 - Present	EXCEPTIONAL PLANNING LLC	Co-Owner	N	GREENVILLE, SC, United States
02/2022 - Present	IDLE HILL ADVISORS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	GREENVILLE, SC, United States
07/2018 - Present	INSURANCE MADE EASY LLC	INSURANCE AGENT	Y	GREENVILLE, SC, United States
07/2018 - Present	INVESTMENTS MADE EASY LLC	MANAGING MANAGER	N	GREENVILLE, SC, United States
04/2003 - Present	THE TAX ADVISORY GROUP LLC	CO-OWNER	N	GREENVILLE, SC, United States
01/2016 - 02/2022	FINANCIAL GRAVITY & FINANCIAL GRAVITY FAMILY OFFICES	INVESTMENT ADVISOR REPRESENTATIVE	Y	AUSTIN, TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Insurance Made Easy, LLC; Investment-related; Greenville, SC; Annuity, life insurance and Medicare insurance; Owner & Insurance Agent; Started 07/2018;
2. Investments Made Easy, LLC; Not investment-related; Greenville, SC; Holding company; Managing Member; Started 07/2018; Entity used for administrative purposes;
3. The Wealth Training Academy LLC; Not investment-related; Greenville, SC; Marketing Services for businesses; Associate; Started 11/2019;
4. The Tax Advisory Group, LLC; Not investment-related; Greenville, SC; Tax planning and tax preparation; Co-owner; Started 04/2003;
5. Exceptional Planning LLC; Investment-related; Greenville, SC; Managing member of the partnership; Co-Owner; Started 12/2025



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	5

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Individual
Regulatory Action Initiated By:	SECURITIES COMMISSIONER OF SOUTH CAROLINA
Sanction(s) Sought:	Cease and Desist Monetary Penalty other than Fines
Date Initiated:	03/12/2019
Docket/Case Number:	20184569
Employing firm when activity occurred which led to the regulatory action:	Independent Investment Advisors, LLC
Product Type:	Direct Investment-DPP & LP Interests
Allegations:	Mr. Storer was alleged of offering and selling a security that was neither registered with the Division nor exempt from such registration.
Current Status:	Final
Resolution:	Consent
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	04/25/2023
Sanctions Ordered:	Cease and Desist



Monetary Penalty other than Fines

Monetary Sanction 1 of 1

Monetary Related Sanction: Monetary Penalty other than Fines

Total Amount: \$75,000.00

Portion Levied against individual: \$15,000.00

Payment Plan:

Is Payment Plan Current: No

Date Paid by individual:

Was any portion of penalty waived? Yes

Amount Waived: \$60,000.00



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 5

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	Edward Storer & Associates
Allegations:	Customer alleges that representative recommend products of greater risk than customer's risk profile warranted and other causes of action related to the activity.
Product Type:	Other: Structured cash flow product
Alleged Damages:	\$50,000.00
Alleged Damages Amount Explanation (if amount not exact):	Amount invested.

Civil Litigation Information

Type of Court:	State Court
Name of Court:	Court of Common Pleas for the Tenth Judicial Circuit
Location of Court:	Columbia, SC
Docket/Case #:	2021-CP-04-00298
Date Notice/Process Served:	03/25/2021
Litigation Pending?	No
Disposition:	Settled
Disposition Date:	02/06/2023
Monetary Compensation Amount:	\$44,247.57
Individual Contribution Amount:	\$0.00

Disclosure 2 of 5

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	Edward Storer & Associates
Allegations:	Customer alleges that representative recommend products of greater risk than customer's risk profile warranted and other causes of action related to the activity.
Product Type:	Other: Structured cash flow product
Alleged Damages:	\$40,000.00
Is this an oral complaint?	No



Is this a written complaint? Yes

**Is this an arbitration/CFTC
reparation or civil litigation?** No

Customer Complaint Information

Date Complaint Received: 09/10/2018

Complaint Pending? No

Status: Settled

Status Date: 01/31/2023

Settlement Amount: \$33,697.13

**Individual Contribution
Amount:** \$0.00

Disclosure 3 of 5

Reporting Source: Individual

**Employing firm when
activities occurred which led
to the complaint:** Independent Insurance Advisors LLC

Allegations: Allegations include negligence, breach of fiduciary duty and misrepresentation in the sale of an Indexed Universal Life policy between January 2017 and March 2018.

Product Type: Other: Index Life Insurance Policy

Alleged Damages: \$430,000.00

**Alleged Damages Amount
Explanation (if amount not
exact):** damages not specified - \$430,000 was amount originally invested

Civil Litigation Information

Type of Court: State Court

Name of Court: Court of Common Pleas Thirteenth Judicial Circuit

Location of Court: Greenwood, South Carolina

Docket/Case #: 2019CP2305989

Date Notice/Process Served: 11/28/2018

Litigation Pending? No

Disposition: Settled

Disposition Date: 12/04/2019

**Monetary Compensation
Amount:** \$515,000.00

**Individual Contribution
Amount:** \$0.00

Broker Statement Advisor denies all allegations and believes any ambiguity was due to reliance on information provided to him by the product company.

**Disclosure 4 of 5**

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	Independent Insurance Advisors LLC
Allegations:	Allegations include breach of contract, breach of fiduciary duty and negligence in the sale of an Indexed Universal Life policy in November 2017.
Product Type:	Other: Index Life Insurance Policy
Alleged Damages:	\$71,734.23
Alleged Damages Amount Explanation (if amount not exact):	damages not specified - \$71,734.23 was the agreed settlement amount.

Civil Litigation Information

Type of Court:	State Court
Name of Court:	Court of Common Pleas Thirteenth Judicial Circuit
Location of Court:	Greenville, South Carolina
Docket/Case #:	2018CP2304010
Date Notice/Process Served:	07/27/2018
Litigation Pending?	No
Disposition:	Settled
Disposition Date:	01/31/2023
Monetary Compensation Amount:	\$71,734.23
Individual Contribution Amount:	\$0.00
Broker Statement	Advisor denies all allegations and believes any ambiguity was due to reliance on information provided to him by the product company.

Disclosure 5 of 5

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	Independent Insurance Advisors LLC
Allegations:	Allegations include breach of contract, breach of fiduciary duty and negligence in the sale of an Indexed Universal Life policy in March 2018.
Product Type:	Other: Index Life Insurance Policy
Alleged Damages:	\$150,000.00
Alleged Damages Amount Explanation (if amount not exact):	damages not specified - \$150,000 was amount originally invested

Civil Litigation Information

Type of Court:	State Court
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Name of Court:	Court of Common Pleas Thirteenth Judicial Circuit
Location of Court:	Greenville, South Carolina
Docket/Case #:	2018CP2304197
Date Notice/Process Served:	08/08/2018
Litigation Pending?	No
Disposition:	Settled
Disposition Date:	01/31/2023
Monetary Compensation Amount:	\$126,755.60
Individual Contribution Amount:	\$0.00
Broker Statement	Advisor denies all allegations and believes any ambiguity was due to reliance on information provided to him by the product company.



End of Report

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