



## IAPD Report

# Bruce Goodwin Ledoux II

CRD# 2272833

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### Bruce Goodwin Ledoux II (CRD# 2272833)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/09/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	J.P. MORGAN SECURITIES LLC	CRD# 79	06/25/2014
<b>IA</b>	J.P. MORGAN SECURITIES LLC	CRD# 79	06/25/2014

### QUALIFICATIONS

This representative is currently registered in **27** SRO(s) and **51** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	MORGAN STANLEY	149777	WELLESLEY, MA	12/09/2011 - 07/01/2014
<b>B</b>	MORGAN STANLEY	149777	WELLESLEY, MA	06/01/2009 - 07/01/2014
<b>IA</b>	MORGAN STANLEY SMITH BARNEY LLC	149777	WELLESLEY, MA	06/01/2009 - 12/31/2009

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **51** jurisdiction(s) and 27 SRO(s) through his or her employer(s).

#### Employment 1 of 1

Firm Name: **J.P. MORGAN SECURITIES LLC**  
Main Address: 270 PARK AVENUE  
NEW YORK, NY 10017  
Firm ID#: 79

Regulator	Registration	Status	Date
B 24X National Exchange LLC	General Securities Representative	Approved	10/31/2025
B BOX Exchange LLC	General Securities Representative	Approved	06/25/2014
B Cboe BYX Exchange, Inc.	General Securities Representative	Approved	10/24/2024
B Cboe BZX Exchange, Inc.	General Securities Representative	Approved	10/24/2024
B Cboe C2 Exchange, Inc.	General Securities Representative	Approved	06/25/2014
B Cboe EDGA Exchange, Inc.	General Securities Representative	Approved	10/24/2024
B Cboe EDGX Exchange, Inc.	General Securities Representative	Approved	10/24/2024
B Cboe Exchange, Inc.	General Securities Representative	Approved	06/25/2014
B FINRA	General Securities Representative	Approved	06/25/2014
B Investors' Exchange LLC	General Securities Representative	Approved	08/30/2016
B Long-Term Stock Exchange, Inc.	General Securities Representative	Approved	04/27/2020
B MEMX LLC	General Securities Representative	Approved	02/16/2021
B MIAX Emerald, LLC	General Securities Representative	Approved	03/19/2019



## Qualifications

Regulator	Registration	Status	Date
<b>B</b> MIAX PEARL, LLC	General Securities Representative	Approved	10/24/2024
<b>B</b> MIAX Sapphire	General Securities Representative	Approved	10/24/2024
<b>B</b> Miami International Securities Exchange, LLC	General Securities Representative	Approved	10/24/2024
<b>B</b> NYSE American LLC	General Securities Representative	Approved	06/25/2014
<b>B</b> NYSE Arca, Inc.	General Securities Representative	Approved	06/25/2014
<b>B</b> NYSE National, Inc.	General Securities Representative	Approved	05/18/2018
<b>B</b> NYSE Texas, Inc.	General Securities Representative	Approved	06/25/2014
<b>B</b> Nasdaq GEMX, LLC	General Securities Representative	Approved	06/25/2014
<b>B</b> Nasdaq ISE, LLC	General Securities Representative	Approved	06/25/2014
<b>B</b> Nasdaq MRX, LLC	General Securities Representative	Approved	03/24/2016
<b>B</b> Nasdaq PHLX LLC	General Securities Representative	Approved	06/25/2014
<b>B</b> Nasdaq Stock Market	General Securities Representative	Approved	06/25/2014
<b>B</b> Nasdaq Texas, LLC	General Securities Representative	Approved	06/25/2014
<b>B</b> New York Stock Exchange	General Securities Representative	Approved	06/25/2014
<b>B</b> Alabama	Agent	Approved	12/16/2022
<b>B</b> Alaska	Agent	Approved	12/21/2022
<b>B</b> Arizona	Agent	Approved	06/26/2014
<b>B</b> Arkansas	Agent	Approved	12/20/2022



## Qualifications

Regulator	Registration	Status	Date
<b>B</b> California	Agent	Approved	07/10/2014
<b>B</b> Colorado	Agent	Approved	06/26/2014
<b>B</b> Connecticut	Agent	Approved	06/25/2014
<b>B</b> Delaware	Agent	Approved	12/22/2022
<b>B</b> District of Columbia	Agent	Approved	06/27/2014
<b>B</b> Florida	Agent	Approved	06/25/2014
<b>B</b> Georgia	Agent	Approved	06/27/2014
<b>B</b> Idaho	Agent	Approved	06/27/2014
<b>B</b> Illinois	Agent	Approved	08/07/2019
<b>B</b> Indiana	Agent	Approved	09/15/2021
<b>B</b> Iowa	Agent	Approved	12/19/2022
<b>B</b> Kansas	Agent	Approved	12/16/2022
<b>B</b> Kentucky	Agent	Approved	12/19/2022
<b>B</b> Louisiana	Agent	Approved	07/18/2014
<b>IA</b> Louisiana	Investment Adviser Representative	Approved	07/18/2014
<b>B</b> Maine	Agent	Approved	06/30/2014
<b>B</b> Maryland	Agent	Approved	06/25/2014
<b>B</b> Massachusetts	Agent	Approved	06/30/2014
<b>B</b> Michigan	Agent	Approved	06/25/2014



### Qualifications

Regulator	Registration	Status	Date
B Minnesota	Agent	Approved	06/25/2014
B Mississippi	Agent	Approved	12/21/2022
B Missouri	Agent	Approved	04/09/2021
B Montana	Agent	Approved	12/16/2022
B Nevada	Agent	Approved	07/09/2014
B New Hampshire	Agent	Approved	06/25/2014
B New Jersey	Agent	Approved	06/25/2014
B New Mexico	Agent	Approved	03/15/2022
B New York	Agent	Approved	06/25/2014
B North Carolina	Agent	Approved	06/25/2014
IA North Carolina	Investment Adviser Representative	Approved	07/01/2014
B North Dakota	Agent	Approved	12/19/2022
B Ohio	Agent	Approved	06/25/2014
IA Ohio	Investment Adviser Representative	Approved	06/25/2014
B Oklahoma	Agent	Approved	12/20/2022
B Oregon	Agent	Approved	05/26/2022
B Pennsylvania	Agent	Approved	06/25/2014
B Puerto Rico	Agent	Approved	12/19/2022
B Rhode Island	Agent	Approved	06/25/2014



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> South Carolina	Agent	Approved	07/03/2014
<b>B</b> South Dakota	Agent	Approved	12/16/2022
<b>B</b> Tennessee	Agent	Approved	12/19/2022
<b>B</b> Texas	Agent	Approved	06/25/2014
<b>IA</b> Texas	Investment Adviser Representative	Approved	06/25/2014
<b>B</b> Utah	Agent	Approved	04/20/2021
<b>B</b> Vermont	Agent	Approved	07/02/2014
<b>B</b> Virgin Islands	Agent	Approved	01/03/2023
<b>B</b> Virginia	Agent	Approved	03/26/2015
<b>B</b> Washington	Agent	Approved	03/04/2022
<b>B</b> West Virginia	Agent	Approved	12/20/2022
<b>B</b> Wisconsin	Agent	Approved	12/20/2022
<b>B</b> Wyoming	Agent	Approved	01/04/2023

### Branch Office Locations

**J.P. MORGAN SECURITIES LLC**  
50 ROWES WHARF  
4TH FLOOR  
BOSTON, MA 02110

**J.P. MORGAN SECURITIES LLC**  
Southborough, MA



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
Futures Managed Funds Examination (S31)	Series 31	04/03/2009
General Securities Representative Examination (S7)	Series 7	03/07/1995
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	08/17/1993

#### State Securities Law Exams

Exam	Category	Date
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Uniform Investment Adviser Law Examination (S65)	Series 65	03/21/1995
Uniform Securities Agent State Law Examination (S63)	Series 63	11/02/1992

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	12/09/2011 - 07/01/2014	MORGAN STANLEY	CRD# 149777	WELLESLEY, MA
B	06/01/2009 - 07/01/2014	MORGAN STANLEY	CRD# 149777	WELLESLEY, MA
IA	06/01/2009 - 12/31/2009	MORGAN STANLEY SMITH BARNEY LLC	CRD# 149777	WELLESLEY, MA
B	04/02/2007 - 06/01/2009	MORGAN STANLEY & CO. INCORPORATED	CRD# 8209	WELLESLEY, MA
IA	04/02/2007 - 06/01/2009	MORGAN STANLEY & CO. INCORPORATED	CRD# 8209	WELLESLEY, MA
IA	10/06/2006 - 04/02/2007	MORGAN STANLEY	CRD# 7556	WELLESLEY, MA
B	10/06/2006 - 04/02/2007	MORGAN STANLEY DW INC.	CRD# 7556	WELLESLEY, MA
IA	09/10/1997 - 10/11/2006	MERRILL LYNCH PIERCE FENNER & SMITH INC.	CRD# 7691	BOSTON, MA
B	09/10/1997 - 10/11/2006	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	BOSTON, MA
B	01/16/1995 - 09/18/1997	PRUDENTIAL SECURITIES INCORPORATED	CRD# 7471	NEW YORK, NY
B	08/18/1993 - 01/17/1995	NYLIFE SECURITIES INC.	CRD# 5167	NEW YORK, NY

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2025 - Present	Race Performance Motorsport Shop & Mentoring Center	Owner/Partner	N	Marlborough, MA, United States
09/2024 - Present	Scudder House, LLC	Owner/Partner	N	Southborough, MA, United States
01/2017 - Present	WINNER'S CIRCLE CONSULTING, INC.	OFFICER/SOLE OWNER	N	SOUTHBOROUGH, MA, United States
02/2015 - Present	6TH GEAR EQUIPMENT LLC	OWNER	N	SOUTHBOROUGH, MA, United States



## Registration & Employment History

### EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2014 - Present	Guardian Angel Motorsports	Owner/Partner	Y	Southborough, MA, United States
06/2014 - Present	J.P. MORGAN SECURITIES LLC	FINANCIAL ADVISOR	Y	BOSTON, MA, United States
06/2014 - Present	JPMORGAN CHASE BANK NA	FINANCIAL ADVISOR	Y	BOSTON, MA, United States
09/2005 - Present	FOGGYSTYLE LLC	GENERAL PARTNER	Y	MARLBOROUGH, MA, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Entity Name: Race Performance Motorsport Shop & Mentoring Center

Investment related: No

"Address: 267 Maple St, Unit K Marlborough, MA 01752"

Nature of the other business: Automotive

Position/Title/Relationship: Owner/Partner

Start Date: 05/19/2025

Approximate # of hours a week: 0-10

Approximate # of hours during securities trading hours: 0

Briefly describe your duties: The company provides automotive repair, maintenance, race preparation and recondition services for performance and used vehicles.

Entity Name: Scudder House, LLC

Investment related: No

Address: Private Residence

Nature of the other business: Real Estate

Position/Title/Relationship: Owner/Partner

Start Date: 09/30/2024

Approximate # of hours a week: 0-10

Approximate # of hours during securities trading hours: 0

Briefly describe your duties: Rental Property

Entity Name: 6th Gear Equipment LLC

Investment related: No

Address: Southborough, MA

Nature of the other business: ownership and maintenance of the asset.

Position/Title/Relationship: Owner /Partner

Start Date: Feb 18, 2015

Approximate # of hours a month: 0

Approximate # of hours during securities trading hours: 0 Briefly describe your duties: ownership and maintenance of the asset

Entity Name: Guardian Angel Motorsports



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

Investment related: Yes  
Address: 6 Angelica Ln, Southborough, MA 01772  
Nature of the other business: Automotive  
Position/Title/Relationship: Owner/Partner  
Start Date: 08/27/2014  
Approximate # of hours a month: 10-20  
Approximate # of hours during securities trading hours: 10  
Briefly describe your duties: Founder and President

Entity Name: Foggystyle LLC  
Investment related: Yes  
Address: 7 Marlborough, MA  
Nature of the other business: Rental property  
Position/Title/Relationship: General Partner  
Start Date: 30-Sep-2005  
Approximate # of hours a month: 2-3  
Approximate # of hours during securities trading hours: 0  
Briefly describe your duties: Sole manager of ownership entity.

Entity Name: Winner's Circle Consulting, Inc.  
Investment related: No  
Address: Southborough, MA  
Nature of the other business: Race car driver logistics planning and data coaching.  
Position/Title/Relationship: Officer  
Start Date: 13-Jan-2017  
Approximate # of hours a month: 5-10  
Approximate # of hours during securities trading hours: 0  
Briefly describe your duties: Sole owner & operator

Entity Name: 65 Tom Nevers Rd  
Investment related: Yes  
Address: 65 Nantucket, MA  
Nature of the other business: Seasonal vacation rentals  
Position/Title/Relationship: Sole Proprietor  
Start Date: 15-Oct-2015  
Approximate # of hours a month: none - wife is rental agent and real estate professional  
Approximate # of hours during securities trading hours: None  
Briefly describe your duties: owner; operate of said rental

Effective 6/15/2018 I will be an employee of both JPMorgan Securities and JPMorgan Bank. JPMorgan Bank offers a broad range of products and services nationwide. As an employee of JPMorgan Bank I will be able to offer certain bank products and services, including deposit and credit products.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	MORGAN STANLEY & CO & MORGAN STANLEY SMITH BARNEY
<b>Allegations:</b>	THE CLIENT CLAIMS THAT HER FINANCIAL ADVISORS DID NOT FOLLOW HER INSTRUCTIONS, AND AS A RESULT OF SUBSEQUENT INVESTMENT ACTIVITY, SHE SUFFERED LOSSES AND REQUESTS TO BE COMPENSATED.
<b>Product Type:</b>	Equity Listed (Common & Preferred Stock)
<b>Alleged Damages:</b>	\$0.00
<b>Alleged Damages Amount Explanation (if amount not exact):</b>	ESTIMATED TO BE OVER \$5,000
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	Yes
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	No

### Customer Complaint Information

<b>Date Complaint Received:</b>	09/29/2010
<b>Complaint Pending?</b>	No
<b>Status:</b>	Settled
<b>Status Date:</b>	08/02/2012



<b>Settlement Amount:</b>	\$45,000.00
<b>Individual Contribution Amount:</b>	\$0.00
<b>Broker Statement</b>	MATTER SETTLED FOR BUSINESS REASONS. FIRM AND FA DENY ANY WRONGDOING.



## End of Report

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