IAPD Report

ADAM BRADLEY ROSIER

CRD# 2291416

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

ADAM BRADLEY ROSIER (CRD# 2291416)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/05/2024**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	STRATEGIC BLUEPRINT, LLC	CRD# 284840	05/27/2021

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	AMERIPRISE FINANCIAL SERVICES, LLC	6363	Dearborn, MI	02/20/2007 - 05/26/2021
B	AMERIPRISE FINANCIAL SERVICES, LLC	6363	Dearborn, MI	11/02/1992 - 05/26/2021
B	IDS LIFE INSURANCE COMPANY	6321	MINNEAPOLIS, MN	11/02/1992 - 07/03/2006

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	5

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **STRATEGIC BLUEPRINT, LLC**

Main Address: 2200 CENTURY PARKWAY
SUITE 500
ATLANTA, GA 30345

Firm ID#: 284840

	Regulator	Registration	Status	Date
IA	Michigan	Investment Adviser Representative	Approved	05/27/2021

Branch Office Locations

STRATEGIC BLUEPRINT, LLC
Northville, MI

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	10/30/1992

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	07/12/2010
B	Uniform Securities Agent State Law Examination (S63)	Series 63	11/06/1992

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/20/2007 - 05/26/2021	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	Dearborn, MI
B	11/02/1992 - 05/26/2021	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	Dearborn, MI
B	11/02/1992 - 07/03/2006	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2021 - Present	Strategic Blueprint, LLC	Investment Registered Adviser	Y	Atlanta, GA, United States
09/2005 - 05/2021	Ameriprise Financial Services, Inc.	Registered Rep	Y	Dearborn, MI, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Name: Oakpoint Investment Partners
Position: Partner
Nature: This is the DBA for my financial advisory business.
Investment Related: Yes
Securities Trading Hours: 165
Start Date: 05/28/2021
Address: 45822 Tournament Drive, Northville MI 48168, United States
Description: All RIA activitives are done through DBA

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	5

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 5

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	AMERIPRISE FINANCIAL SERVICES,INC.
Allegations:	THE CLIENT ALLEGED THE ADVISOR RECOMMENDED UNSUITABLE PENNY STOCK, IN FEBRUARY AND MARCH OF 2005,WHICH DECLINED APPROXIMATELY $13,000 IN VALUE
Product Type:	Penny Stock(s)
Alleged Damages:	$13,000.00

Customer Complaint Information

Date Complaint Received:	03/19/2007
Complaint Pending?	No
Status:	Denied
Status Date:	06/19/2007
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	WE FOUND THE CLIENT WAS AN EXPERIENCED AGGRESSIVE INVESTOR ACTIVELY INVOLVED IN THE TRADING OF THE STOCKS IN QUESTION. ADDITIONALLY, THE STOCKS, AT TIME, PRICED BELOW $5.00 A SHARE BUT WERE ALL EXCHANGE LISTED.

Disclosure 2 of 5

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	AMERIPRISE FINANCIAL SERVICES INC
Allegations:	THE CLIENT ALLEGES THAT ACCOUNT BALANCES CONTINUE TO DECLINE AND QUESTIONS THE INVESTMENT ADVICE PROVIDED FROM 1997 - 2006.
Product Type:	Mutual Fund(s)
Other Product Type(s):	STOCKS, VARIABLE UNIVERSAL LIFE
Alleged Damages:	$100,000.00

Customer Complaint Information

Date Complaint Received:	11/27/2006
Complaint Pending?	No
Status:	Closed/No Action
Status Date:	08/13/2007
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	THE FIRM FOUND THE INVESTMENT ADVICE PROVIDED TO THE CLIENT WAS APPROPRIATE FOR THEIR FINANCIAL GOALS, OBJECTIVES AND CIRCUMSTANCES. IT WAS FOUND THE RECOMMENDATION TO REPLACE THE CLIENTS VARIABLE UNIVERSAL LIFE INSURANCE (VUL) II WITH A VUL III WAS APPROPRIATE AS WELL. HOWEVER, THE NEW INVESTMENT OPTIONS WITHIN THE VUL III WERE NOT UTILIZED WITHIN THE APPROPRIATE TIMEFRAME. THE FIRM OFFERED TO REVERSE THE PURCHASE OF THE VUL III. THE CLIENT DID NOT ACCEPT OUR OFFER.

Disclosure 3 of 5

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	AMERICAN EXPRESS FINANCIAL ADVISORS INC.
Allegations:	CLIENT ALLEGES I FAILED TONOTIFY THEM THAT THEY WERE NO LONGER ELIGIBLE TO CONTRIBUTE TO ROTH IRA'S DUE TO RETIREMENT, CAUSING THEM TO OVER CONTRIBUTE. ALSO, I DID NOT MONITOR THE ACCOUNTS SUFFICIENTLY TO PREVENT INVESTMENT LOSS.
Product Type:	Other
Other Product Type(s):	ANNUITIES
Alleged Damages:	$5,000.00

Customer Complaint Information

Date Complaint Received:	09/09/2004
Complaint Pending?	No
Status:	Closed/No Action

Status Date:	10/13/2004
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	THE FIRM FOUND THAT I ASSISTED THE CLIENT WITH ONLY GENERAL INFORMATION FOR TAX PURPOSES. I VERIFIED THAT THE CLIENT HAD A TAX ADVISOR AND DIRECTED THE CLIENT TO THAT ADVISOR FOR SPECIFIC INFORMATION. THE CLIENT ACKNOWLEDGES UNDERSTANDING THE REASON FOR THEIR OVERALL INVESTMENT PERFORMANCE.

Disclosure 4 of 5

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	AMERICAN EXPRESS FINANCIAL ADVISORS
Allegations:	THE CLIENTS ALLEGED THAT I FAILED TO PROVIDE THEM WITH ADEQUATE CUSTOMER SERVICE. THEY INDICATED THEY INCURRED APPROXIMATELY $100000.00 IN MARKET LOSSES OVER THE PAST THREE YEARS.
Product Type:	Other
Other Product Type(s):	STRATEGIC PORTFOLIO SERVICES ACCOUNT AXP BROKERAGE ACCOUNT IDS LIFE-VARIABLE ANNUITIES
Alleged Damages:	$100,000.00

Customer Complaint Information

Date Complaint Received:	11/10/2003
Complaint Pending?	No
Status:	Denied
Status Date:	01/07/2004
Settlement Amount:	$0.00
Individual Contribution Amount:	$60.00
Broker Statement	THE CLIENTS INDICATED A MODERATE/AGGRESSIVE RISK TOLERANCE ON THEIR STRATEGIC PORTFOLIO SERVICES ACCOUNT APPLICATIONS IN DECEMBER 2000. IT WAS DETERMINED THAT I ACTED APPROPRIATELY AS THE CLIENTS PROVIDED ME NO CLEAR DIRECTIVE TO ACT UPON UNTIL 2003 WITH REGARD TO THE REALLOCATION OF THEIR INVESTMENT PORTFOLIO. REDEMPTION ERRORS THAT CAUSED THE CLIENTS TO INCUR NSF FEES ($60.00) WERE REIMBURSED TO THEM.

Disclosure 5 of 5

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	AMERICAN EXPRESS FINANICAL ADVISORS
Allegations:	THE CLIENT ALLEGED SHE DID NOT REALIZE SHE PURCHASED AN ANNUITY.

Product Type:	Annuity(ies) - Fixed
Alleged Damages:	$5,940.00

Customer Complaint Information

Date Complaint Received:	09/24/2003
Complaint Pending?	No
Status:	Denied
Status Date:	10/23/2003
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	THE FIRM FOUND THE CLIENT SIGNED FORMS WHICH ACKNOWLEDGED SHE UNDERSTOOD SHE WAS INVESTING IN AN ANNUITY.

End of Report

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